

1 **The environmental triangle of the Cerrado domain: ecological factors driving shifts in**
2 **tree species composition between forests and savannas**

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17 1. The Cerrado Domain of central Brazil houses the largest extent of savanna in the Neotropics,
18 but despite its simple characterisation as a giant savanna, it contains considerable vegetation
19 heterogeneity that is poorly understood.

20 2. We aimed to determine how vegetation types in the Cerrado diverge in their tree species
21 composition and what role ecological factors play in driving compositional patterns.

22 3. We used a dataset of 1,165 tree species inventories spread across the Cerrado Domain, which
23 come from six **vegetation types that have a substantial arboreal component: woody savannas,**
24 **dystrophic cerradão, mesotrophic cerradão, seasonally dry tropical forests, semideciduous**
25 **forests and evergreen forests. We found three extremes in terms of tree species composition,**
26 **with clear underlying ecological drivers, which leads us to propose a ternary model, the**
27 **‘Cerrado Vegetation Triangle’, to characterize woody vegetation in the Cerrado. At one**
28 **extreme, we found that semideciduous and evergreen forests are indistinguishable floristically**
29 **and are found in areas with high water availability. At another extreme lie seasonally dry**
30 **tropical forests which are found on more fertile soils. At the third extreme, we found that all**
31 **types of savanna, and dystrophic cerradão, are highly similar in tree species composition and**
32 **are commonly found in areas of poor soils and high flammability. Mesotrophic cerradão is**
33 **transitional in tree species composition between savannas and seasonally dry tropical forest.**

34 4. The lack of variation in tree species composition attributed to climatic variables indicates
35 that within homogeneous macroclimatic zones, many types of forest and savanna co-exist due
36 to complex mosaics of local substrate heterogeneity and fire history.

37 5. Synthesis. **Our findings highlight the complexity of forest-savanna transitions in the Cerrado**
38 **Domain, with relevance for understanding the future of Cerrado vegetation under**
39 **environmental change. If nitrogen deposition is extensive, some savannas may be more likely**
40 **to transition to mesotrophic cerradão or even seasonally dry tropical forest whereas if water**
41 **availability increases these same savannas may transition to semideciduous or evergreen forest.**

42 Our ‘Cerrado Vegetation Triangle’ model offers a simple conceptual tool to frame discussions
43 of conservation and management.

44 *Key words:* cerrado, dystrophic cerradão, edaphic factors, fire, gallery forest, macroecology,
45 mesotrophic cerradão, neotropical savanna, seasonally dry tropical forest, semideciduous forest

46 Running head: *Savannas and forests in one climatic space of Cerrado Domain*

47

48 **Introduction**

49 The main factors considered as determinants of worldwide savanna distribution,
50 composition and structure are fire, herbivory, climate, soil fertility, and water availability, the
51 latter being a product of climatic and edaphic factors (Eiten 1972; Cole 1986; Collinson 1988;
52 Mistry 1998; Oliveira-Filho & Ratter 2002; Hirota *et al.* 2011; Staver, Archibald & Levin 2011;
53 Dantas, Batalha & Pausas 2013; Lehmann *et al.* 2014; Bueno *et al.* 2017; Pellegrini 2016).
54 Although the relative weight of each driving factor varies from one region to another, most
55 studies suggest that climatic and edaphic factors are most critical (Lehmann *et al.* 2014). While
56 climate has a macro-scale effect (Hirota *et al.* 2011), soil and fire act at more local scales
57 (Coutinho 1990; Staver, Archibald & Levin 2011; Pausas 2014; Lehmann *et al.* 2014;
58 Pellegrini 2016).

59 The main extent of Neotropical savanna is largely found within Brazil where it is often
60 termed the cerrado (Ab’Saber 2003; Gottsberger & Silberbauer-Gottsberger 2006; Ribeiro &
61 Walter 2008). Brazil categorizes its large-scale phytogeographic regions into ‘Domains’, and
62 the region of central Brazil that is dominated by savanna vegetation is termed the Cerrado
63 Domain (Ab’Saber 2003). In the Cerrado Domain, precipitation is seasonal, with well-defined
64 wet and dry seasons and fires are common in the dry season, hindering the establishment of
65 forest species (Neri *et al.* 2012; Dantas & Pausa 2013; Dantas, Batalha & Pausas 2013; Stevens
66 *et al.* 2017). The flora of this region is dominated by fire-adapted species, including both fire-
67 tolerant and fire-dependent plants (Eiten 1972, 1978; Coutinho 1990, 2006; Durigan & Ratter
68 2006; Hoffmann *et al.* 2009; Simon *et al.* 2009). Most savanna-inhabiting woody species show

69 thick, corky bark and subterranean meristems (xylopodia), which protect them from high
70 temperatures and allow resprouting after fires (Gottsberger & Silberbauer-Gottsberger 2006).
71 However, this widely used ‘Cerrado Domain’ label hides the complexity of vegetation found
72 in this region, which is highly heterogeneous, including many **different grassland and savanna**
73 **formations as well as different types of forest** (Ratter *et al.* 1973, 1977; Eiten 1978; Ratter &
74 Dargie 1992; Oliveira-Filho & Ratter 1995, 2000; Ab’Saber 2003; Ribeiro & Walter 2008;
75 Haidar *et al.* 2013; Oliveira-Filho *et al.* 2013a b, Dryflor 2016).

