

1 **Tracing lake pollution, eutrophication and partial recovery**
2 **from the sediments of Windermere, UK, using geochemistry**
3 **and sediment microfabrics**

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17 **Abstract**

18 Many lakes undergo anthropogenically driven eutrophication and pollution leading to
19 decreased water and sediment quality. These effects can enhance seasonally changing lake
20 redox conditions that may concentrate potentially toxic elements. Here we report the results

21 of a multi-method geochemical and sediment microfabric analysis applied to reconstruct the
22 history of cultural eutrophication and pollution of the North and South Basins of
23 Windermere, UK. Eutrophication developed from the mid-19th to the earliest 20th centuries.
24 Enhanced lake productivity is indicated by increased sedimentary $\delta^{13}\text{C}$, and increased
25 pollution by a higher concentration of metals (Pb, Hg, and As) in the sediment, likely
26 enhanced by incorporation and adsorption to settling diatom aggregates, preserved as
27 sedimentary laminae. In the South Basin, increasing sediment $\delta^{15}\text{N}$ values occur in step with
28 Zn, Hg, and Cu, linking metal enrichment to isotopically heavy nitrate (N) from
29 anthropogenic sources. From around 1930, decreases in Mn and Fe-rich laminae indicate
30 reduced deep-water ventilation, whereas periods of sediment anoxia increased, being most
31 severe in the deeper North Basin. Strongly reducing sediment conditions promoted Fe and
32 Mn reduction and Pb-bearing barite formation, hitherto only described from toxic mine
33 wastes and contaminated soils. From 1980 there was an increase in indicators of bottom
34 water oxygenation, although not to before 1930. But in the South Basin, the continued
35 impacts of sewage is indicated by elevated sediment $\delta^{15}\text{N}$. Imaging and X-ray microanalysis
36 using scanning electron microscopy has shown seasonal-scale redox mineralisation of Mn,
37 Fe, and Ba related to intermittent sediment anoxia. Elevated concentrations of these metals
38 and As also occur in the surficial sediment and provide evidence for dynamic redox
39 mobilisation of potentially toxic elements to the lake water. Concentrations of As (up to 80
40 ppm), exceed international Sediment Quality Standards. This process may become more
41 prevalent in the future with climate change driving lengthened summer stratification.

42 **Key Words:** paleolimnology, multi-method, cultural eutrophication, Itrax XRF core
43 scanning, isotopic analysis, scanning electron microscopy.

44 **1. Introduction**

45 Freshwater lakes represent a critical resource providing a wide range of services, such as a
46 municipal water sources (Fowler et al., 2007), flood mitigation (Thampapillai and Musgrave,
47 1985), habitats for rare and protected species (Dudgeon et al., 2006; Maberly and Elliott,
48 2012), and are often the basis for a multi-million-pound tourism industry (Maltby et al.,
49 2011). Despite their value, many are poorly managed and subject to anthropogenic stressors,
50 such as cultural eutrophication caused predominantly by phosphorus (P) and nitrogen (N)
51 enrichment (Richardson and Jørgensen, 1996), and toxic metal enrichment, from industrial
52 and municipal pollution (Förstner and Wittmann, 2012). The consequent economic impact is
53 estimated to be £ 75 -115 M yr⁻¹ in England and Wales alone (Harvey et al., 2012; Pretty et al.,
54 2003). Furthermore, anthropogenic climate change has been linked to amplification of these
55 stressors (Williamson et al., 2009), making the restoration of freshwater lakes a priority
56 (Gulati et al., 2012). To this end, current legislation (the European Union Water Framework
57 Directive, WFD; 2000/06/EC) legally obliges stakeholders to return waterbodies to “a good
58 ecological and chemical status” with reference to pre-anthropogenic chemical, ecological
59 and environmental conditions (Carvalho et al., 2019).

60 Numerous lakes in industrialised areas or near developing population centres have
61 undergone similar histories over the past 1-2 centuries with progressive eutrophication and
62 pollution driven by population increase, agricultural intensification and industrialisation
63 (Dudgeon et al., 2006; Hodell and Schelske, 1998). Despite more recent remediation
64 measures, anthropogenic activities have often left a legacy of sediments enriched in metals
65 and trace elements (Thevenon et al., 2011). Many lakes vary seasonally with summer
66 stratification allowing depletion of oxygen in the hypolimnion that may lead to anoxia in the
67 bottom sediments. Such seasonal-scale redox changes may affect the absorption or release of
68 pollutants, potentially producing relatively high concentrations on the lake bed or in the
69 lake waters (Itai et al., 2012; Wu et al., 2017). Climate change may reinforce such processes

70 since raised temperatures may lead to a longer and more intense stratification period
71 driving enhanced isolation of bottom waters (Jenny et al., 2014). In order to reconstruct the
72 history of lake eutrophication and to assess the efficacy of lake restoration programmes, it is
73 necessary to obtain detailed geochemical records of lake sediments to quantify the history of
74 inputs and to investigate the controls on mineral formation and dissolution (Thevenon et al.,
75 2011; Wu et al., 2017).

76 In this context, Windermere, England's largest natural lake, has undergone a typical
77 trajectory of pollution and eutrophication over the past 150 years (McGowan et al., 2012;
78 Moorehouse et al., 2014; 2018). This has been recorded, in part, by regular monitoring of the
79 water column since the 1940s (Pickering, 2001; Maberly et al., 2011), however, the extent to
80 which anthropogenic pollution has affected the lake beyond the time scale of monitoring
81 and how the lake sediments are affected are not yet fully understood. Windermere forms a
82 particularly interesting case study as it comprises two basins which are variably susceptible
83 to eutrophication, which together, constitute a sensitive barometer to the effects of
84 anthropogenic and climatic influences (Pennington, 1973; McGowan et al. 2012).

85 This study has applied a range of geochemical and sediment fabric techniques to reconstruct
86 the history of anthropogenic impacts on Windermere. By combining radiochronology, major
87 and trace element geochemistry and high resolution scanning electron microscope sediment
88 microfabric imaging on new gravity cores, we reconstruct the sedimentary history including
89 the input of metals and toxic elements and the relation to biological activity and redox
90 changes in the lake sediment. Sediment fabric analysis specifically enables us to relate
91 anthropogenically-induced pollution and eutrophication to biological activity, including the
92 reconstruction of seasonal-scale algal blooms in the lake surface waters and of the activity of
93 zoobenthos on the lake bed.

94 2. Study location, materials and methods

95 2.1. Study site

96 Windermere, in the Lake District National Park, (Fig. 1), is of particular significance as it acts
97 as a refugium for locally rare fish species such as the Arctic Char (Winfield et al. 2008; Miller
98 et al., 2015), a municipal water supply for the North West of England during periods of
99 drought, and is host to a thriving tourist industry. The lake is 17 km long with a maximum
100 width of 1.5 km and is divided into a North Basin (maximum depth 64 m) and a South Basin
101 (maximum depth 42 m) separated by an area of basement high, small islands and shallows
102 with an average depth of 10 m (Pearsall and Pennington, 1973; Pickering, 2001; Miller *et al*,
103 2013). The larger North Basin has a surface area of 8.1 km² and volume of 201.8 x 10⁶ m³
104 while the South Basin has a surface area of 6.7 km² and volume just over half that of the
105 North at 112.7 x 10⁶ m³ (McGowan et al., 2012). The main freshwater inflows are from the
106 rivers Rothay and Brathay (Fig. 1). Primary inflow to the South Basin comes from the North
107 Basin and from Cunsey Beck that drains the small seasonally anoxic lake, Esthwaite Water.
108 Outflow is at the south end via the River Leven (Fig. 1), with retention times in the North
109 and South Basin of 180 and 100 days respectively (Moorhouse et al., 2018).

110 The lake is currently classified as warm monomictic (Hutchinson and Löffler, 1956),
111 becoming stratified in early summer with re-mixing usually in late October or early
112 November in the South Basin and a later (November or December) in the North Basin
113 (Pickering, 2001). The water column has been regularly monitored and sampled for its
114 physical properties, chemistry and biology since 1945 by the Freshwater Biological
115 Association (FBA) and the Centre for Ecology and Hydrology (CEH) (Reynolds and Irish,
116 2000; Pickering, 2001; McGowan et al., 2012). These records show a progressive mid- to late-
117 20th century increase in eutrophication, especially in the South Basin (Reynolds and Irish,

118 2000). The current trophic status of the North Basin is mesotrophic and that of the South
119 Basin, eutrophic (Moorhouse et al., 2018). Palaeolimnological studies of the sediments of
120 Windermere indicate a mid- to late-19th century onset for eutrophication driven primarily
121 by population increase (Pennington, 1973; Sabater and Howarth, 1995, McGowan et al.,
122 2012), marked in the sediment by the transition from “brown mud” to a “black ooze”
123 (Pennington, 1973; Sabater and Howarth, 1995). Enrichments in some metals (Pb, Zn, Cu)
124 were previously reported within the “black ooze” from cores taken in the South Basin in
125 1975 (Hamilton-Taylor et al., 1984). A more recent geochemical study of piston cores taken
126 from Windermere in 2012 found a 20th century enrichment in metals (Miller et al., 2014), but
127 did not recover the topmost 10–20 cm of sediment.

128 **2.2. Core location, sampling and analysis**

129 Following the bathymetric surveys and coring reported in Miller et al. (2013), the four
130 gravity cores of the present study were taken in 2014 from mid-depths and deep basinal
131 locations (Fig. 1), recovering the sediment-water interface and up to 46 cm of sediment
132 (Table 1). The cores were split at the British Ocean Sediment Core Facility (BOSCORF), then
133 processed and sampled using standard methods for high resolution sediment fabric analysis
134 (Dean et al., 1999; Kemp et al., 2001). Split cores underwent digital imaging, x-radiograph
135 imaging and geochemically analysis using an Itrax Energy Dispersive X-Ray Fluorescence
136 (ED-XRF) core scanner (Croudace et al., 2006). To calibrate and complement the ED-XRF
137 analyses, continuous sediment samples (1 cm resolution) were also analysed by Wavelength
138 Dispersive XRF Spectrometer. Mercury was measured using a Milestone DMA-1 system
139 (Milestone SRL, Italy) employing sample thermal decomposition, mercury amalgamation
140 and atomic absorption detection providing a typical detection limit in the ppt range. Further
141 details of the methods are found in the Supplementary Material.

142 2.2.1. *Microfabric analysis*

143 One centimetre-thick slab samples were taken continuously with overlapping sections for
144 microfabric studies. These slabs were X-rayed, and following further subsampling,
145 underwent fluid-displacive resin embedding after which covered thin sections (CTS) for
146 optical microscopy and polished thin sections (PTS) for backscatter electron imagery were
147 prepared. PTS were imaged and analysed using a Scanning Electron Microscope (SEM).
148 Sediment fabrics, including bioturbation and mineral and organic components, were
149 documented using a combination of photomosaic and higher magnification backscatter
150 electron images (BSEI), together with optical thin section microscopy. For further analysis of
151 microfossil remains and mineral habits and structures, SEM stub samples were prepared
152 from the un-treated sediment counterparts to the embedded sediment sections.

153 To match broader scale variations in geochemistry with changes in sediment microfabric
154 and mineralogy, elemental maps, line scans, and spot analyses of key intervals within PTS
155 were undertaken in the SEM by energy dispersive X-ray spectroscopy microanalysis (EDS).

156 2.2.2. *TOC, TN, $\delta^{13}C$*

157 One gram samples, taken continuously at 2 cm intervals from cores SC68 and SC57 were
158 analysed for TOC, TN, $\delta^{13}C$ and $\delta^{15}N$ using a Elementar Vario Isotope Cube Elemental
159 Analyser equipped with a TCD (Thermal conductivity detector), interfaced with an isotope
160 ratio mass spectrometer (IRMS). Six samples representing sediment endmember types in
161 both basins were tested for calcium carbonate ($CaCO_3$), and all yielded results below the
162 detection limit (4 counts).

163 **2.3. Chronology**

164 2.3.1. *^{210}Pb and ^{137}Cs*

165 One centimetre thick samples were taken at regular intervals and analysed for ^{210}Pb and
166 ^{137}Cs in all cores. ^{137}Cs was measured by direct gamma spectrometry using a Canberra well-
167 type HPGe gamma-ray spectrometer counting for 100,000 seconds and evaluating the 661
168 keV photopeak (Croudace et al., 2012; Ritchie and McHenry, 1990). Gamma spectra were
169 processed using FITZPEAKS gamma deconvolving software (JF Computing, Stanford in the
170 Vale, UK). Each activity was corrected for sample mass and volume. Lead-210 was
171 measured (via its granddaughter Po-210) using a method based on Flynn (1968) involving
172 double acid leaching, ^{209}Po spiking and auto-deposition onto silver discs to determine ^{210}Pb
173 activities using alpha spectrometry (Croudace et al., 2012). The linear sedimentation
174 accumulation rates (LSR) and subsequent age estimates reported below are generated from
175 ^{210}Pb activity and validated using ^{137}Cs .

176 A chronology was generated from excess ^{210}Pb ($^{210}\text{Pb}_{\text{ex}}$) activity data for the top of each
177 gravity core by applying the constant flux: constant sedimentation (CF:CS) model (Robbins,
178 1978). The model generates an LSR that is extrapolated down core (Fig. 2). Age error was
179 calculated by applying the CF:CS model to the upper and lower counting statistical
180 uncertainty of $^{210}\text{Pb}_{\text{ex}}$ values to produce LSRs which were extrapolated down core to give an
181 upper and lower age depth error estimate. All ^{210}Pb ages stated in this paper are given in
182 years A.D. and are the median probable ages followed by instrument uncertainty—in
183 brackets. LSR generated from ^{210}Pb were only applied to depths at which $^{210}\text{Pb}_{\text{ex}}$ activity
184 exceeded the background concentration. Below this, age estimates were generated by linear
185 interpolation of the model to the topmost radiocarbon age in the corresponding piston core.
186 Accumulation rates based on ^{137}Cs used the depths of initial increase of the 1963 bomb peak
187 from atmospheric nuclear weapons testing and the Chernobyl nuclear reactor incident
188 (1986) (Ritchie and McHenry, 1990).

189 2.3.2. Radiocarbon dating

190 In 2012 piston cores were taken at the same locations as the gravity cores (Avery et al., 2017).
191 From these split core surfaces a series of samples were taken for ^{14}C analysis following an
192 inspection of the core for macrofossils, and analysed by the Natural Environment Research
193 Council Radiocarbon Facility (NRCF) in East Kilbride. In order to extend the age-depth
194 model beyond the oldest date achievable by ^{210}Pb and ^{137}Cs the uppermost ^{14}C analyses from
195 the piston cores (Table 2) were used. Integration of the gravity and piston core age depth,
196 and overlap at corresponding sites was achieved by matching lithostratigraphy, ^{210}Pb and
197 ^{137}Cs data from both cores. Samples from the piston cores were for radiocarbon analysis
198 were converted to graphite and processed at the Scottish Universities Environmental
199 Research Centre (SUERC) laboratory for accelerator mass spectrometry (AMS) ^{14}C analysis
200 (Damon et al., 1989).

201 Radiocarbon dates were processed and calibrated using Calib 7.1 (Stuiver and Reimer, 1993)
202 and the Intcal 13 calibration curve (Reimer et al., 2013). The radiocarbon results are reported
203 with ages given as the calibrated median probability age, and 2σ calibrated ages.

204

205 **3. Results**

206 **3.1. Age-depth model**

207 The age-depth model and LSR generated from ^{210}Pb and ^{137}Cs data for the upper part of the
208 cores (SC68 = 27cm, SC64 = 20.5cm, SC67 = 18.5cm, SC57 = 22.5cm) is described in detail in
209 Fielding et al. (2018). In all cores linear interpolation between the deepest ^{210}Pb activity and
210 the upper most radiocarbon date in the corresponding piston cores (Table 2) yielded LSR an
211 order of magnitude lower than those generated by the CF:CS model in the upper part of the
212 core. This increase in sedimentation since the 19th century is consistent with other studies in
213 the area, and could have a number of causes including increases in land erosion due to

214 agriculture and increases in biogenic sediment as a result of increased primary productivity
215 in the lake (Sabater and Haworth, 1995; Schillereff et al., 2019).

