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ENUMERATION OF SEMIORDERS ON A FINITE SET

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ABSTRACT

The aim of this paper is to compute the number of semiorders on a finite set X .

The semiorder model has been introduced by LUCE R.D. (1956) to deal with non transitive indifference judgements. According with SCOTT D. and SUPPES P. (1958), a semiorder on the finite set X is a relation R such that there exist :

- a numerical function u defined on X ,
- a positive number σ ,

with :

$$x R y \iff u(x) \geq u(y) - \sigma$$

For example, a complete order and a complete quasiorder are semiorders.

Let $n = \text{card}(X)$ and q_n , the number of semiorders defined on X . The following formula is established in the paper :

$$(1) \quad q_n = \sum_{k=1}^n (-1)^{n-k} S(n,k) \{2k\}_{k-1}$$

with $S(n,k)$, the stirling numbers of the second kind and $\{a\}_b = a(a-1)\dots(a-b+1)$.

For example one can obtain :

n	1	2	3	4	5	6	7
q_n	1	3	19	183	2371	38703	763099

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Nevertheless the previous formula is alternate which makes some trouble in the evaluation of q_n when n increases. In order to avoid this disagreement, the paper proposes another way for computing q_n . The basic formula is :

$$(2) \quad q_n = \sum_{k=1}^n p_{n,k} c_k$$

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Here $p_{n,k} = S(n,k) k!$ is the number of complete quasiorders on X with k classes. Using the recurrence relation of the Stirling number of the second kind we obtain :

$$p_{n,k} = k(p_{n-1,k-1} + p_{n-1,k})$$

With the initial conditions :

$$p_{n,1} = 1 \text{ and } p_{n,n} = n!$$

the calculation of the $p_{n,k}$ is then easy.

In order to define c_k , we introduce the complete quasiorder S_R associated to a semiorder R . (LUCE R.D. (1956)). This relation is defined by :

$$x S_R y \iff \forall z : z R x \Rightarrow z R y \quad \text{and} \quad y R z \Rightarrow x R z.$$

Then c_k is the number of semiorders defined on a set with k elements such that the associated quasiorders are orders. In the first part of the paper the formula (2) is established.

The main problem is the evaluation of c_k . To solve it, we define the notion of "subdiagonal scale of order (n,k) " (SDS of order (n,k)) ; this is a double sequence of integers $(a_j, b_j)_{1 \leq j \leq q}$ such that :

$$0 < a_1 < \dots < a_q = n$$

$$0 < b_1 < \dots < b_q = k$$

$$a_j \leq b_j \quad \text{for every } j$$

(A similar notion has been defined by KREWERAS G. (1970)).

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A "strict subdiagonal scale of order (n,k)" (SSDS of order (n,k)) is a SDS of order (n,k) for which :

$$a_j < b_j \text{ for every } j < q.$$

Let $c_{n,k}$ and $c_{n,k}^*$ the numbers of SDS and SSDS of order (n,k).

Using a characterization of the semiorders proposed by MENUET J. (1974) and JACQUET-LAGREZE E. (1975), we first prove that :

$$(3) \quad c_n = c_{n,n}$$

Secondly we prove that

$$(4) \quad c_{n,n} = c_{n+1,n}^*$$

thirdly we make a connection between the $c_{n,k}^*$ and the generalized ballot numbers $d_{n,k}^*$ introduced by CARLITZ L. (1969) :

$$(5) \quad d_{n,k}^* = c_{2k-n+1,k}^*$$

These last numbers can be evaluated by the following formulas :