76 Within the Cerrado Domain, the species composition of woody plants is expected to
77 change along a fire gradient; in areas without fire, species associated with forest environments
78 commonly outcompete savanna species (Hoffmann, Orthen & Nascimento 2003; Dantas &
79 **Pausas** 2003; Silva *et al.* 2013; **Pausas** 2014; Lehmann *et al.* 2014), **and savanna can eventually**
80 **convert to forest (Abreu *et al.* 2017)**. In the absence of fire, the levels of mineral nutrients and
81 water availability are important factors in the distribution of vegetation types (Oliveira-Filho
82 & Ratter 2002). Most soils of the Cerrado Domain are dystrophic, with low pH and high levels
83 of exchangeable aluminium (Furley & Ratter 1988; Ratter, Ribeiro & Bridgewater 1997). Of
84 the chemical elements in the Cerrado soil, one of the most important is aluminium, as
85 emphasized by Haridasan (2000). This element, often toxic to plants, occurs at high
86 concentrations in dystrophic soils and native plants of cerrado savanna formations show high
87 levels of aluminium tolerance (Neri *et al.* 2012; Meira-Neto *et al.* 2017). In contrast, species
88 occurring only in areas with higher levels of calcium and magnesium and lower levels of
89 aluminium are characteristic of some kinds of forest in the Cerrado Domain, such as seasonally
90 dry tropical forest (SDTF) and evergreen and semideciduous forests (Oliveira-Filho & Ratter
91 2002; Ribeiro & Walter 2008; Oliveira-Filho *et al.* 2013a b). Under intermediate aluminium
92 concentrations, another forest type, mesotrophic cerradão, is believed to act as an intermediate
93 community, in terms of both soil properties and species composition (Ratter 1971; Ratter *et al.*
94 1973, 1978a; Ratter & Dargie 1992; Bueno *et al.* 2013). Meanwhile, permanently and

95 temporarily waterlogged areas within the Cerrado are covered by evergreen and semideciduous
96 forests or marshy “campos” (campos = grassland), while dry grasslands, savanna formations
97 and SDTF occur in the higher and better-drained areas (Furley & Ratter 1988; Oliveira-Filho
98 & Ratter 2002; Amorim & Batalha 2007; Ribeiro & Walter 2008).

99 The variation of the ecological factors described above in the Cerrado Domain and their
100 effect on the floristic composition of vegetation types has been studied primarily at small
101 spatial scales, mostly at individual sites (e.g., Ratter *et al.* 1978b; Ratter 1992). Authors such
102 as Oliveira-Filho and Ratter (2002) and Ribeiro & Walter (2008) have scaled these local studies
103 up to the entire Cerrado Domain but using a qualitative approach. Whilst there are quantitative
104 floristic comparisons across the Cerrado Domain (e.g., Ratter *et al.* 1997; Ratter, Bridgewater
105 & Ribeiro 2003, 2006; Bridgewater, Ratter & Ribeiro 2004) these have been focused on
106 savanna vegetation and have not included riparian habitats and most forest vegetation types. In
107 addition, they did not include formal analyses of how environmental factors and fire correlate
108 with broader floristic composition.

109 In this paper, we explore the tree-species composition of different vegetation types
110 proposed for the Cerrado Domain using quantitative analyses of the distribution of 3,072 tree
111 species over 1,165 sites. We also analyse how compositional variation of tree species correlates
112 with 27 climatic and edaphic variables. Based upon the results of these analyses, we develop a
113 conceptual model that describes how the key ecological factors of soil fertility, water
114 availability and fire influence the composition of tree species and vegetation types in the
115 Cerrado Domain. Our results are key to understanding forest-savanna transitions under global
116 environmental changes, such as nitrogen deposition and increasing temperatures, and have
117 relevance for any seasonally dry region in the tropics where savannas and forests co-occur
118 (Staver, Archibald & Levin 2011; Hirota *et al.* 2011; Silva *et al.* 2013; Lehmann *et al.* 2014).