216 **3.2. Lithostratigraphy and Geochemistry**

217 The North Basin cores comprise a lower pale brown mud (Unit I) overlain by a dark grey
218 mud (Unit II), a thin pale clay rich interval (Unit III) and an upper pale brown mud (Unit IV)
219 (Fig. 3). The South Basin stratigraphy is similar, except that Unit III is missing and the upper
220 muds of Unit IV are darker in Core 57 (Fig. 4). This stratigraphy is broadly consistent with
221 earlier cores taken from Windermere with the “brown mud” of Pennington (1973) and
222 Sabater and Howarth (1995) corresponding to Unit I and their “black ooze” to our Unit II.
223 The main difference is the thicker upper pale brown mud, our Unit I, reflecting an additional
224 3–4 decades of recent sediment accumulation, the transition to Unit I having been around
225 1970–1975. The thin clay-rich interval in the North Basin (Unit III) is interpreted as an event
226 bed generated by a slope failure restricted to the North Basin caused by the 1979 Carlisle
227 earthquake (Fielding et al., 2018; Musson and Henni, 2002). The transition from Unit I to
228 Unit II occurs earlier in the deep South Basin cores (1870 in SC67; 1883 in SC57) than in those
229 from the North Basin (1916 in SC64; 1920 in SC68).

230 Major and trace element variability are presented in Figs. 3, 4 and supplementary Figs. 1 – 4,
231 and total carbon and nitrogen and stable isotopes $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ in Fig. 5. These results are
232 discussed in section 4 below in the context of the overall pollution and eutrophication
233 history of Windermere. Details of the geochemical microfabrics and barium mineralogy
234 encountered are given below.

235 **3.3. Sediment fabrics and preservation of lamination**

236 *3.3.1. Sediment microfabrics and lamina types*

237 Microfabrics vary from laminated through homogeneous and unstructured sediment to
238 pervasively pelleted, with pellets ranging in size between 50- 350 μ m, (Fig. 6). Terrigenous
239 silty clays (Fig. 6c) form the dominant sediment type and are interspersed with a more
240 porous sediment (PS) type (paler in optical microscopy/ darker in BSEI) (Fig. 6b),
241 comprising silty clay and organic material. Fe and/or Fe and Mn minerals typically occur
242 within porous sediment laminae, for example in Unit I of SC68 and in near surface sediment
243 (Fig. 6b). The silty clays of Unit II are distinctly dark-coloured under optical microscopy
244 (Fig. 6d).

245 Obvious lamination comparable to regular varves (Zolitschka et al., 2015) was rarely
246 observable directly on the split core surfaces. However, a combination of optical microscopy
247 and BSEI of embedded sediment reveals a range of lamina types, the most common being
248 0.8 - 5.5 mm thick and comprising alternations of detrital terrigenous and higher porosity
249 laminae with the latter often containing autochthonous organic material laminae and
250 reduced detrital content (Figs 5c). The high porosity laminae also often contain enrichments
251 of Fe or both Fe and Mn minerals (see 3.4.1, below).

252 3.3.2. *Diatom ooze laminae*

253 Microlithostratigraphic analysis shows that Units II and IV are abundant in diatom remains.
254 In SC68, diatoms are concentrated in distinct laminae ranging in thickness from 0.2 to 1 mm
255 (Fig. 7). Twelve diatom ooze laminae occur in SC68 and a single lamina is present in SC64.
256 The greater preservation of laminae in SC68 reflects its deep basin location that would be
257 more prone to anoxia (see 4.3.3, below). Imaging of stub samples taken from the laminae
258 reveals that they are composed of near-monospecific concentrations of *Asterionella formosa* in
259 Unit II, and *Aulacoseira subarctica*, in Unit IV (Fig. 7).

260 3.4. Geochemical fabrics

261 3.4.1. *Fe- and Mn-rich laminae*

262 Fe and/or Mn minerals typically occur within porous sediment laminae, for example in Unit
263 I of SC68 and in near surface sediment (Fig. 6b). Enrichments in Fe and Mn occur
264 intermittently in all the cores but are most abundant in Unit I of the deep North Basin (SC68)
265 (Fig. 3). Peaks in Mn in the lower laminated sediments were associated with concentrations
266 of a rhombohedral mineral which was identified as rhodochrosite (MnCO_3) by EDS
267 elemental mapping and line scans (Fig. 8). The rhodochrosite occurs as both individual
268 crystals with a typical size range of 2–10 μm , but also as dense clusters of crystals, up to 60
269 μm across (Fig. 8A). Mn peaks in the upper core interval are not associated with
270 rhodochrosite, but rather with amorphous Mn oxyhydroxides. Fe peaks throughout the
271 sediments were shown to be associated with authigenic amorphous and crystalline iron
272 (oxy)hydroxide (Fe(O)OH), most likely lepidocrocite, occurring as subhedral platy
273 agglomerates ranging in size from between 50 μm to 700 μm (Fig. 8B).

274 Selected intervals were investigated with EDS elemental mapping and line scans (Fig 9). To
275 indicate variation in detrital sediment, Si is also shown. Decreases in Si coincide with
276 increases in Mn and or Fe in the more porous laminae, they are also indicated by paler zones
277 in the thin section photomicrographs (Fig. 9). In some cases, the laminae appear in pairs
278 separated by terrigenous-rich laminae, with the Mn lamina located above or overlapping
279 with the Fe-rich lamina (Fig. 9a, c). In this instance the laminae are distinct on the EDS maps
280 and line scans despite pelletisation. In other cases, the order is less clear (Fig. 9) although the
281 Mn enrichment (0.05 to 2.2 mm thick) generally occur towards the upper part of the Fe-
282 enrichment (0.2 to 3 mm thick) while in some cases only Fe enrichments (0.1 to 1 mm thick)
283 occur (Fig. 9). The mineral rich-detrital rich laminae couplets range between 0.14 and 0.8 cm
284 in thickness with an average of 0.24 cm. Given the LSR of 0.29 cm/yr^{-1} for this Pb-dated
285 section of the core, a quasi-annual occurrence is plausible for the upper intervals.

286 3.4.2. Barium mineralogy

287 Barium (Ba) content is marked in all cores, except SC68, by peak values near the sediment
288 water interface (SWI), with maximum values of up to 0.2 wt% in core SC57. Below this there
289 are smaller increases above the clay horizon in the North Basin cores, SC64 and SC68 and
290 there is elevated Ba content within the upper part of Unit II in SC68 and SC67. In SC68 Ba
291 also shows high variability at the base of the core. SEM examination of sediment reveals the
292 common presence of small, typically 2–12 μm -sized crystals of barite that may occur in
293 clusters or individually. SEM EDS analysis indicates that these have a normal barite BaSO_4
294 composition near the core top, but within Unit IV they contain Pb and so are on the Barite
295 (BaSO_4)-Anglesite (PbSO_4) solid solution series (Fig. 10). The barite-anglesite crystals are
296 most abundant in SC57 and SC64 but occur in all cores and increase in abundance in the mid
297 and upper part of Unit IV. Here microlithostratigraphic analysis shows the presence of
298 BaSO_4 in the SWI appearing as individual euhedral minerals up to 5 μm and in clusters up to
299 35 μm .

300 4. Discussion

301 4.1. Causes and significance of pelleting

302 In lake waters deeper than 20 m, pelletal structures (50–300 μm) are produced mainly by
303 conveyer-belt feeding tubificid oligochaetes or by chironomid larvae (McCall and Tevesz,
304 1982). Both mechanisms can cause vertical redistribution of sediment but usually over very
305 small distances (2–9 cm). In Windermere it was found that vertical redistribution by
306 bioturbation was limited to 1.5 cm (Fielding et al., 2018) and that while this disrupted the
307 original sediment structure in some places it did not completely remove it. The presence of
308 pellets does not, however, necessarily imply sustained oxic conditions since oligochaetes
309 such as *Tubifex* spp. are known to survive in anoxia (Famme and Knudsen, 1985) and have

310 been observed in abundance in the profundal sediments of Windermere even with
311 seasonally anoxic bottom water conditions (Reynoldson, 1987). Furthermore, some
312 chironomids contain haemoglobin, and as such can survive in anoxic conditions through a
313 range of respiration strategies (Brodersen, 2008). In this instance, therefore, it is the extent of
314 pelletisation that is likely related to the relative degree and persistence of sediment anoxia,
315 since the overall benthic activity would be inhibited during prolonged anoxia (Reynoldson,
316 1987).

317 **4.2. Use of Mn and Fe content and mineralogy as redox indicators**

318 Mn and Fe are sensitive redox indicators since both metals have soluble reduced ions but on
319 oxidation form solid oxyhydroxides (Davison, 1993). There are two circumstances in which Mn
320 and Fe minerals may be used to reconstruct ventilation histories in lakes. In lakes with anoxic
321 bottom waters, the dissolved ions of Fe and Mn are present. In such lakes, for example, Lake
322 Zurich, seasonal ventilation of bottom waters and the introduction of oxygen leads to the
323 formation and subsequent sedimentation of solid phase Fe- and Mn- oxyhydroxides that may
324 preserve a record of ventilation events in seasonally laminated sediments (Naehler et al., 2013). In
325 these cases, laminae of Fe/Mn minerals record lake ventilation events. On the other hand, in
326 lakes without persistent anoxia, consumption of oxygen in the hypolimnion during the summer-
327 autumn stratification period promotes sediment anoxia and leads to mobility of Fe and Mn ions.
328 In this case Fe and Mn will precipitate at a redox boundary, either within the sediment (as is the
329 case in Lake Baikal; Och et al., 2012) or at the sediment water interface (SWI) as pore waters
330 interact with oxygenated bottom waters (Schaller et al., 1997). From monitoring of Windermere,
331 hypolimnetic anoxia has only been recorded in the deepest part of the South Basin late in the
332 stratified summer period occasionally between 1979 and 1991 during the period of peak
333 eutrophication (Hamilton-Taylor and Willis, 1990; Pickering, 2001). The presence of Fe or Mn
334 layers in Windermere sediments (Figs. 3, 4, 9), therefore, records periods where reduced bottom
335 water oxygenation led to reduced oxygen in the upper sediments, and a shallowing of the

336 oxycline in the sediment, facilitating the mobility of Fe and Mn and their subsequent oxidation at
337 or near the SWI (Fig. 3). The occurrence of Fe laminae without overlying Mn concentrations (Fig.
338 9) indicates that some overturning episodes were not of sufficient duration or intensity to allow
339 the slower-oxidising Mn to precipitate suggesting release of Mn to the water column. In
340 scenarios where reducing conditions in the sediment result in the dissolution of Mn
341 oxyhydroxides there may still be the potential to preserve a record if rhodochrosite is formed (Yu
342 et al., 2016) as was evidently the case in Unit IV of SC68. The distribution and variability in Fe
343 and Mn may therefore be used to reconstruct the Windermere sediment redox history.

344 **4.3. The sedimentary record of the pollution and eutrophication of Windermere**

345 *4.3.1. Asynchrony between the South and North Basin*

346 The increase in metals (Pb, Zn, Cu) and As in the North Basin occurs around 1910–1920,
347 lagging the appearance in the South Basin by around 70–100 years for Pb and 30 years for
348 Zn, Cu and As (Figs. 3, 4; Supplementary Figs. 1-4). This lag in delivery to the North Basin is
349 unlikely to be due to differences in the sources of metals (sewage and atmospheric), as this
350 would have occurred almost synchronously on a catchment to regional scale. Instead, it may
351 be that the efficient delivery of the metals to the sediment was enhanced by increased algal
352 productivity and scavenging during flux. For example, sediment trap studies from
353 Windermere show take up of Zn by diatoms (Reynolds and Hamilton-Taylor, 1992) and
354 enrichments of both Zn and Pb were found associated with the eutrophic-indicating diatom
355 *Asterionella formosa* (Lund, 1957). Furthermore, the extracellular polymeric substances (EPS)
356 exuded by both diatoms and associated bacteria in lake “snow” aggregates (Schweitzer et
357 al., 2001) are also efficient scavengers of trace metals (Bhaskar and Bhosle, 2006; Comte et al.,
358 2008). The smaller water volume of the South Basin makes it more susceptible to enrichment
359 and eutrophication than the North Basin and it also receives a higher nutrient load
360 (Pickering, 2001), so that the earlier increase in metals may be related to the earlier increases

361 in algal productivity and associated scavenging from the water column. There is also a
362 similar lag in the $\delta^{15}\text{N}$ of organic matter that is consistent with the earlier eutrophication in
363 the South Basin. Such earlier productivity increases in the South Basin are also recorded in
364 algal pigment records with South Basin production increasing markedly from around 1860
365 and that of the North Basin increasing more gradually from 1890 to highs from around 1940
366 (McGowan et al., 2012).

367 4.3.2. 1800–1940: *The onset and increase in pollution*

368 Increases in Pb content in the early to mid- 19th century in the South Basin (SC67–1810s;
369 SC57–1850s) and from around 1910–20 in the North Basin represent the first significant signs
370 of anthropogenic contaminants in the sediment. Although there was considerable mining
371 and quarrying for metals in the North of the Windermere catchment in the 19th century, the
372 periods of metal extraction do not coincide with increases in metal content in the lake
373 sediment (Miller et al., 2014). Double-spike Pb isotope analysis of Windermere sediments
374 dating from the 1840s to the 1920s reveals a likely Pb source to be from Carboniferous coal,
375 related to the launch of coal-fired steam ships from 1845 and the further expansion of their
376 use following the opening of the Kendall-Windermere railway in 1847 (Fig. 11) (Miller et al.,
377 2014). The increase in Pb prior to other metals is also recorded in the sediments of the
378 adjacent Blelham Tarn (Ochsenbein et al., 1983). The increased use of petroleum in road
379 vehicles is also a significant contributor of Pb from 1920 onward (Miller et al., 2014).

380 The increase in the other metals (Zn, Cu, Hg; Supplementary Figs. 1-4) and As from 1880–
381 1990 and concomitant darkening of the sediment in the South Basin (Fig. 4), coincides with
382 increased sewage discharge from the rapidly increasing population centres around the lake
383 (McGowan et al., 2012) and this is consistent with previous metal studies in Windermere
384 (Hamilton-Taylor and Willis, 1990). High levels of As and Hg persisted in the South Basin

385 from the 1920s through the following six decades. Increasing values of the $\delta^{15}\text{N}$ of organic
386 matter in the South Basin sediment also occur from around 1890 (SC57), in step with the
387 metals (other than Pb) from this time. In the North Basin, by contrast, a prominent increase
388 in $\delta^{15}\text{N}$ of organic matter together with metals does not occur until the 1930s (Figs 3, 13,
389 Supplementary Figs 1, 2). Elevation in $\delta^{15}\text{N}$ of organic matter can be attributed to a number
390 of causes including increased algal productivity (Hodell and Schelske, 1998) or the input of
391 isotopically heavy nitrate from primary sewage or farm runoff (Meyers, 1994; Moorhouse et
392 al., 2018). Although, in Windermere all of these may be applicable, recent analysis shows
393 that lake primary producer variability tracks modifications to waste water treatment works
394 in both the North (~65% variance explained) and South Basins (~62% variance explained)
395 (Moorhouse et al. 2018). The darkening of the sediment also coincided with the first rise to
396 prominence of the eutrophic-indicating diatom *Asterionella formosa* (Sabater and Haworth,
397 1995) (Figs 7, 12). Elevated productivity in both basins is also evidenced by increasing $\delta^{13}\text{C}$
398 values of organic matter through the 19th and early 20th centuries (Fig. 5). Phytoplankton
399 preferentially take up the lighter ^{12}C isotope during photosynthesis, but in periods of
400 sustained high productivity they will increasingly utilise the heavier isotope resulting in an
401 increase in $\delta^{13}\text{C}$ values (Schelske and Hodell, 1995). The increase in $\delta^{13}\text{C}$ values in
402 Windermere is consistent with steadily increasing algal productivity during this period. The
403 $\delta^{13}\text{C}$ values are around 1‰ heavier in the South Basin, possibly reflecting its greater
404 susceptibility to eutrophication due to its smaller volume, although phytoplanktonic species
405 composition or organic matter source changes could also affect this (Gu et al. 1999). While
406 there is broad agreement with $\delta^{13}\text{C}$ values from an earlier Windermere core study
407 (McGowan et al., 2012) other organic proxies (TOC, TN, C:N) show a somewhat different
408 trend. This could be due to differences in analytical methods since McGowan et al.
409 measured carbon by loss on ignition whereas we used an elemental analyser.