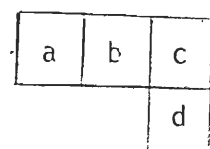
$$d_{n,k}^* = d_{n,k-1}^* + d_{n-1,k-1}^* + d_{n-2,k-1}^*$$

$$d_{n,k}^* = 0 \text{ if } n > k \text{ or } n=0$$

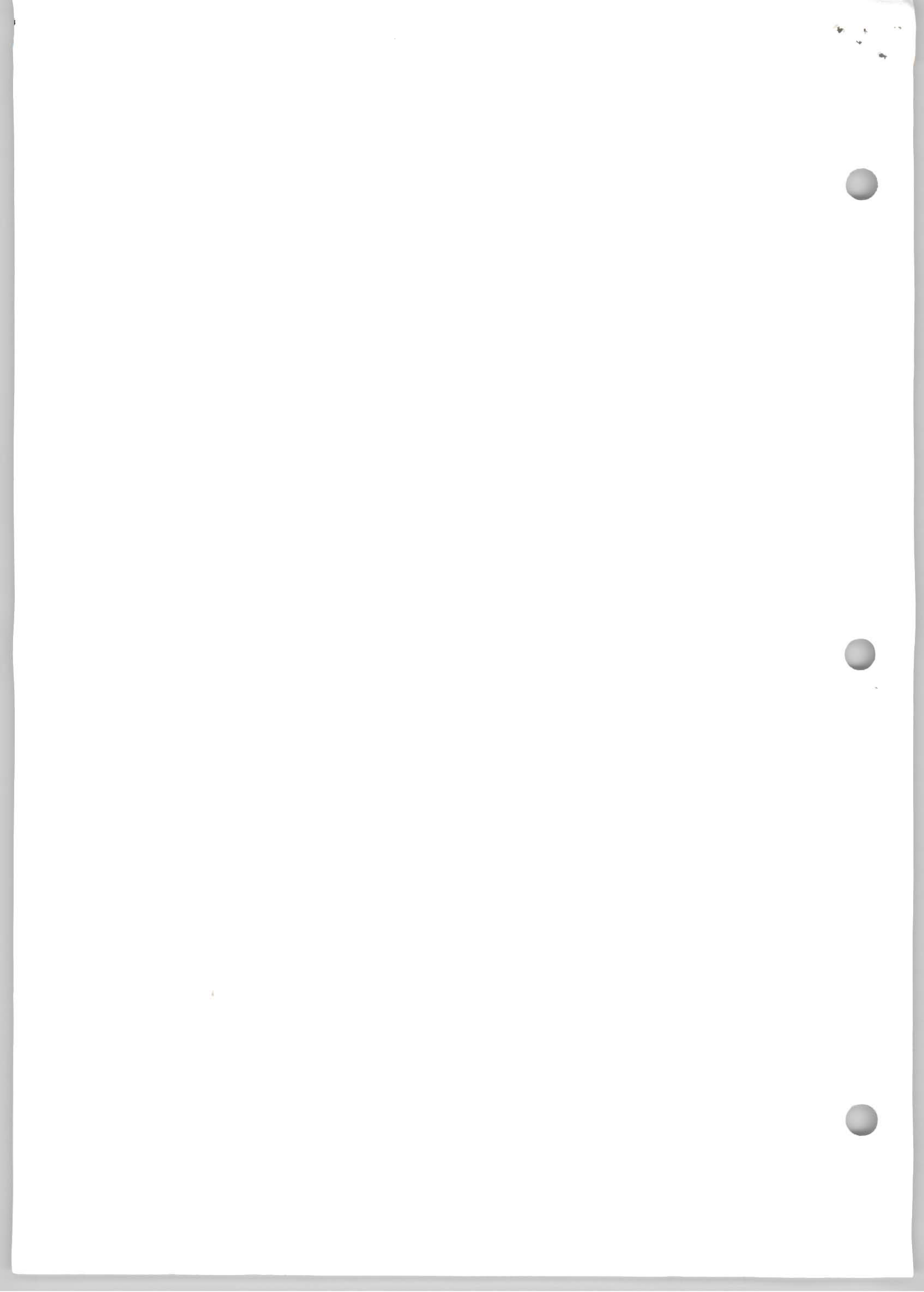
$$d_{1,k}^* = 1.$$

Example :

	n=1	2	3	4	5	6
k=1	1	0	0	0	0	0
2	1	1	0	0	0	0
3	1	2	2	0	0	0
4	1	3	5	4	0	0
5	1	4	9	12	9	0
6	1	5	14	25	30	21



$$d = a+b+c$$



As a consequence of formulas (3) (4) and (5), the numbers c_n appear on the diagonal of the previous table.

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