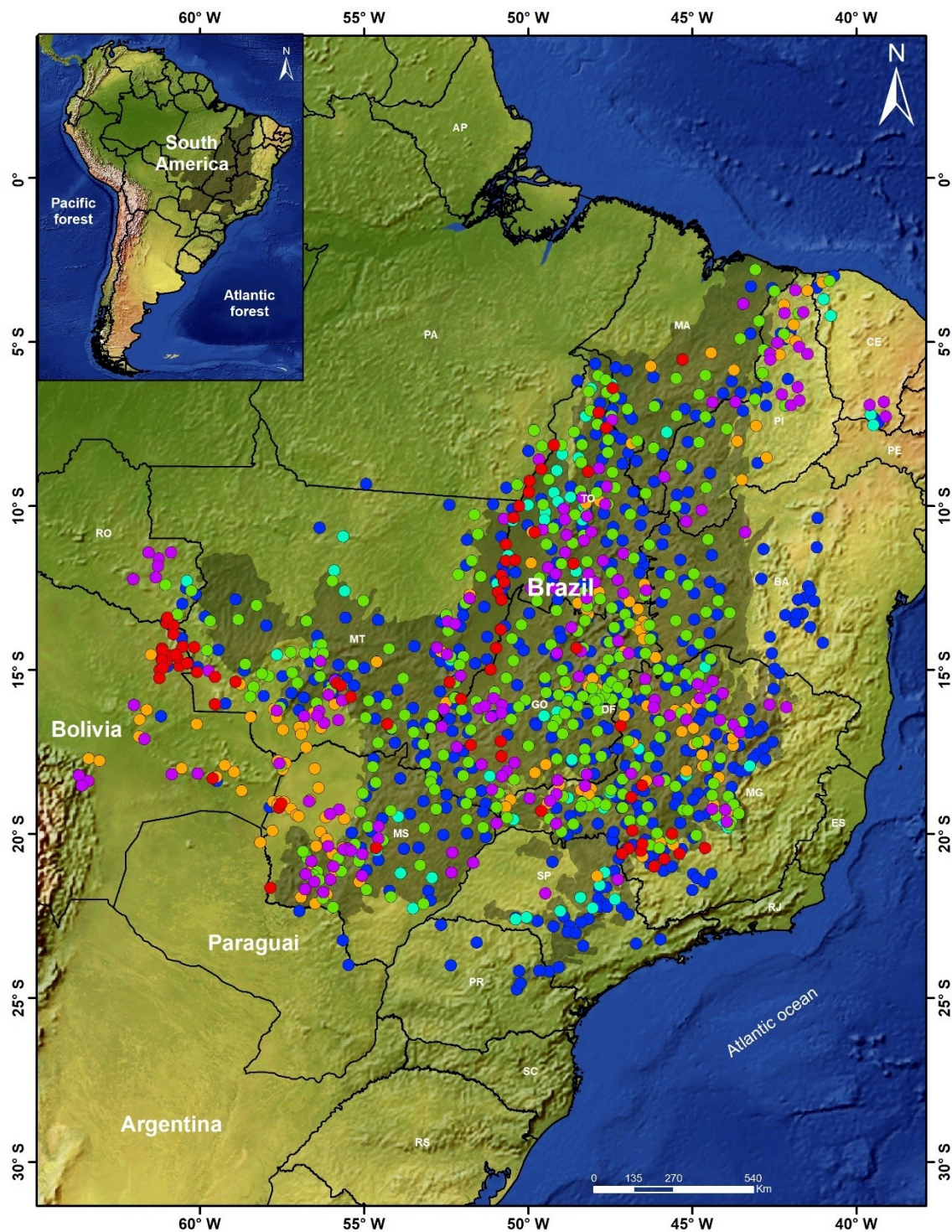
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120 Material and Methods

121 Study area

122 The Cerrado Domain is the second largest phytogeographical domain in South America,
123 surpassed in area only by the Amazon (Ab'Saber 2003; Gottsberger & Silberbauer-Gottsberger
124 2006), and spreads across central Brazil, comprising ca. 1/4 of the country's surface, plus
125 smaller areas in north-western Paraguay and eastern Bolivia (Oliveira-Filho & Ratter 2002)
126 (Fig. 1). The Cerrado Domain extends over 20 degrees of latitude and altitudes ranging from
127 100 m in the Pantanal wetlands (central-western Brazil) to 1,500 m in the tablelands of the
128 Central Brazilian Highlands (Ribeiro & Walter 2008). There is moderate variation in mean
129 annual temperature across the Domain, ranging from 18 to 28°C. Variation in mean annual
130 precipitation is relatively high, ranging from 800 to 2,000 mm, with a marked dry season during
131 the austral winter (approximately April–September) (Ab'Saber 2003).

132



133

134 Figure 1. Geographic distribution of the Cerrado (IBGE 2012), with the location and vegetation types
 135 used in this study (cerrado woody savannas: blue circles, dystrophic cerradão: cyan circles, mesotrophic
 136 cerradão: purple circles, seasonally dry tropical forest: yellow circles, evergreen forest: green circles
 137 and semideciduous forest: red circles). Brazilian states are labelled as follows: Amapá (AP), Bahia
 138 (BA), Ceará (CE), Distrito Federal (DF), Espírito Santo (ES), Goiás (GO), Maranhão (MA), Minas
 139 Gerais (MG), Mato Grosso (MT), Mato Grosso do Sul (MS), Pará (PA), Paraná (PR), Pernambuco (PE),
 140 Rio de Janeiro (RJ), Rio Grande do Sul (RS), Rondônia (RO), São Paulo (SP), Santa Catarina (SC),
 141 Sergipe (SE), Tocantins (TO).

142 We classified the vegetation of individual sites following the criteria and nomenclature
143 proposed by Oliveira-Filho (2015, 2017) for the vegetation of eastern tropical and subtropical
144 South America. This system is a further development of the widely-accepted Instituto
145 Brasileiro de Geografia e Estatística (IBGE) classification system for Brazilian vegetation
146 (Veloso, Filho & Lima 1991; reissued by IBGE 2012), although it describes physiognomic and
147 environmental variations at much smaller scales than those covered by the IBGE.