410 Regarding sediment redox conditions, the regular occurrence of Fe and Mn laminae in the
411 deep North Basin (SC68 - 54 m water depth) prior to the 19th century indicate intermittent
412 sediment anoxia, but with regular deep-water ventilation in the period prior to
413 eutrophication. When compared to the LSR of the core (<27.5 cm depth: 0.29 cm yrs⁻¹, >27.5
414 cm depth: 0.04 cm yrs⁻¹) the regular spacing of these mineral laminae (Fig. 3) (0.2-0.7 cm)
415 suggests these features represent redox changes, and resulting deposition of Mn and or Fe,
416 occurring on annual to multi-annual scale (Fig. 9a). The pattern is consistent with summer
417 stratification and a reduction in bottom water oxygenation that promoted anoxia in the
418 sediment and allowing the release and upwards diffusion of dissolved Fe and Mn ions. This
419 would have been followed by lake turnover in the autumn/ winter that led to re-
420 oxygenation of bottom waters and formation of the Fe and Mn oxides that precipitated at a
421 redox boundary within the surficial sediment. The occurrence of rhodochrosite rather than
422 Mn oxyhydroxides suggests that reducing conditions in the sediment may have driven
423 dissolution of the oxyhydroxides, but that the Mn subsequently combined with carbonate
424 (Yu et al., 2016). The less frequent occurrence of Fe laminae and presence of only a single Mn
425 lamina in SC64 is consistent with its location in shallower waters (26m) which remain
426 oxygenated for longer than deeper waters in the hypolimnion. Here, the occasional Fe and
427 Mn laminae represent periods where sufficient dysoxia occurred in even the shallower
428 waters, followed by reoxygenation resulted in the concentrating of redox sensitive elements
429 in the sediments.

430 As the colour changes from pale brown to grey (Unit I to II) in the 1920s these Fe and Mn
431 laminae become progressively less abundant until they cease, with the slower oxidising Mn
432 disappearing first. The concomitant decrease in the extent of pelletisation of the sediment is
433 also consistent with progressively increasing bottom water hypoxia and sediment anoxia
434 (Fig. 3). Combined with the cessation of Mn oxyhydroxide preservation and the lack of

435 pelletisation in the shallower SC64, this suggests increasing incidence and persistence of
436 sediment anoxia in the North Basin (Fig. 3).

437 4.3.3. 1940–1980: Peak pollution and eutrophication, sediment anoxia and bottom water
438 hypoxia

439 Sustained high concentrations of metals were present in both basins from around 1940
440 coinciding with further darkening of the sediment, high levels of sediment organic carbon
441 and persistent high values of the $\delta^{15}\text{N}$ of organic matter. This was likely driven by an
442 increase in resident population during World War II that eventually overwhelmed the
443 existing sewage treatment facilities at Tower Wood (McGowan et al., 2012) leading to
444 increased sewage discharge to the lake. In addition, following WW II the application of N
445 rich artificial fertiliser significantly increased UK wide (McGowan et al., 2012) making
446 agricultural run off another likely driver of persistent high values of the $\delta^{15}\text{N}$.

447 In both basins, peak organic carbon contents occurred from 1950–1980 and this also
448 coincides with some of the highest $\delta^{13}\text{C}$ values marking peak productivity (Fig. 5). Lake
449 monitoring during this period recorded blooms dominated by the diatom *Asterionella*
450 *formosa*, a characteristic indicator of eutrophication (Lund, 1972; Lund et al., 1963). These
451 recurrent annual blooms occurred in May/ June with massive flux in late June. Close
452 monitoring of the 1947 bloom observed a flocculent mass of cells accumulating on the
453 surface sediment (Lund et al., 1963). From the 1940s, increasing sediment anoxia in the deep
454 North Basin (SC68), as evidenced by a decrease in pelletisation and cessation of Fe and Mn
455 laminae, led to the preservation of several of these layers as sedimentary laminae recording
456 the near-monospecific nature of the *Asterionella formosa* blooms (Figs 3, 4, 7, 12), with the last
457 preserved around 1983 (Fig. 12). Increasing diatom productivity and eutrophication was
458 further driven by increases in soluble reactive phosphorus, particularly in the South Basin,

459 together with increases in nitrate in both basins from the mid-1960s (Reynolds and Irish,
460 2000). Sustained, elevated concentrations of metals and As occurred throughout this period
461 with peaks occurring mainly between 1950–1960.

462 During the time interval 1940–1980, peak sediment anoxia is indicated in all cores with the
463 most persistent in the deep North Basin (SC68). The presence of Fe and Mn laminae and
464 decrease in pelletisation in SC67 (1960–1980) indicate that enhanced sediment anoxia also
465 extended to shallower zones of the lake at this time. Intermittent Fe laminations in the deep
466 South Basin (SC57) are consistent with sediment anoxia developing reducing conditions
467 sufficient to mobilise both Fe and Mn but with insufficient bottom water re-ventilation to re-
468 oxidise the Mn. This sediment evidence is consistent with observations of seasonally
469 declining oxygen concentrations in the summer-autumn hypolimnion (Talling and Heaney,
470 1988) and episodic bottom water anoxia recorded in the deep South Basin from 1979
471 (Pickering, 2001). In fact, elevated Mn concentrations were observed in bottom waters
472 during late season stratification in the 1970s (Hamilton-Taylor et al., 1984; Pickering, 2001).
473 The peak sedimentary metal concentrations at this time may have been boosted by the
474 scavenging of metals by Mn- and Fe-oxides forming in the bottom waters (Hamilton-Taylor
475 and Davison, 1995; Xue et al., 1997).

476 There is no evidence of authigenic pyrite from SEM observations, so although the bottom
477 sediments were anoxic, they were rarely sulphidic and there is no evidence for sulphate
478 reduction and pyrite formation consistent with sulphur speciation studies in Windermere
479 (Davison et al., 1985). However, increases in sulphur occur in the South Basin cores in Unit II
480 (>0.5%) and all sediment cores contain frequent 2–20 μm -sized barite crystals (Fig 9). The
481 occurrence of the barite as euhedral crystals suggests a different mechanism of formation
482 from that of the adjacent seasonally anoxic Esthwaite Water, where small (3–4 μm) spherical
483 granules of barite are biologically mediated within protozoa (Finlay et al., 1983; Smith et al.,

484 2004). The origins of the Ba concentrations that led to the formation of the euhedral barite
485 crystals in Windermere may be similar to those responsible for the transfer of Ba from
486 bottom waters to the topmost sediment in Lake Biwa, Japan. Here, the hypolimnion reaches
487 minimum dissolved oxygen concentrations at the end of the stratification period in
488 December but is never totally depleted (Sugiyama et al., 1992). In this case, during the
489 period of stratification, enhanced anoxia within bottom sediments leads to the release of Fe
490 and Mn into the oxic bottom waters where they are re-oxygenated to form hydrous oxides.
491 The hydrous Mn oxides have a strong affinity for Ba which they readily scavenge and this
492 results in draw down of Ba to the sediment as the hydrous Mn oxides settle (Sugiyama et al.,
493 1992). Although water column sulphate is low in freshwater lakes, bacterial oxidation of
494 organic sulphur (Fakhraee et al., 2017) may have been important in producing the sulphate
495 for barite formation. Unlike the pure barite crystals in the near surface sediment, the crystals
496 in Unit III consistently contain Pb. The presence of barite-anglesite solid solution has not
497 been reported before from lake sediments and has primarily been associated with mining
498 waste and contaminated soils (Courtin-Nomade et al., 2008; Fernandez-Gonzalez et al.,
499 2013).

500 4.3.4. 1980–2010: *Partial Recovery*

501 From around 1980 (Units II to IV) the sediment colour changes back to pale brown in SC68
502 and SC67 and becomes less dark in SC64 and SC57. In the South Basin, decreased sediment
503 anoxia is also indicated by the resumption of pelletisation (enhanced benthic activity),
504 cessation of the Fe and Mn laminae, and decreases in sedimentary S. The decline in Pb after
505 peaks in the 1960s follows the marked decline in atmospheric Pb since peaks in the 1970s
506 (Rippey and Douglas, 2004). A post 1960s decline in metals and As in the South Basin may
507 be ascribed to the effective operation of the then newly expanded (new sedimentation tanks

508 and additional filters) Tower Wood Sewage treatment works from 1967 (Fig. 10) (McGowan
509 et al. 2012).

510 Phosphate stripping was introduced 1991–1992 at the sewage treatment works at Tower
511 Wood (South Basin) and Ambleside (North Basin) (Fig. 11) and measured deep water
512 oxygen concentrations showed a marked improvement in the 1990s (Pickering, 2001). The
513 North Basin (SC68) shows a progressive decline in the $\delta^{15}\text{N}$ of organic matter indicating a
514 diminishing contribution from isotopically heavy sewage, which may in part be due to the
515 building of extra STW (sewage treatment works) at Gasmere and Elterwater (Fig. 11), but the
516 persistence of diatom ooze laminae resulting from diatom mass flux record sustained
517 eutrophication. On the other hand the $\delta^{15}\text{N}$ of organic matter in the South Basin (SC57)
518 shows no such decline, highlighting continued influence of isotopically heavy N in sewage
519 input. There is also a significant increase in organic carbon content in the North Basin.
520 Although phosphate stripping was introduced in 1991/ 1992, phosphate contents in the
521 sediment actually increase synchronously in all cores at this time and reach concentrations
522 of 1–2% in the surface sediment. Sustained concentrations of soluble reactive phosphorus are
523 also present in lake waters (Winfield et al., 2008). Microlithostratigraphic and EDS line scan
524 analysis show that P is associated with Fe oxyhydroxides in the top few cm of sediment,
525 suggesting redox mobilisation and potential P release from the sediment to lake waters (see
526 discussion on redox-driven processes in 4.2, above). This highlights the issue that although
527 external P loading may be reduced in mitigation programmes, internal P loading originating
528 by release from a pool earlier accumulated in the sediment may jeopardize improvement in
529 water quality (Søndergaard et al., 2003).

530 Since 1980, water column surveys identify both *Asterionella formosa* and *Aulacoseira* spp. to be
531 abundant in the spring (Canter and Haworth, 2010; Feuchtmayr et al., 2012; Haworth, 1988).
532 Other key spring bloom species present, include *Tabellaria flocculosa* and *Fragilaria crotonensis*

533 with *T. flocculosa* identified as more abundant than *Aulacoseira* spp. (Feuchtmayr et al., 2012).
534 These species do not form sediment laminae suggesting that they are less important for
535 controlling flux than either *Asterionella formosa* or *Aulacoseira subarctica*. The lamina records
536 show that *Aulacoseira subarctica* has replaced *Asterionella formosa* as the dominant lamina-
537 former since the early 1990s, matching with broader changes observed in the FBA plankton
538 records from the south end of the North Basin (Thackeray et al., 2015) (Fig. 12). Clearly, only
539 a few of the surface blooms recorded by the FBA correspond to laminae in SC68. This may
540 reflect some combination of the different locations (north end of the North Basin for the core
541 and south end for the FBA sampling), flux attenuation (so that only the most intense blooms
542 sedimented to depth) and intermittent bioturbation as evidenced by pelletisation (Fig. 3).
543 The installation of phosphate stripping to the Tower Wood STW in 1991/ 1992 has led to a
544 decrease in eutrophication. This is therefore consistent with the view that *Asterionella formosa*
545 favours fully eutrophic conditions while *Aulacoseira subarctica* thrives with moderate
546 increases in nutrients but is disadvantaged by further enrichment (Gibson et al., 2003).
547 Throughout Unit IV (1980 to the 2000's) the geochemistry and micropalaeontology of the
548 sediments show a partial recovery from eutrophication, but further indicate that the
549 recovery has not been sustained, consistent with evidence for a renewed deterioration in
550 oxygen levels in lake waters (Jones et al., 2008).

551 **4.4. The modern lake - 2010-2014: Topmost sediment and recent redox-driven** 552 **processes**

553 *4.4.1. Mn, Fe and Ba*

554 Microfabric and geochemical analysis shows that with the exception of SC67, the topmost
555 sediment of Unit I (1.5-3 cm) in all cores is enriched in Mn and Fe. This is consistent with
556 upwards diffusion of reduced and mobile Mn and Fe from the anoxic sediment and their

557 subsequent precipitation at the redox boundary near the SWI as oxyhydroxides (Davison,
558 1993). The exceptional concentrations of 12.5 wt% MnO in SC57 (Deep South Basin) requires
559 further explanation and suggests advection of Mn to the deep South Basin. The mechanism
560 for this may be analogous to that identified in the Swiss lake Baldaggersee where Mn
561 mobilisation leads to “geochemical focusing”. This process involves the release of Mn from
562 anoxic sediments to overlying oxygenated waters where it starts to reprecipitate, but
563 because this take days to weeks there is down slope movement resulting in concentrations in
564 the deeper part of the lake (Schaller and Wehrli, 1997). A prerequisite for this to occur is for
565 anoxic sediment to be in contact with an oxic water column, a situation which is apparently
566 increasingly occurring during the stratified period in Windermere (Jones et al., 2008). A
567 similar redox-driven “geochemical focusing” process is also invoked for Fe, although this is
568 less mobile with a tendency to precipitate more rapidly as oxyhydroxides (Schaller and
569 Wehrli, 1997). The two cores that show the greatest Mn enrichment (SC 64 and SC57) also
570 show significant Ba enrichment to values exceeding 0.2 wt% in the deep South Basin. This
571 association is consistent with the sequestration of Ba by Mn oxyhydroxides (Section 4.3.3,
572 above) as also documented from Lake Biwa (Sugiyama et al., 1992).

573 4.4.2. Arsenic

574 There is relative enrichment of As in the surface sediments of SC68, SC64 and SC57 to values
575 approaching 70 ppm (Figs 3, 4). Arsenic is readily adsorbed by Fe oxyhydroxides in
576 sediment, and the cycles of Fe and As are tightly coupled (Belzile and Tessier, 1990; Couture
577 et al., 2010; Dixit and Hering, 2003; Pierce and Moore, 1982). Field and experimental
578 evidence indicates that As is also adsorbed by hydrous Mn oxide (Takamatsu et al., 1985)
579 and arsenite becomes adsorbed to more freshly formed Fe and Mn oxyhydroxides (Dixit and
580 Hering, 2003; Farmer and Lovell, 1986). This can lead to concentrations in surfaces
581 sediments far in excess of that delivered from the water column (Farmer and Lovell, 1986)

582 and has likely led to the enrichment of As in surface sediments of Windermere.
583 Furthermore, where a legacy of anthropogenic As pollution remains in deeper sediments, it
584 can remain elevated in the surface sediments long after exposure to anthropogenic sources
585 of As have been reduced (Fabian et al., 2003). There can be further enrichment of As in
586 surface sediments as a result of sediment anoxia where Fe and Mn oxyhydroxides and
587 adsorbed arsenate are reduced to labile species and diffuse upward to the redox boundary.
588 As is the case for Mn, As may be released to the water column during periods of lake
589 stratification, especially where hypoxia develops in the hypolimnion and remains for long
590 periods (Keimowitz et al., 2017). Progressive warming driven by climate change has
591 increased stratification in Windermere (Maberly and Elliott, 2012) so that this is likely to be
592 an ongoing process that may adversely affect the ecosystems (Thackeray et al., 2013)
593 including fisheries (Jones et al., 2008).