148 Within the Cerrado Domain, we sampled six main vegetation types that consistently have
149 a substantive arboreal component. We did not include vegetation types that largely lack trees
150 (e.g. campo sujo or campo limpo, c.f. Ribeiro and Walter 2008). We grouped the various
151 vegetation formations that can be termed savanna, i.e. with a grassy understory and some
152 frequency of fire, together as one vegetation type: cerrado *sensu stricto*, occurring on poor and
153 well-drained dystrophic soils, which is largely synonymous with the *cerrado sentido restrito*
154 category of Ribeiro & Walter (2008). Cerradão is characterized by a more developed, almost
155 closed canopy (with 50–90% tree cover), with trees reaching a height of 8–12 m, and we
156 distinguished two vegetation types for cerradão: dystrophic cerradão on poor soils and
157 mesotrophic cerradão on richer soils (Ribeiro & Walter 2008). These two vegetation types can
158 be structurally similar, but have distinct tree species composition (Araujo *et al.* 2011). Cerradão
159 can develop from cerrado *sensu stricto* in the prolonged absence of fire and thus the two
160 vegetation formations often share many tree species (Abreu *et al.* 2017). In contrast to cerrado
161 *sensu stricto*, there is generally not a continuous grassy layer in cerradão, although grasses are
162 often present (*Aristida*, *Axonopis*, *Paspalum* and *Trachypogon*, Ribeiro & Walter 2008).
163 Mesotrophic and dystrophic cerradão are often considered as forests (Oliveira-Filho & Ratter
164 2002, Ribeiro & Walter 2008), although they are shorter in stature than the other forest types
165 found in the Cerrado Domain. Deciduous or Seasonally dry tropical forests (SDTF) occur on
166 scattered patches of fertile soils (more fertile than in mesotrophic cerradão) and are notable for

167 experiencing little fire and housing a markedly different set of plant lineages from other
168 vegetation types in the Cerrado (e.g. Cactaceae; Ratter *et al.* 1973, 1977, 1978a b; Pennington,
169 Prado & Pendry 2000; Bueno *et al.* 2013; Oliveira-Filho *et al.* 2013a b, Neves *et al.* 2015).
170 **Two other principal forests** in the Cerrado Domain are evergreen and semideciduous forests,
171 **largely synonymous with mata de galeria and mata ciliar in the terminology of Ribeiro and**
172 **Walter (2008)**, which are found in more humid areas, such as along river courses (i.e. gallery
173 forest and semideciduous riparian forest), or in transition zones with the moist forests of either
174 the Amazon or Atlantic Forests (Ribeiro & Walter 2008). Evergreen and semideciduous forests
175 tend to be richer in species than the other vegetation types in the Cerrado Domain (Oliveira-
176 Filho and Ratter 1995, 2000, 2002, Ribeiro & Walter 2008).

177

178 Dataset

179 We extracted the dataset from the NeoTropTree (NTT) database (Oliveira-Filho 2017,
180 see <http://prof.icb.ufmg.br/treetlan>), which consists of tree species checklists (trees defined
181 here as free-standing woody plants >3 m in height) compiled for geo-referenced sites,
182 extending from southern Florida (U.S.A.) and Mexico to Patagonia. The NTT currently holds
183 6,000 sites/checklists, 14,878 tree species and 920,129 occurrence records. The data were
184 originally compiled from an extensive survey of published and unpublished literature (e.g.,
185 PhD theses), particularly floristic surveys and forest inventories of individual sites. Sites were
186 assigned vegetation formations based on the classification used by the original researcher, and
187 then standardised to the system of Oliveira-Filho (2015, 2017). Sites are restricted to a circular
188 area with a 10-km diameter. Where two or more vegetation formations co-occur in one 10-km
189 area, there may be two geographically overlapping sites in the NTT database, each for a distinct
190 vegetation type. In addition, new species occurrence records obtained from both major herbaria

191 and taxonomic monographs were added to the checklists when they were collected within a 5-
192 km radius of the original NTT site and within the same vegetation formation. All species and
193 their occurrence records were checked regarding current taxonomic and geographical
194 circumscriptions, as defined (in the present case) by the team of specialists responsible for the
195 online projects *Flora do Brasil* (available at <http://floradobrasil.jbrj.gov.br/>). The compilation
196 of NTT avoided, therefore, the inclusion of occurrence records with doubtful identification,
197 location or vegetation formation, even when they were cited in published checklists. It also
198 excludes species-poor checklists, which is an important filter because low sampling/collecting
199 efforts often result in poor descriptive power.

200 The dataset extracted from NTT consisted of 1,165 checklists, of which 433 were
201 classified *a priori* as savanna formations (*cerrado sensu stricto*), 64 as dystrophic cerradão, 299
202 as evergreen forest, 76 as semideciduous forests, 140 as seasonally dry tropical forests (SDTF)
203 and 153 as mesotrophic cerradão (Fig. 1). The final species matrix contained presence/absence
204 data for 3,072 tree species, with a total of 148,718 presence records (see Fig. 1). The NTT
205 database also included 27 environmental variables for all sites, derived from multiple sources
206 (at a 30 arc-second resolution or $\sim 1 \text{ km}^2$ near the equator; detailed below). Elevation at the
207 NTT site centre was included as an integrative environmental variable. Eleven bioclimatic
208 variables were obtained from WorldClim 1.4 (Hijmans *et al.* 2005), including mean annual
209 temperature, mean diurnal temperature range, isothermality, temperature seasonality,
210 maximum temperature of the warmest month, minimum temperature of the coldest month,
211 temperature annual range, mean annual precipitation, precipitation of the wettest month,
212 precipitation of the driest month and precipitation seasonality. Potential evapotranspiration
213 (mm) and an aridity index were derived from WorldClim layers by Zomer *et al.* (2008).
214 WorldClim monthly temperatures and precipitation were also interpolated to obtain values for
215 5-day intervals by applying sinusoidal functions centred at day 15 by the mean value for each
216 month. These functions yielded values for days 1, 5, 10, 20, 25 and 30, which, in addition to

217 the mean value at day 15, were used to generate Walter's Climate Diagrams (Walter 1985).
218 These climate diagrams were used to generate four additional variables: duration (number of
219 days) and severity (mm) of both the water deficit and water excess periods. Days of frost were
220 obtained from gridded data sets produced by Jones and Harris (2008).

221 Surface rockiness (% surface), soil texture class (% volume of sand), salinity class (ECe
222 in dS·m⁻¹) and percent base saturation, a proxy for soil fertility, were obtained from the
223 Harmonized World Soil Database v1.2 (available at [http://www.fao.org/soilsportal/soil-](http://www.fao.org/soilsportal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/)
224 [survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/](http://www.fao.org/soilsportal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/)) and ranked
225 afterwards by mid-class percentage. Due to imprecisions related to soil local heterogeneity all
226 soil variables were eventually transformed to ranked mid-class values, in other words, the use
227 of classes was adopted to add robustness to the data because of the high local soil heterogeneity
228 that can make raw figures unrealistic. The soil drainage classes were obtained following
229 EMBRAPA's protocol (Santos *et al.* 2013), which combines soil type, texture and depth with
230 landforms, in order to characterize water availability. The seasonality index, represents the sum
231 of percent of rainfall across both deficit and excess periods from Walter climate diagram. This
232 index is related with climate features and is related to both drought and the effects of flooding
233 (albeit indirectly). Grass coverage (%) was obtained by direct observation of site surface on
234 Google Earth© images in five 100 × 100 m areas, one at the central coordinates of the NTT
235 site and four at 2.5 km away from it and towards the NE, SW, NW and SE (see Neves *et al.*
236 2017). The data were transformed to ranked mid-class values for each site and was used as a
237 proxy for fire return interval (i.e., fire frequency; Hoffmann *et al.* 2012; Archibald *et al.* 2013;
238 Lehmann *et al.* 2014).

239

240 Data Analyses

241 To analyse the floristic consistency of the vegetation types, we applied non-metric
242 multidimensional scaling (NMDS) of species composition across sites (McCune & Grace

2002) using Simpson distance as the floristic dissimilarity metric. In order to improve interpretability, ellipses showing 99% confidence levels were added around the vegetation type centroids. Multi-Response Permutation Procedures (MRPP) and Analysis of Similarities (ANOSIM) were used to test the compositional differentiation of the vegetation types in the NMDS. The environmental variables were fit *a posteriori* to the NMDS ordination, with the significant variables ($p < 0.05$) plotted as vectors. These analyses were conducted using the vegan package (Oksanen *et al.* 2016) in the R Statistical Software (R Core Development Team 2017).

We also performed an indicator species analysis to test whether there are subsets of species with significant association with one or more vegetation types. In this analysis, an indicator value (IV) is derived, with higher IV values representing greater affinity of a given species toward a certain vegetation type. This analysis was performed using the statistical package *indicspecies* (De Caceres & Legendre 2009) in the R Statistical Environment (R Core Development Team 2017), with the method proposed by (Dufrêne & Legendre 1997).

257

258 Results

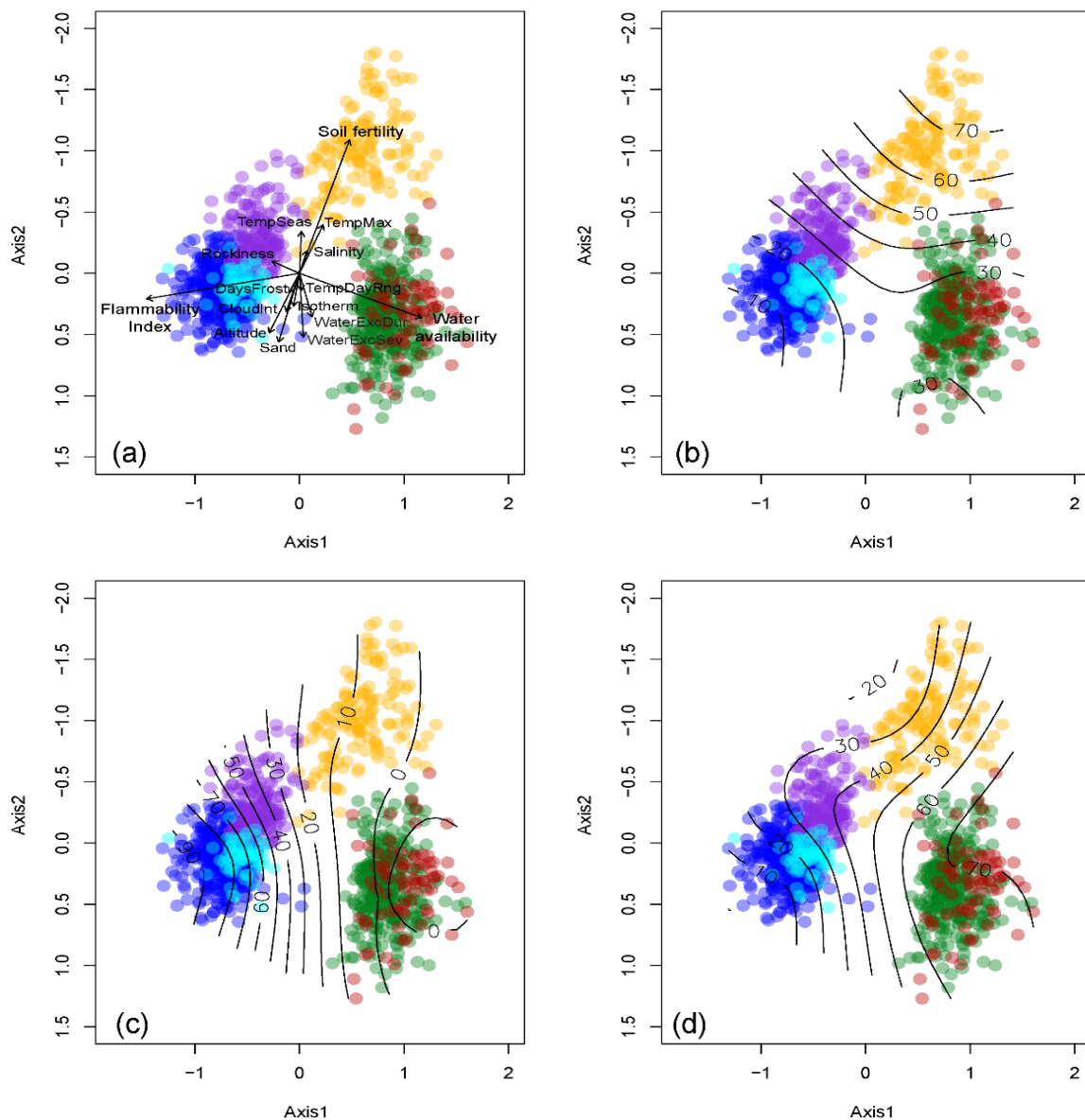
Several of the main vegetation types in the Cerrado Domain were consistently discriminated in the NMDS ordination, indicating differentiation in their tree species composition, while others were not, indicating their compositional similarity (Fig. 2a; Fig. S1). Cerrado *sensu stricto*, comprising various savanna formations, grouped together in one corner of compositional space and was floristically distinct from seasonally dry tropical forest (SDTF) and evergreen/semideciduous forests, which fell in opposite corners of the compositional space. Dystrophic cerradão grouped with cerrado *sensu stricto*, from which it was indistinguishable based on tree species composition, while semideciduous forests grouped with evergreen forests, from which they were compositionally indistinguishable. Mesotrophic