594 **4.5. Implications for future water quality and potential hazards**

595 Many countries, including the UK, have failed to introduce the formal Sediment Quality
596 Standards (SQS) such as those set by the Australian, New Zealand (ANZECC/ARMCANZ,
597 2000), Canadian (CCME EPC-98E), and Netherlands governments (Dutch Water Act) (Fig.
598 14) (Burton Jr, 2002). These are designed to be adopted to control sediment contamination
599 considered dangerous to benthic life and human health (Burton Jr, 2002). The enrichment of
600 Pb and As in Unit III (especially in the 20th century) too often exceed these standards in both
601 of the South Basin cores for Pb. Concentrations of As in all cores, (except SC64) also exceed
602 the exceed the SQS (Fig. 14). This is concerning if these sediments were to be exposed to
603 water column hypoxic or disoxia conditions where both Pb and As can become mobile
604 (Hamilton-Taylor and Davison, 1995). Evidence of mass transport deposits and slope failure
605 scarps found in the distal lake slopes suggest that this is increasingly possible (Fielding et
606 al., 2018; Miller et al., 2013). What is more concerning is that in both North Basin cores, As

607 values at the SWI exceed all three SQS. As discussed, Mn and As dissolution and migration
608 under low oxygen conditions to the above water column is common in warm monomictic
609 lakes during the Summer/ Autumn bottom water oxygen low (Keimowitz et al., 2017). This
610 would suggest that significant quantities of As are mobile and being released into the water
611 column during Summer and Autumn months (El Bilali et al., 2002). As discussed above the
612 Water Framework Directive legally obliges stakeholders to return water bodies to “good
613 ecological and chemical status” with reference to pre-anthropogenic chemical, ecological
614 and environmental conditions. Our combined geochemical and sediment microfabric study
615 demonstrates that, despite mitigation measures being put in place, pollution issues still
616 remain in Windermere. With climate change driving current trends of longer and more
617 intense summer lake stratification, the degree of mobilisation of toxic elements may increase
618 in the future, requiring a reappraisal of mitigation strategies.

619

620 **5. Conclusions**

621 A multi-proxy investigation of sediment cores from Windermere, England’s largest natural
622 lake, has yielded a detailed history of changing lake and catchment conditions over the past
623 300 years. Prior to the 19th century in the lake’s South Basin and the 20th century in the North
624 Basin, Fe and Mn rich laminae indicate regular, seasonal-scale ventilation of bottom waters.
625 Following local population increases and associated increasing fossil fuel use through the
626 19th century, Pb content in the sediment increases first in the South Basin (SC67–1810s; SC57–
627 1850s) and from 1910–20 in the North Basin. This is followed later by increases in other
628 metals (Zn, Cu, Hg), and As. At the same time increases in sedimentary $\delta^{13}\text{C}$, and the
629 appearances of monospecific diatom ooze lamina, together with decreasing Fe and Mn
630 lamina show a move to decreasing bottom water ventilation caused by eutrophication.

631 Greater values of sedimentary $\delta^{15}\text{N}$ through the same period are also consistent with
632 enhanced productivity coupled with increases in sewage discharge and farm runoff in to the
633 lake, with the latter two inputs being likely sources of metals and nutrient enrichment. The
634 synchronous increase in metals with indicators of lake productivity also point toward the
635 enhanced incorporation and adsorption of metals to settling diatom aggregates bringing
636 elevated flux to the sediments. Through the middle of the 20th century benthic activity
637 intermittently ceased in the deeper North Basin due to persistent strongly reducing
638 conditions in the sediment and bottom waters as indicated by increased S content, low Fe-
639 Mn values and the formation of unusual Pb-bearing barite mineralisation, hitherto only
640 described from toxic mine wastes and contaminated soils. From 1980 there was a partial
641 recovery, with bioturbated sediment reflecting increases in oxygenation of deep waters. In
642 the South Basin however, elevated $\delta^{15}\text{N}$ of organic matter indicates continued impacts of
643 sewage discharge. Imaging and X-ray microanalysis using scanning electron microscopy has
644 enabled the identification of seasonal-scale redox mineralisation of Mn, Fe, Ba related to
645 intermittent sediment anoxia. Recent, persistent sediment anoxia, strengthened by hypoxic
646 bottom waters during seasonal stratification, has resulted in trace element mobilisation.
647 Subsequent oxidation at the SWI or within the bottom waters has caused significant
648 enrichment of Mn, Fe, As, P and Ba in the surficial sediment, and in the North Basin, As
649 enrichment at the surface exceeds Sediment Quality Standards set by the Australian, New
650 Zealand, Canadian and Netherlands governments. It would thus appear that despite
651 mitigation measures being put in place pollution issues still remain in Windermere, and
652 with climate change driving current trends of increased duration of summer stratification in
653 the lake, the mobilisation of toxic elements may increase in the future.

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663

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938

939 **Figure and table captions**

940 **Fig. 1.** Location of study area and cores. Inset shows position of Windermere in relation to
941 the Lake District topography. The extent of the Windermere catchment is given by the

942 dashed line. Key rivers and water bodies feeding the lake are shown, together with major
943 population centres and sewage treatment works (Triangles). (1.5 column fitting image)

944 **Fig. 2.** Age depth model measurements of artificial radionuclides for cores SC68 (a), SC64
945 (b), SC67 (c), SC57 (d) and calculated linear sedimentation rates (LSR). R^2 shows the linear
946 trend for the $\text{Ln } ^{210}\text{Pb}_{\text{xs}}$ data. In the age panel black circles show the ^{210}Pb CF:CS LSR age
947 depth model at 2 cm intervals. Vertical error indicates bulk sample interval and horizontal
948 error represents the extent of the maximum and minimum age depth based on machine
949 measurement error. White circles show the ^{137}Cs based age depth at 1986 and 1963 with
950 vertical error also indicating the bulk sample interval. Red circles show data points that were
951 removed due to being partly within the mass transport deposit (MTD). Grey triangles show
952 the age depth at the base of the core derived from linear interpolation between ^{210}Pb and ^{14}C
953 derived ages (Table 2)(2 column fitting image).

954 **Fig. 3.** Stratigraphy and Geochemistry for North Basin Cores SC68 and SC64. Core depth in
955 cm, core photograph, core x-radiograph, lithological units, lithostratigraphy, sediment fabric
956 types, ^{210}Pb CF:CS LSR age depth model for the North Basin gravity cores. For geochemistry
957 black lines show Itrax ED-XRF Fe, Mn, Pb, As, S and P (lower scale). Red dots show discreet
958 WD-XRF data for K_2O , Fe_2O_3 , MnO, Pb, As, S and P_2O_5 (titles in brackets, upper scales).
959 Vertical errors on WD-XRF show the sampling interval. Water depths of each coring site are
960 shown above the corresponding core. (2 column fitting image).

961 **Fig. 4.** Stratigraphy and Geochemistry for South Basin Cores SC67 and SC57. Core depth in
962 cm, core photograph, core x-radiograph, lithological units, lithostratigraphy, sediment fabric
963 types, ^{210}Pb CF:CS LSR age depth model for the South Basin gravity cores. For geochemistry
964 black lines show Itrax ED-XRF Fe, Mn, Pb, As, S and P (lower scale). Red dots show discreet
965 WD-XRF data for K_2O , Fe_2O_3 , MnO, Pb, As, S and P_2O_5 (titles in brackets, upper scales).

966 Vertical errors on WD-XRF show the sampling interval. Water depths of each coring site are
967 shown above the corresponding core. (2 column fitting image).

968 **Fig. 5.** Organic chemistry for SC68 and SC57. Core depth in cm, core photograph, core x-
969 radiograph, lithostratigraphy, sediment fabric types, ²¹⁰Pb CF:CS LSR age depth model for
970 the South Basin gravity cores. This is followed by $\delta^{13}\text{C}$, $\delta^{15}\text{N}$, TOC, TN, C/N (grey dots).
971 Vertical error shows the sampling interval for each data point (2 column fitting image).

972 **Fig. 6.** Detailed sediment and microfabric types as identified using optical thin section
973 microscopy (left) and backscatter electron imagery (middle). Fabric types: [a] pelleted [b]
974 homogenous, [c] laminated (alternating porous and terrigenous-rich laminae), [d] dark
975 muds. Key sediment fabric features are featured (left). (1.5 column fitting image).

976 **Fig. 7.** Position of *Aulacoseira subarctica* bloom lamina (A) within SC11 optical thin section
977 and back scatter electron image (BSEI) and *Asterionella formosa* bloom lamina (B) within SC14
978 optical thin section and BSEI, and detailed optical thin section and BSEI form both A and B.
979 (2 column fitting image).

980 **Fig. 8.** Core photograph of SC68 with depth and age showing the position of rhodochrosite
981 minerals shown in panel A, with corresponding EDS elemental map showing Mn
982 highlighted in purple. Lower panel shows the EDS line scan of Mn and Ca in mineral across
983 x-y. Panel B shows amorphous Fe oxyhydroxide, as determined by EDS from location
984 indicated in panel A. (2 column fitting image).

985 **Fig. 9.** Optical thin section, back scatter electron image, mineral lamina log, EDS map (Si, Mn
986 and Fe) and EDS line scan (Si, Mn and Fe) of alternating laminae for thin sections (A)
987 SC68_19, (B) SC68_20 and (C) SC68_21 from SC68. (2 column fitting image). (2 column fitting
988 image).

989 **Fig. 10.** Core SC68 photograph along with depth and age showing the position of samples
990 containing examples of (A) multiple barite-anglesite minerals, and (B, top) and individual
991 barite-anglesite mineral. (B, bottom) Also shown is an EDS line scan of Pb, Ba and S in
992 mineral B. (2 column fitting image).

993 **Fig. 11.** A time line of the anthropogenic environmental variables. Modern artificial fertiliser
994 manufacturing began in the UK in the early 20th century (dashed line), exceeded 200 Tonnes
995 (N x 10³) use nationwide in ~1945 (solid line) and peaked in ~1990 (diamond) (McGowan et
996 al. 2012). $\Delta^{15}\text{N}$ and aspects of significant sediment chemistry results from this paper are
997 shown for the North (SC68) and South Basin (SC57). For chemistry, solid lines represent
998 onset and increase in concentration; dashed lines represent decreasing values. Full
999 geochemistry is given in Figs 3 and 4 and discussed in the text. (1.5 column fitting image).

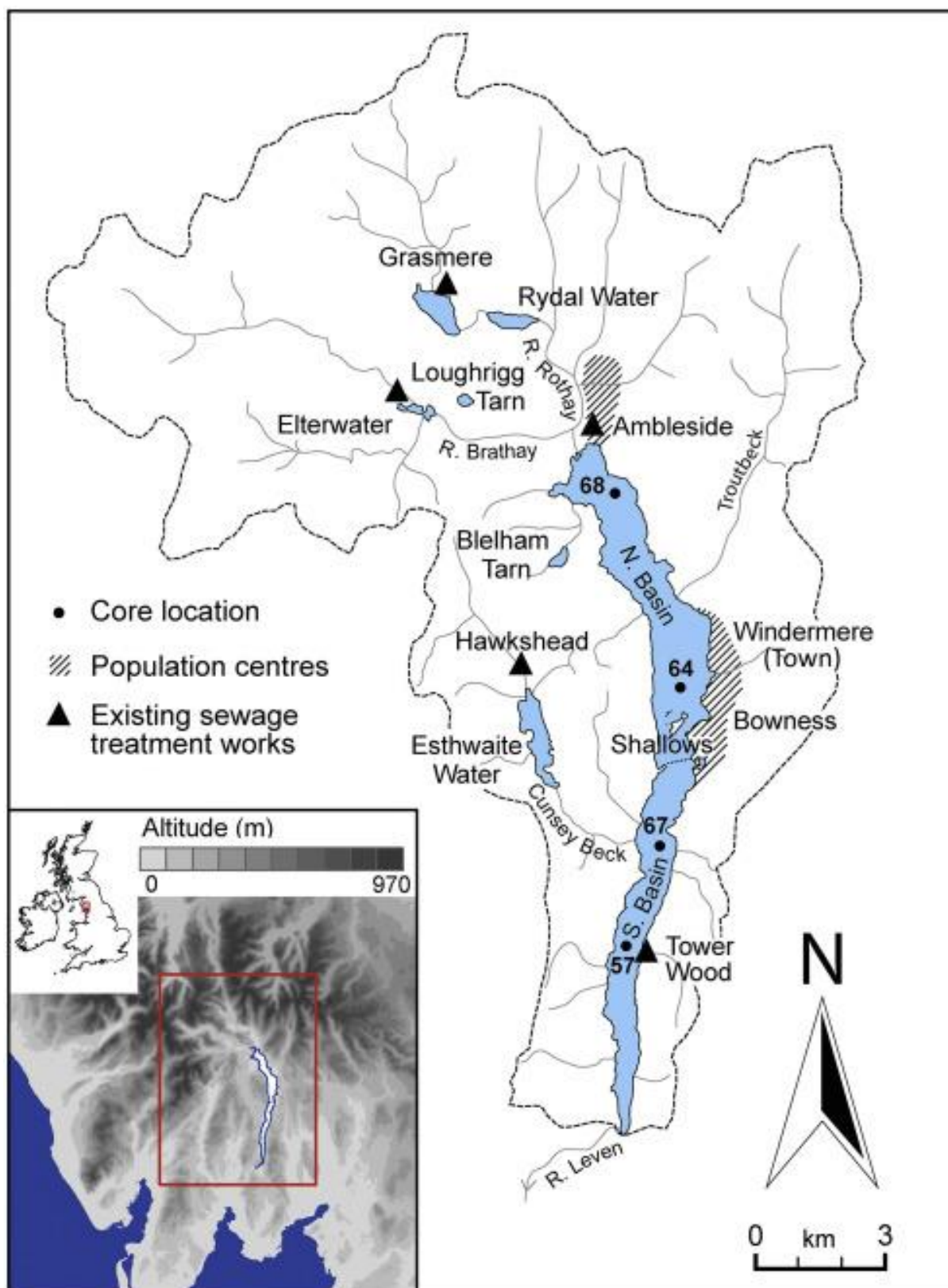
1000 **Fig. 12.** Comparison of sediment diatom record with FBA diatom record through the period
1001 1977–2014. Asta - *Asterionella Formosa*; Aula - *Aulacoseira subarctica*. (1 column fitting image)

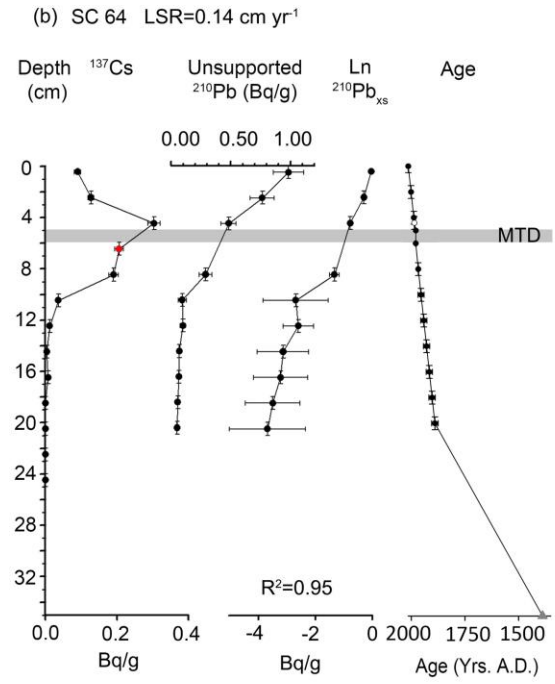
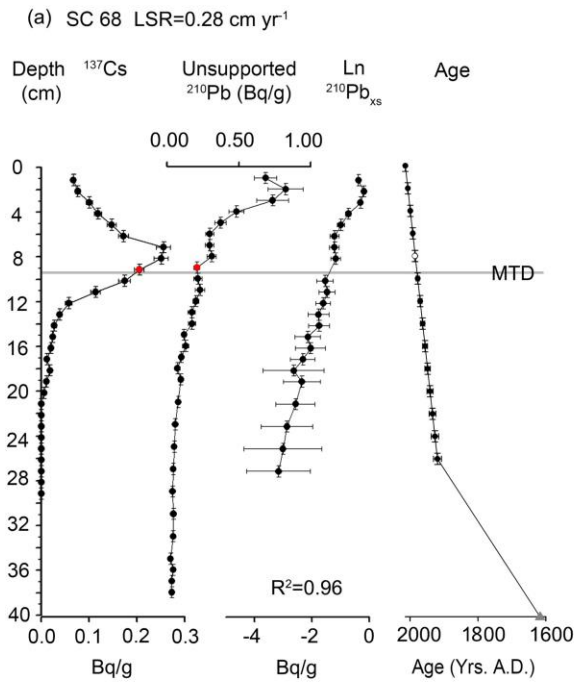
1002 **Fig. 13.** SC68 (a), SC64 (b), SC67 (c) and SC57 (d) Pb (black dots, left y-axis), As (red
1003 triangles, right y-axis) concentrations over time along with Australian-New Zealand,
1004 Canadian (short dashes) and Netherlands (long dashes) sediment quality standards for Pb
1005 (black) and As (red). (2 column fitting image)

1006 **Table 1.** Gravity core location description and coordinates, depth and length.