268 cerradão was intermediate in composition between the cerrado *sensu stricto*/dystrophic
269 cerradão group and SDTF.

270 The stress value in the three-dimensional NMDS was 0.11, indicating that three
271 dimensions were adequate to represent the variation, and based on the stress plot, the overall
272 configuration fits the data well (stress based $R^2 = 98\%$ and fit based $R^2 = 90\%$).

273 ANOSIM and MRPP analyses that distinguished the six vegetation types showed that,
274 overall, these groups do differ significantly in tree species composition (ANOSIM, $R = 0.76$,
275 $p < 0.001$; MRPP, $A = 0.18$, $p < 0.001$). When we categorised sites into three major floristic
276 groups: savanna/cerradão (cerrado *sensu stricto*, dystrophic cerradão, mesotrophic cerradão),
277 SDTF and semideciduous/evergreen (evergreen and semideciduous forests), the R value of
278 ANOSIM increased ($R = 0.93$, $p < 0.001$), indicating that three groups provide a better
279 categorisation of sites than six groups. The MRPP analysis suggested that three groups gave
280 equivalent discrimination of sites compared to six groups ($A = 0.17$, $p < 0.001$).

281 Further, we found that several ecological variables are key to explaining the tree species
282 composition of these vegetation types, namely soil drainage class (related to water availability),
283 grass coverage (related to flammability) and soil fertility (Fig. 2a-2d).



284

285 Figure 2. **(a)** Non-metric multidimensional scaling (NMDS) of 1,165 Cerrado Domain sites and their
 286 tree species composition into vegetation types (cerrado *sensu stricto*: blue circles, **dystrophic cerradão:**
 287 **cyan circles**, mesotrophic cerradão: purple circles, seasonally dry tropical forest: yellow circles,
 288 evergreen forest: **green circles** and semideciduous forest: **red circles**). Arrows in diagram represent the
 289 correlations between the most explanatory environmental variables and ordination scores. CloudIntcp,
 290 cloud intercept; DaysFrost, days of frost; flammability index, grass coverage (%); Isotherm,
 291 isothermality; Rockiness, surface rockiness (% exposed rock); salinity, soil salinity; Sand, soil
 292 coarseness (% sand); Soil Fertility, soil fertility (% base saturation); TempDayRng, temperature diurnal
 293 range; TempMax, temperature maximum; TempSeas, temperature seasonality; Water availability
 294 (representing the Soil drainage); WaterExcDur, water excess duration; WaterExcSev, water excess
 295 severity. **(b)** NMDS for vegetation types and black lines fitted surface values for Soil fertility; **(c)**
 296 NMDS for vegetation types and black isolines fitted surface values for flammability index and **(d)**
 297 NMDS for vegetation types and black isolines fitted surface values for Water Availability.

298

299 The main indicator species analysis yielded subsets of tree species that are significantly
300 associated with each of the vegetation types (Table S1). Species that are significant indicators
301 for evergreen forest are also frequent in semideciduous forests and *vice versa*, demonstrating
302 their floristic similarity. The same holds for indicator species of cerrado *sensu stricto* being
303 frequent in dystrophic cerradão and *vice versa*. Meanwhile, indicator species for mesotrophic
304 cerradão have relatively high frequencies in SDTF, dystrophic cerradão and savanna *sensu*
305 *stricto*, demonstrating the transitional nature of mesotrophic cerradão. The indicator species
306 for evergreen and semideciduous forests are scarce to absent in other vegetation types,
307 demonstrating the floristic distinctiveness of the vegetation types in the Cerrado Domain.