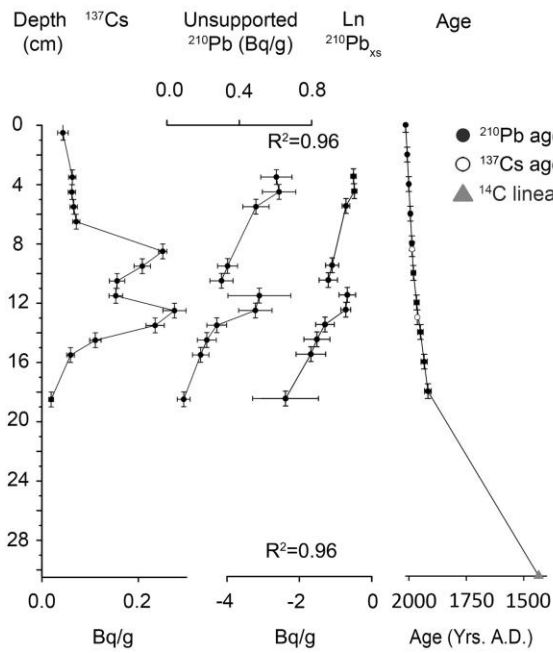
1007 **Table 2.** The depth, age and 2σ calibrated ages of the top most ^{14}C from the piston cores at
1008 each site. The depth scale is composite constructed using overlap between the gravity and
1009 piston cores. Mean ages and radiocarbon 2σ age ranges are expressed years before present
1010 (1950 A.D.).

1011

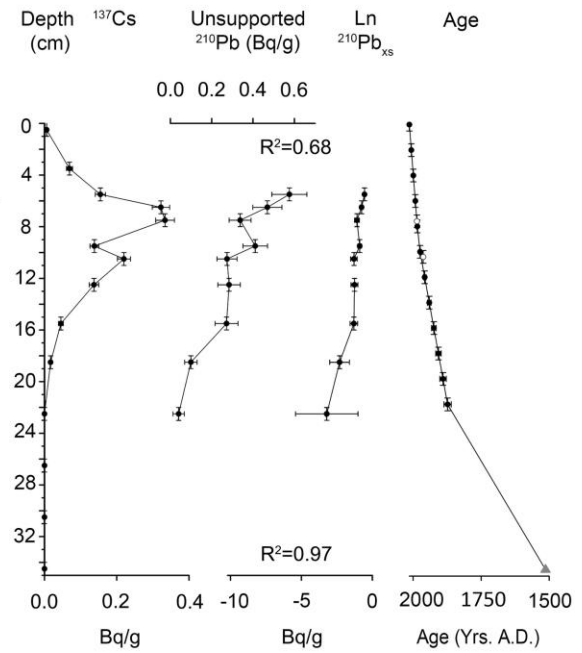


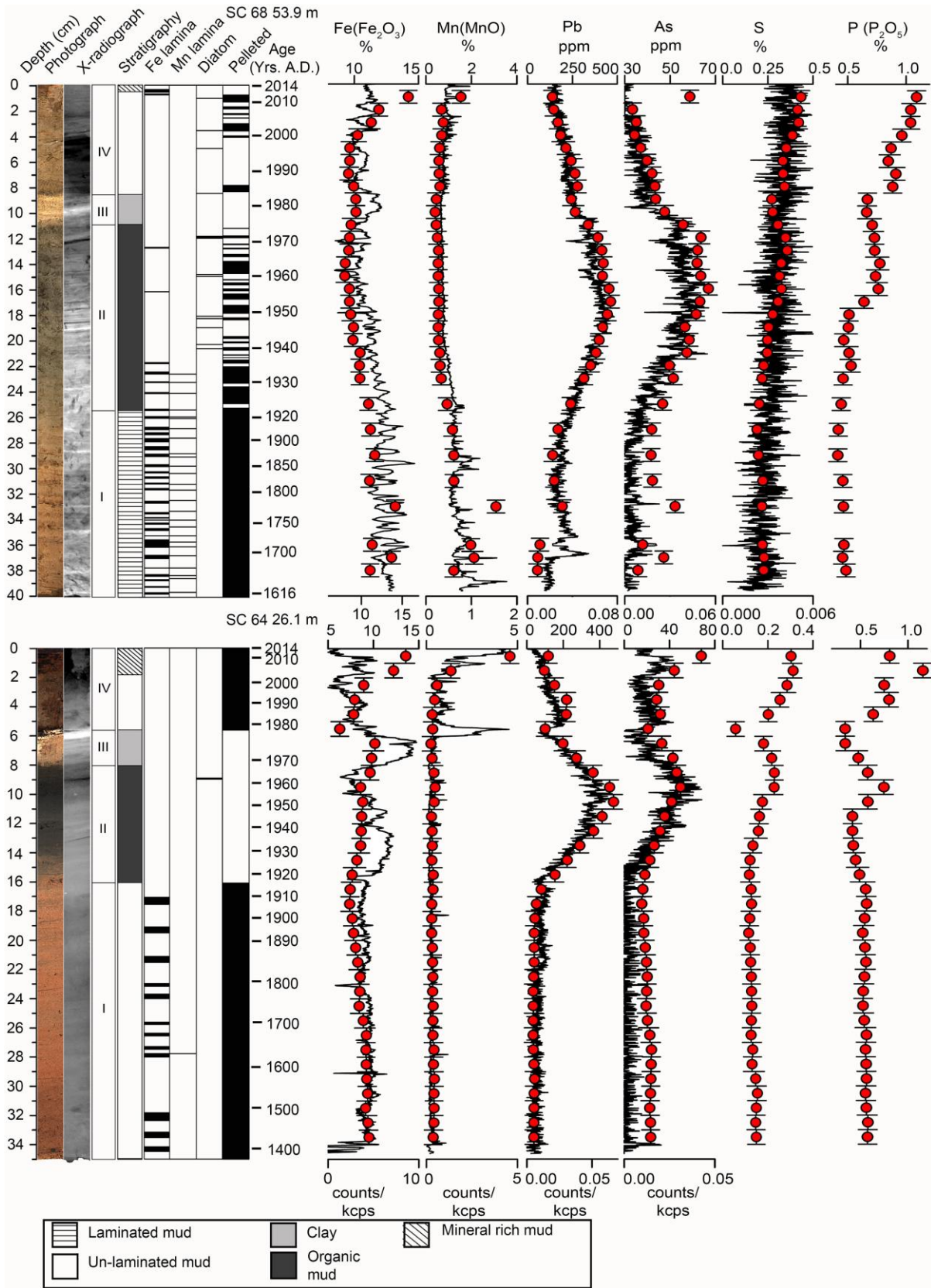


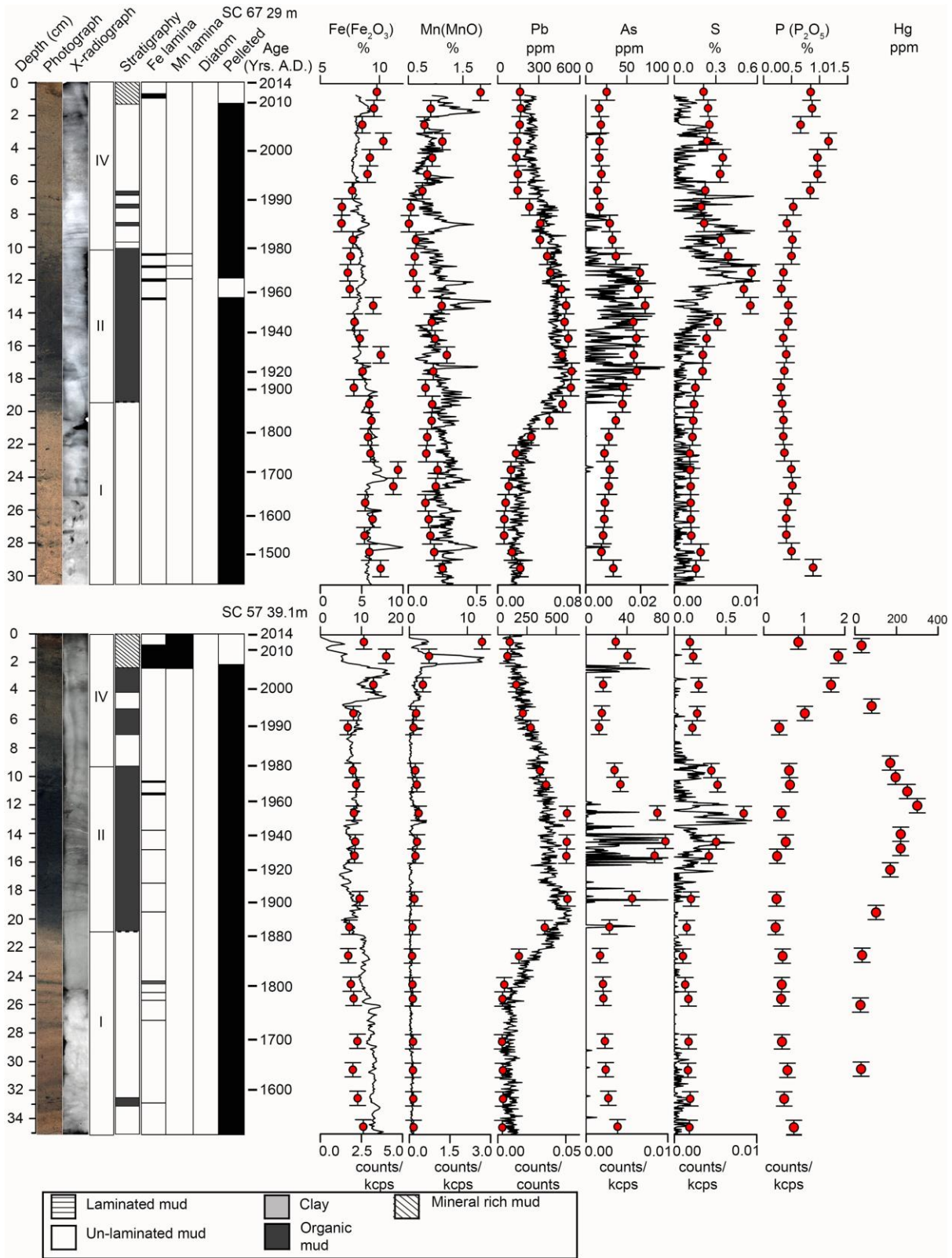
(c) SC 67 LSR (0 - 10.5 cm) = 0.29 cm yr⁻¹
LSR (10.5 - 30.5 cm) = 0.12 cm yr⁻¹

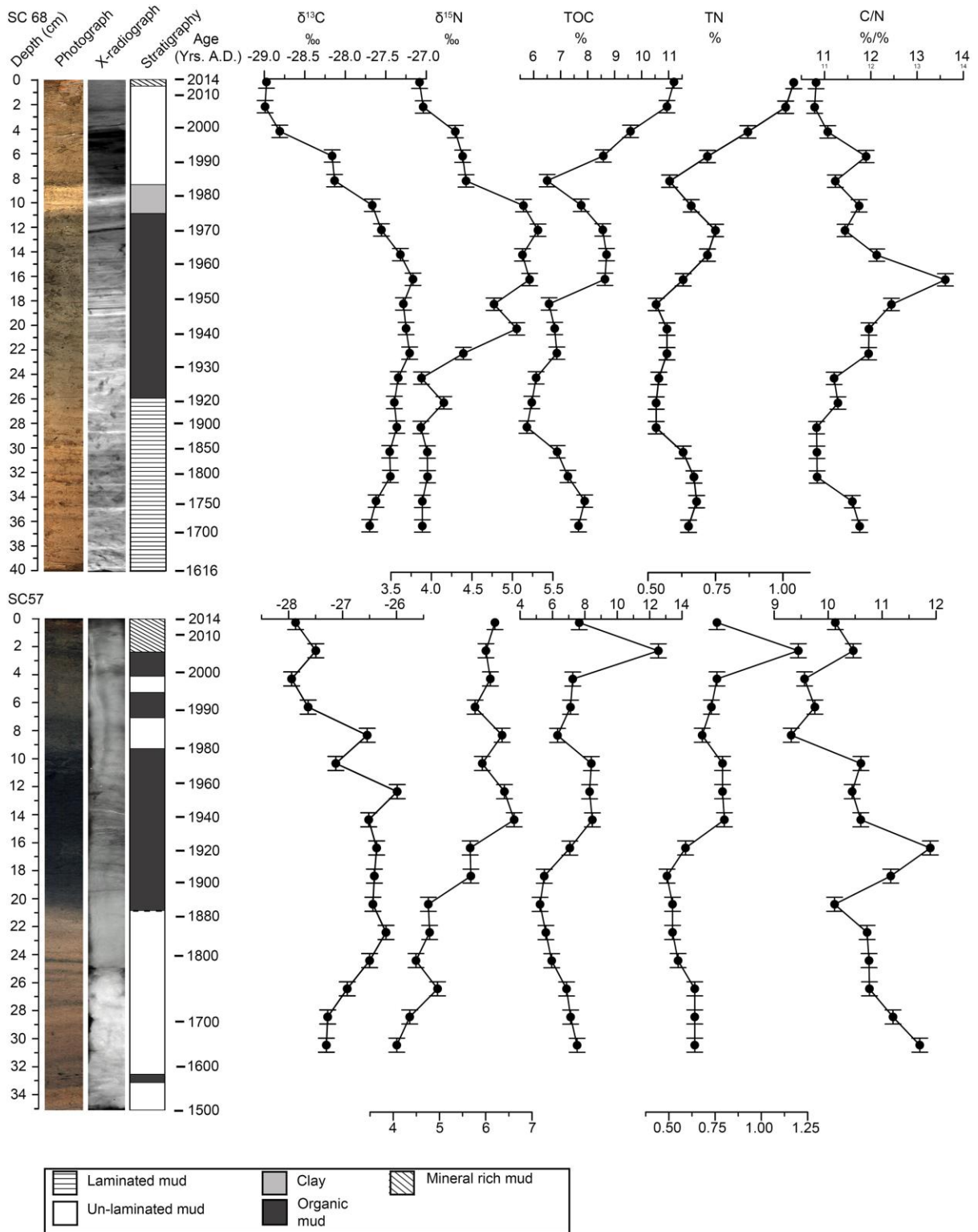


(d) SC 57 LSR (0 - 11.5 cm) = 0.27 cm yr⁻¹
LSR (11.5 - 35.5cm) = 0.12 cm yr⁻¹



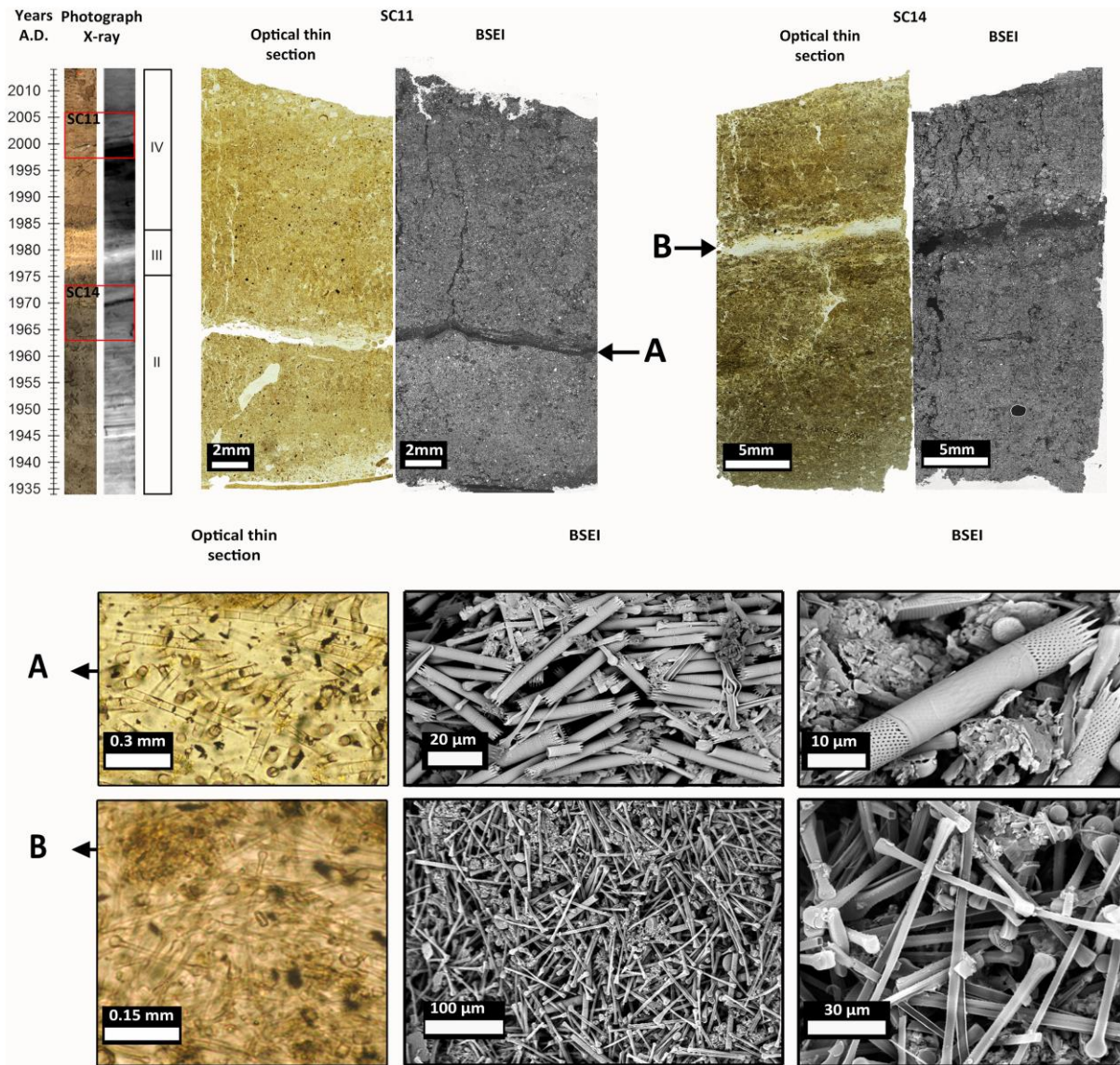


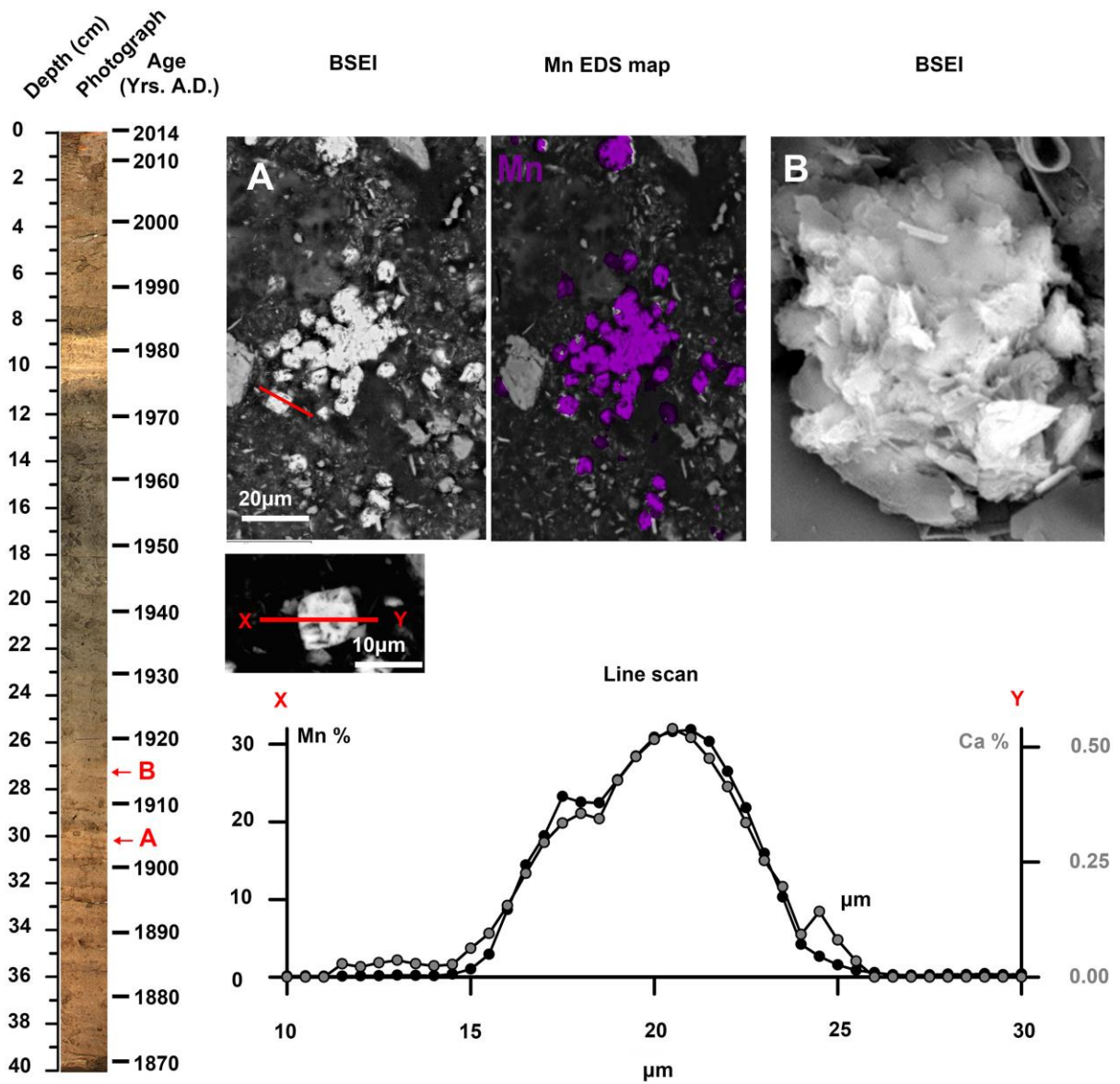




Sediment and Fabric type

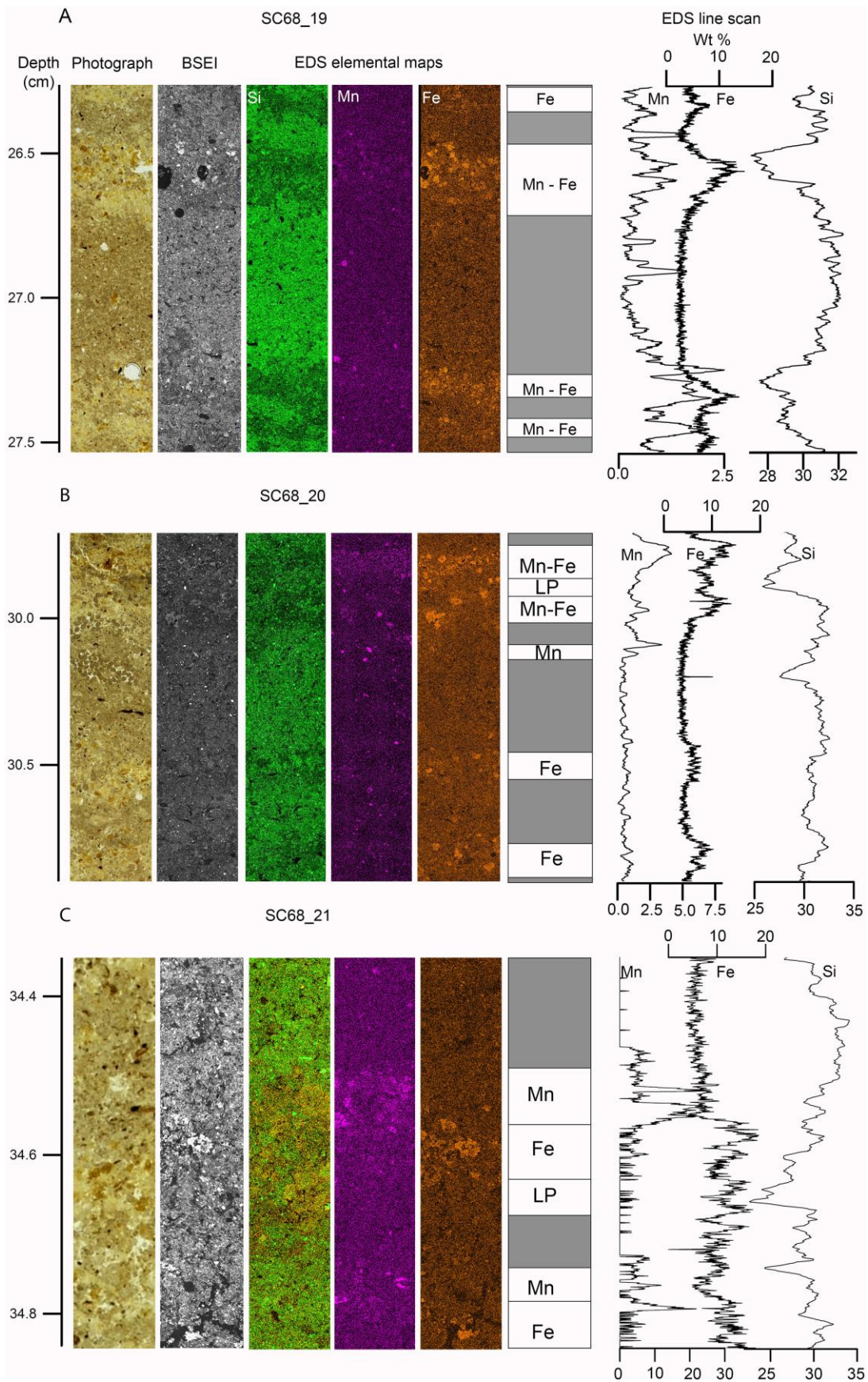
<p>Pelleted A</p>	<p>Pervasively pelleted silty clay</p> <p>pellet diam. 50- 350µm</p> <p>occurs in all Units but less in Unit II</p>
<p>Homogenous B</p>	<p>Homogenous silty clay</p> <p>may contain some pellets</p> <p>occurs in all Units</p>
<p>Laminated C</p>	<p>Laminated silty clay</p> <p>alternating more and less porous laminae</p> <p>porous laminae often with Fe/ Mn minerals</p> <p>occurs mainly in Units I & II and core tops</p>
<p>Dark muds D</p>	<p>Dark silty clay</p> <p>high organic content</p> <p>elevated TOC, S</p> <p>defines Unit II</p>

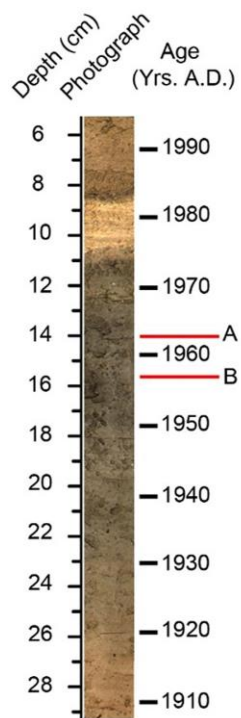




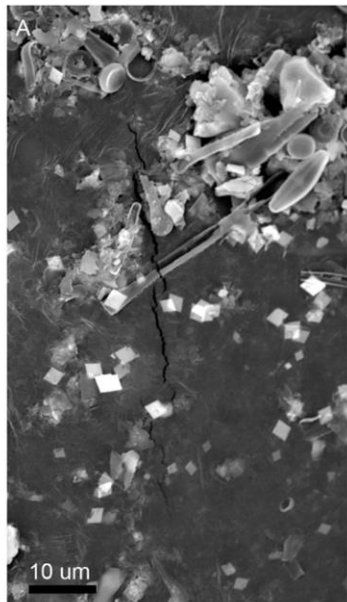
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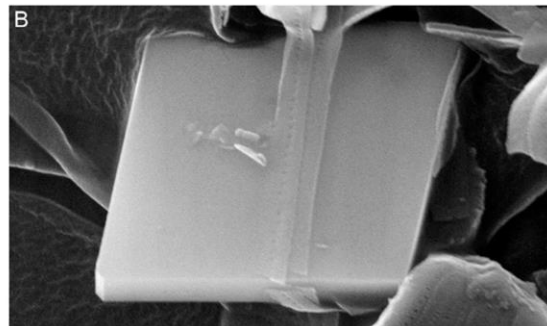