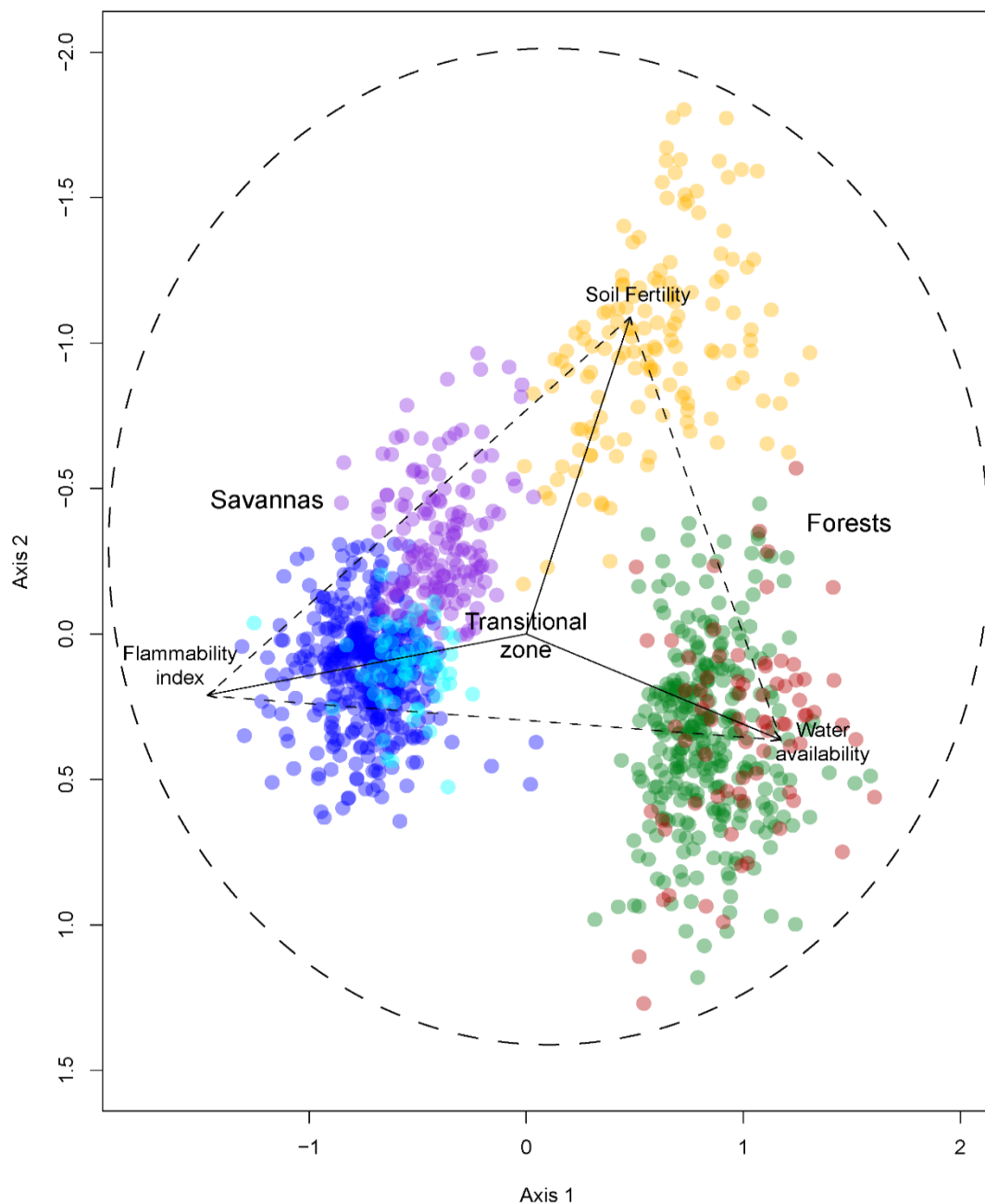
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309 Discussion

310 Our results confirm that the six tree-dominated vegetation types in the Cerrado Domain
311 can be categorized into three principal floristic groups, based on tree species composition,
312 namely savannas and cerradão, seasonally dry tropical forest (SDTF), and
313 evergreen/semideciduous forest, the latter of which shows strong floristic affinities with
314 tropical moist forests such as the Amazon and Atlantic Forests (Oliveira-Filho & Ratter 2000,
315 2002). The results clearly demonstrate the importance of edaphic factors in facilitating the
316 coexistence of floristically divergent groups under similar climatic regimes (Fig. 2), which is
317 evident from the complete spatial interdigitation of these floristic groups within the Cerrado
318 Domain. At any point in space within the Cerrado Domain, one is likely to be able to find all
319 three of these floristic groups relatively nearby and experiencing the same climate (Fig. 1).

320 In order to highlight the edaphic factors influencing the tree species composition of the
321 Cerrado Domain, we propose a heuristic schematic that we refer to as the "Cerrado Vegetation
322 Triangle" (CVT; Fig. 3). The circle around the triangle represents the broad climatic envelope
323 of the Cerrado Domain, which is strongly seasonal with respect to precipitation, while the

324 triangle represents the **three major factors** that determine tree species composition. The
 325 arrowheads at the vertices of the triangle denote extreme values for a given **ecological** factor
 326 that give rise to each major floristic group of vegetation types: high fire frequency gives cerrado
 327 *sensu stricto* and *cerradão*, high soil fertility gives SDTF and high water availability gives
 328 evergreen and semideciduous forests. Meanwhile, potential transition zones, realised between
 329 savanna and SDTF and unrealised between savanna and evergreen and semideciduous forests,
 330 lie between these vertices.