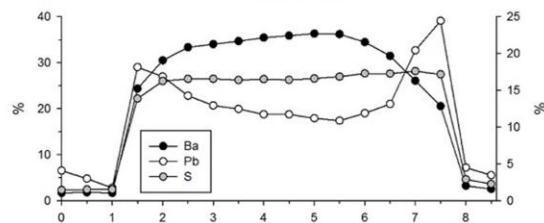
BSEI



BSEI



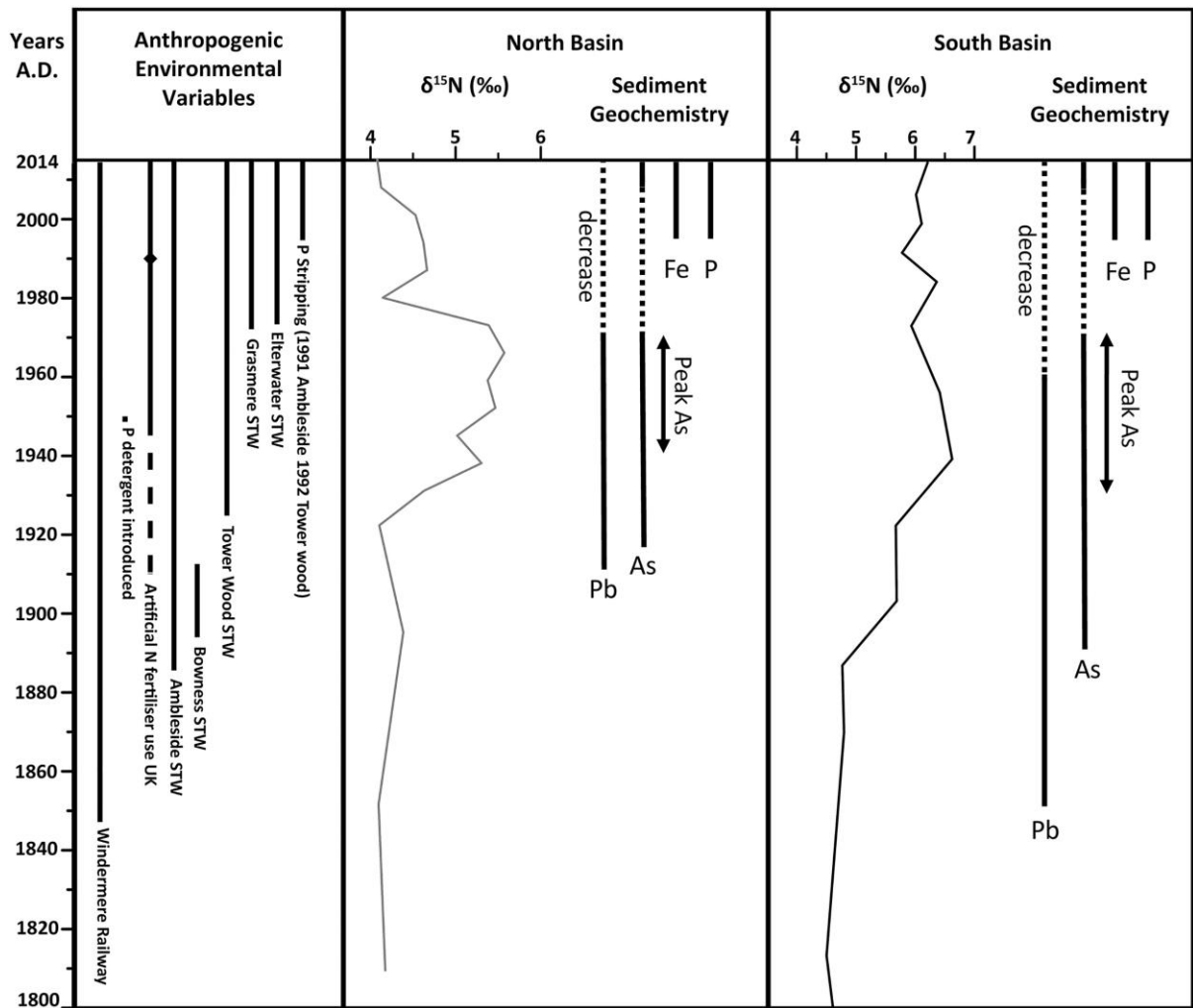
Line scan



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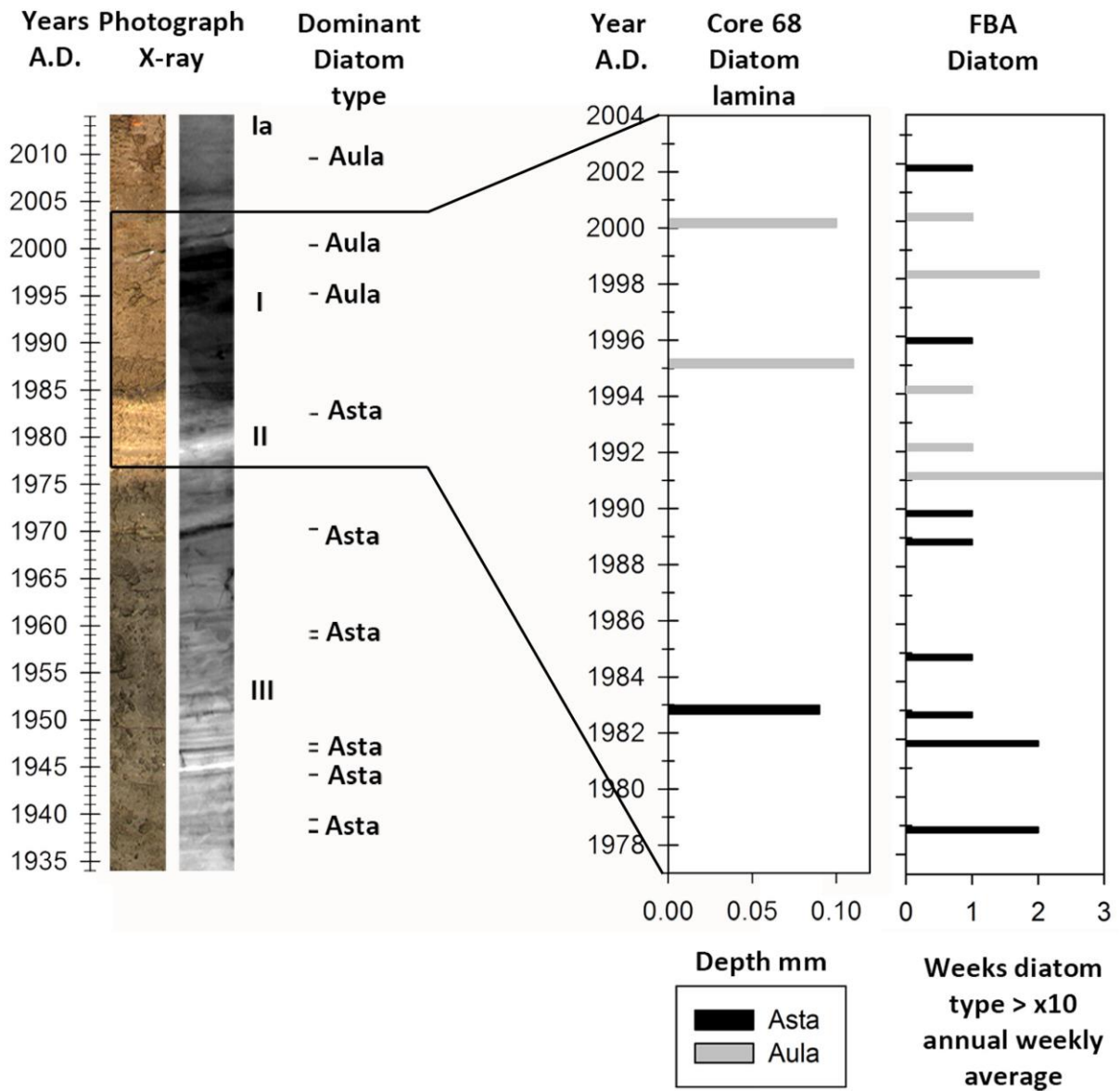
1042 Figure 11



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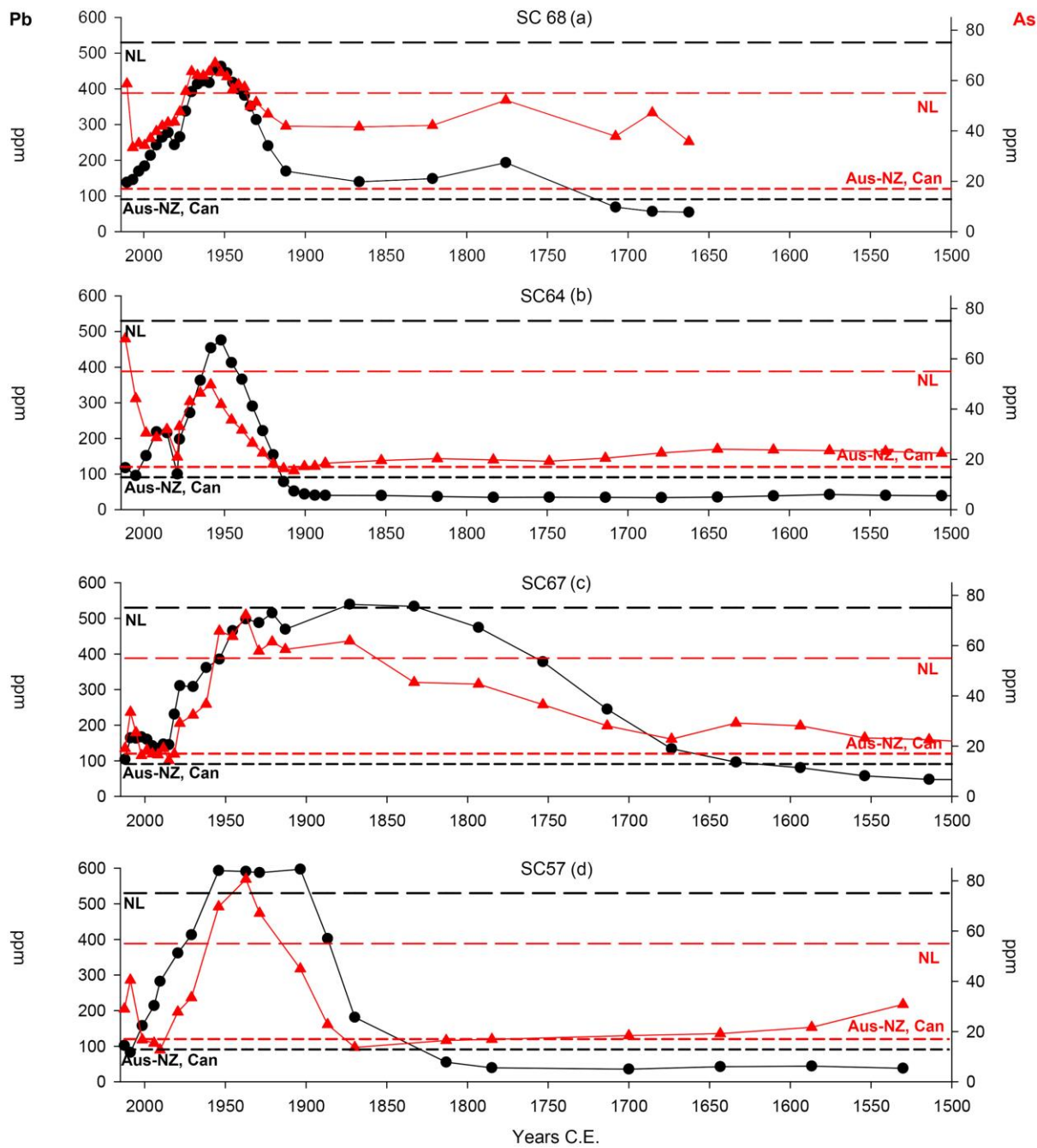
1044

1045 Figure 12



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1047



1051 **Table 1**

1052

Core	Location	Coordinates (UTM)	Water depth	Total length
SC68	Deep North Basin	502902, 6029135	53.9 m	40cm
SC64	Intermediate North Basin	504184, 6024639	26.1 m	35cm
SC67	Intermediate South Basin	503764, 6020856	29 m	30.5cm
SC57	Deep South Basin	503288, 6018757	39.1 m	35cm

1053

1054

1055 **Table 2**

Core	Depth	Sample type	Mean Age Yrs. B.P.	2σ calibrated ages
68	69.5	bulk	1020	1109 - 1125
64	92	leaf	2544	2363-2620
67	48	twig	1214	1083-1160
57	78	wood	1651	1554-1732

1056

1057 **Supplementary material for:**

1058

1059 **Tracing lake pollution, eutrophication and partial recovery**
1060 **from the sediments of Windermere, UK, using geochemistry**
1061 **and sediment microfabrics**

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1073 **6. Supplementary material**

1074 **6.1. Detailed methods**

1075 *6.1.1. Coring and location*

1076 Following the bathymetric surveys outlined in Miller et al. (2013) cores were taken with a
1077 Uwitec 86 mm diameter gravity corer over one day using two small vessels. On recovery
1078 sodium polyacrylate was used to solidify the water and preserve the water-sediment
1079 interface (WSI), a simple log was then taken before transport to the British Ocean Sediment
1080 Core Facility (BOSCORF).

1081 *6.1.2. Initial sampling and core logging*

1082 Digitally imaging was done using a Geotek™ Multi Sensor Core Logging-Core Imaging
1083 System (MSCL-CIS) and X-rayed. Slab samples were taken continuously with overlapping

1084 sections for microfabric studies. The 1 cm-thick slabs were further X-rayed using a Hewlett
1085 Packard Faxitron X-radiography cabinet at 35 kVe for 10 seconds.

1086 *6.1.3. Microlithostratigraphy*

1087 To complement geochemical analyses, changes in the sediment microfabric were studied.
1088 Following sub sampling, slabs underwent fluid-displacive resin embedding after which
1089 covered thin sections (CTS) for optical microscopy and polished thin sections (PTS) for
1090 backscatter electron imagery were prepared. PTS were imaged and analysed using a Carl
1091 Zeiss LEO 1450VP Scanning Electron Microscope (SEM).

1092 *6.1.4. Geochemical analysis*

1093 *6.1.5. Itrax XRF Core scanning*

1094 The core archive-half surface was analysed using an itrax XRF core scanner that incorporates
1095 and Energy Dispersive X-Ray Fluorescence analysis (ED-XRF) system (Croudace et al., 2006)
1096 with a step size of 200 µm. Data points were excluded from the analysis where surface
1097 discontinuities (e.g. cracks etc) led to reduced count rates. Data points with zero validity or a
1098 mean standard error of >5 were also excluded from analysis following recommended
1099 procedures. Elemental data (counts) was normalised by dividing it by total kilocounts per
1100 second (kcps) for each interval to account for changes in the core density and surface high
1101 between sample points and cores (Croudace et al. 2006).

1102 *6.1.6. Wavelength Dispersive XRF*

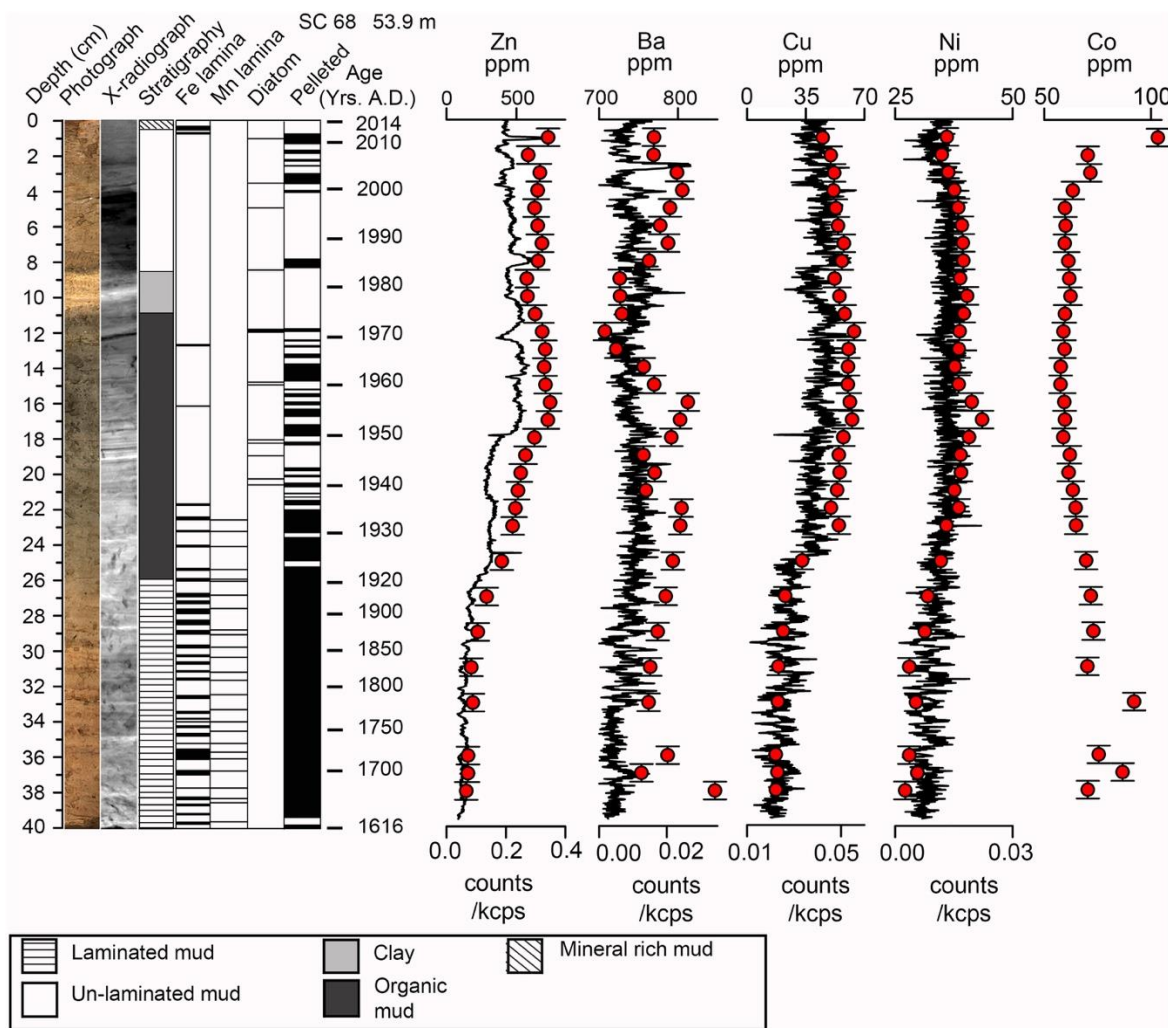
1103 In order to calibrate and complement the ED-XRF semi-quantitative itrax analysis,
1104 continuous 1 cm-thick homogenised bulk samples were analysed to produce fully
1105 quantitative results using a Philips Magix-Pro Sequential Wavelength Dispersive XRF
1106 Spectrometer WD-XRF (Almelo - Holland), with a 4 kW rhodium anode X-ray tube.

1107 6.1.7. SEM-based energy dispersive X-ray microanalysis

1108 The EDS instrumentation for elemental microanalysis was an Oxford Instruments X-Act
1109 10mm² area Silicon Drift Detector, coupled with the AZtec Energy software system (v.3.1).
1110 Line scans were run at 20 kV, a WD of 19 mm, and a dwell time per analysis site of 60
1111 seconds, with EDS data collected at ~5,000 cps. The calculated data have been acquired
1112 using standardless analysis, hence all results are normalized to 100%. Minimum detection
1113 limits are 0.195% for Na K α and decreasing to 0.085% for Ca K α (Goldstein et al., 2003).

1114 6.1.8. TOC, TN, $\delta^{13}\text{C}$

1115 SC68 from the North Basin and SC57 from the South Basin were analysed for TOC, TN,
1116 $\delta^{13}\text{C}$. 1 g samples were taken continuously at 2 cm intervals and freeze dried for 48 hours.
1117 Between 13 to 15 mg were taken from 6 samples representing end member sediment types in
1118 both cores were analysed for calcium carbonate (CaCO₃) content at the University of
1119 Southampton on AutoMate + CM5015 coulometer. All samples were analysed for TOC, TN,
1120 $\delta^{13}\text{C}$ using a Elementar Vario Isotope Cube Elemental Analyser equipped with a TCD
1121 (Thermal conductivity detector) which is interfaced with an Isoprime 100 continuous flow
1122 isotope ratio mass spectrometer (IRMS). Acetanilide was used as an elemental standard for
1123 C and N and USGS40 and USGS41 as international reference materials for the normalisation
1124 of the isotope ratios.



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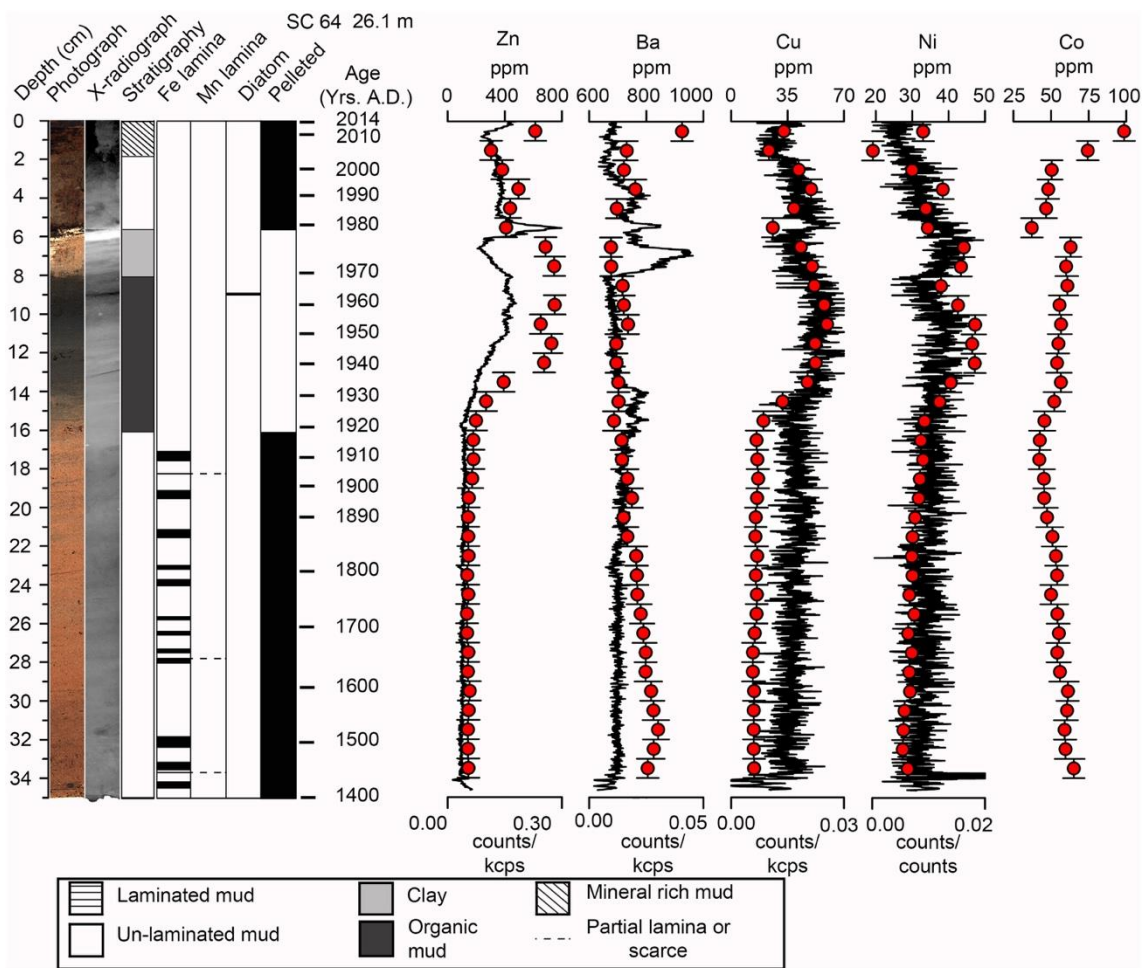
1127

1128 **Supplementary Fig. 1.**

1129 Stratigraphy and geochemistry for SC68. Core depth in cm, core photograph, core x-
 1130 x-radiograph, lithostratigraphy, sediment fabric types, ²¹⁰Pb CF:CS LSAR age depth
 1131 model for the North Basin gravity cores. For geochemistry black lines show Itrax ED-
 1132 XRF Zn, Ba, Cu, Ni and Co (lower scale). Red dots show discrete WD-XRF data for
 1133 Zn, Ba, Cu, Ni and Co (titles in brackets, upper scales). Vertical errors on WD-XRF
 1134 show the sampling interval. Water depths of each coring site are shown above the
 1135 corresponding core.
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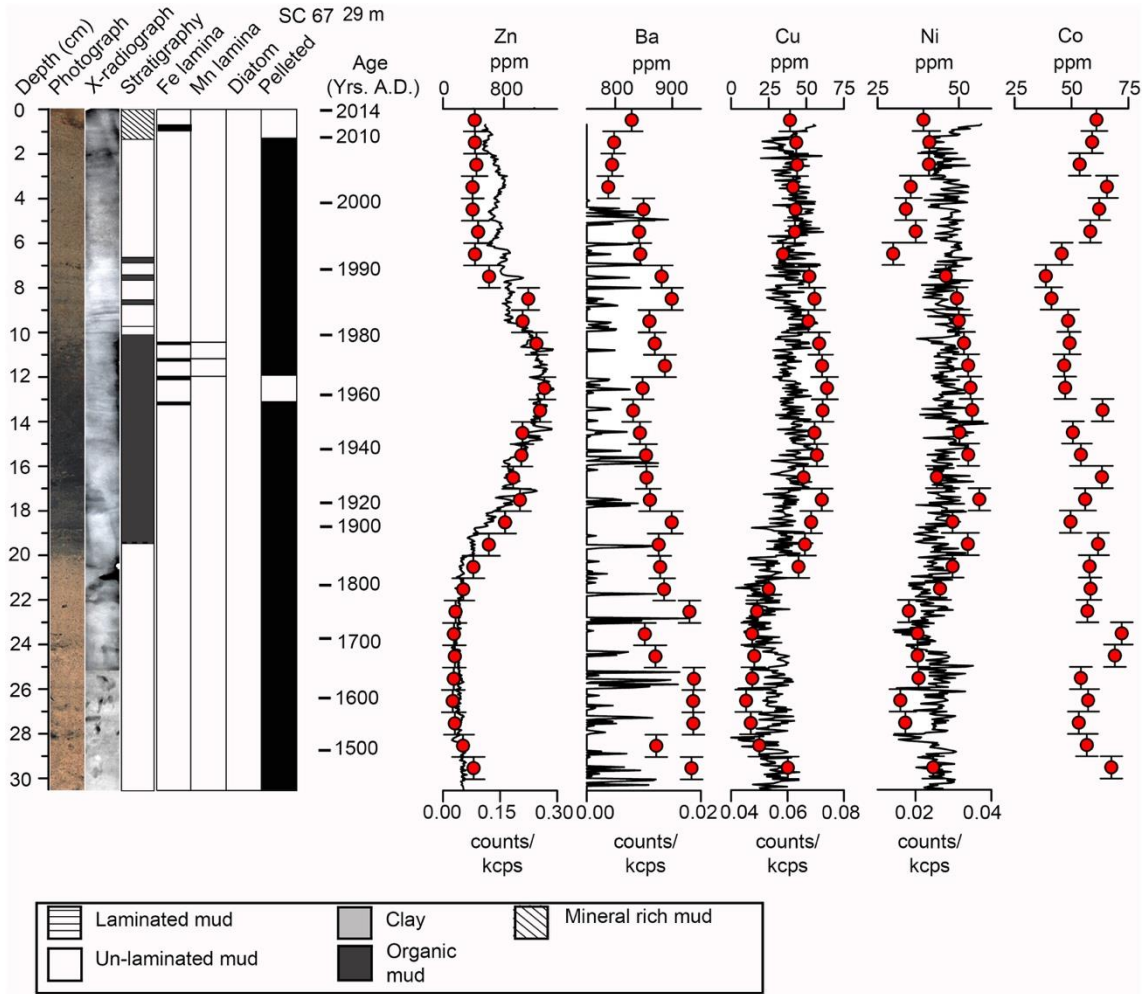
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1142 **Supplementary Fig. 2.**

1143 Stratigraphy and geochemistry for SC64. Core depth in cm, core photograph, core x-
1144 x-radiograph, lithostratigraphy, sediment fabric types, ²¹⁰Pb CF:CS LSAR age depth
1145 model for the North Basin gravity cores. For geochemistry black lines show Itrax ED-
1146 XRF Zn, Ba, Cu, Ni and Co (lower scale). Red dots show discreet WD-XRF data for
1147 Zn, Ba, Cu, Ni and Co (titles in brackets, upper scales). Vertical errors on WD-XRF
1148 show the sampling interval. Water depths of each coring site are shown above the
1149 corresponding core.

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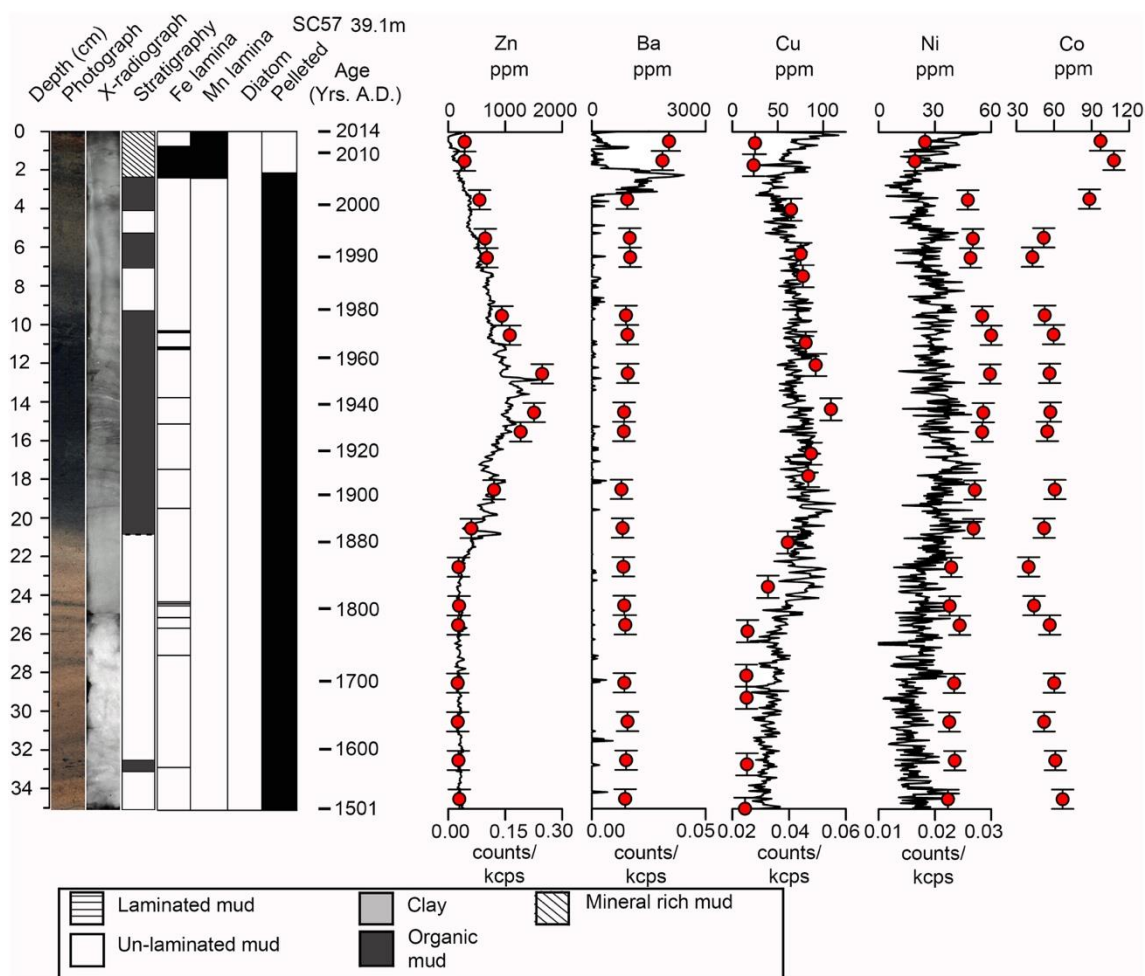
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1154 **Supplementary Fig. 3.**

1155 Stratigraphy and geochemistry for SC67. Core depth in cm, core photograph, core x-
1156 radiograph, lithostratigraphy, sediment fabric types, ²¹⁰Pb CF:CS LSAR age depth
1157 model for the North Basin gravity cores. For geochemistry black lines show Itrax ED-
1158 XRF Zn, Ba, Cu, Ni and Co (lower scale). Red dots show discreet WD-XRF data for
1159 Zn, Ba, Cu, Ni and Co (titles in brackets, upper scales). Vertical errors on WD-XRF
1160 show the sampling interval. Water depths of each coring site are shown above the
1161 corresponding core.
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1166 **Supplementary Fig. 4.**

1167 Stratigraphy and geochemistry for SC57. Core depth in cm, core photograph, core x-
1168 radiograph, lithostratigraphy, sediment fabric types, ²¹⁰Pb CF:CS LSAR age depth
1169 model for the North Basin gravity cores. For geochemistry black lines show Itrax ED-
1170 XRF Zn, Ba, Cu, Ni and Co (lower scale). Red dots show discreet WD-XRF data for
1171 Zn, Ba, Cu, Ni and Co (titles in brackets, upper scales). Vertical errors on WD-XRF
1172 show the sampling interval. Water depths of each coring site are shown above the
1173 corresponding core.

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