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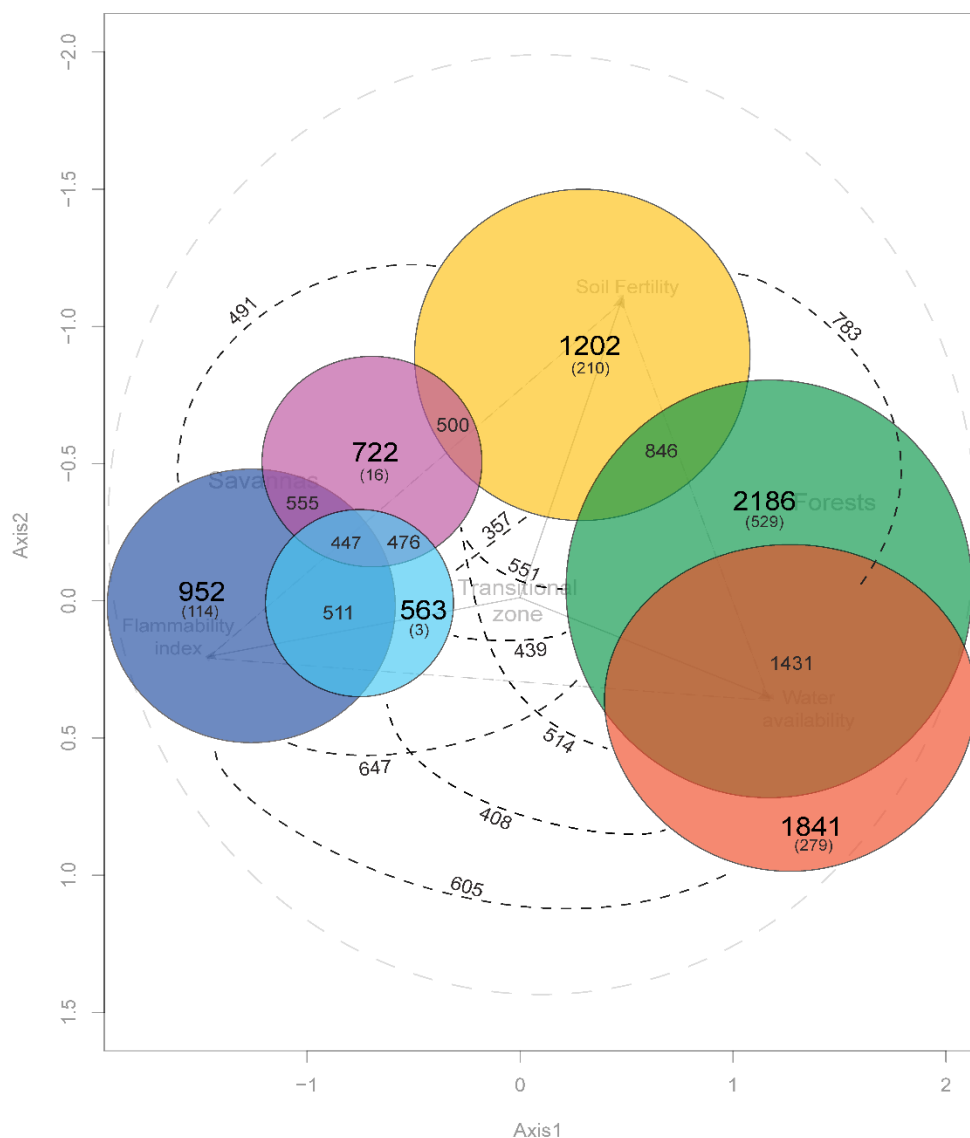
332 Figure 3. Proposed *Cerrado Vegetation Triangle* related to the NMDS results. The circle represents the
 333 climate, which influences the other factors in a general way; each vertex of the triangle represents a
 334 factor that leads to the occurrence of a major vegetation type, with the arrows on each side of triangle
 335 representing the increase of variables towards the vertices. The tree species composition are coloured
 336 according to vegetation types (cerrado *sensu stricto*: blue circles, **dystrophic cerradão: cyan circles,**
 337 mesotrophic cerradão: purple circles, seasonally dry tropical forest: yellow circles, evergreen forest:
 338 **green circles** and semideciduous forest: **red circles**)
 339

340 Savannas have been strongly influenced and shaped by fire across the tropics (e.g.
 341 Gillon 1983; Coutinho 1990; Bond & van Wilgen 1996; Gottsberger & Silberbauer-
 342 Gottsberger 2006; Silva & Batalha 2010; Dantas, Batalha & Pausas 2013; Platt *et al.* 2016), as
 343 evidenced by key features of fire-tolerance or fire-dependency in the savanna flora (Simon *et*
 344 *al.* 2009; Silva & Batalha 2010; Simon & Pennington 2012; Lehmann *et al.* 2014; Pennington
 345 & Hughes 2014). Indicator species of savanna (**cerrado *sensu stricto***), such as *Kielmeyera*
 346 *coriacea* Mart. & Zucc., *Palicourea rigida* Kunth, *Byrsonima coccolobifolia* Kunth, *Davilla*
 347 *elliptica* A.St.-Hil., *Dalbergia miscolobium* Benth and *Zeyheria montana* Mart. are
 348 characterised by thick corky bark and subterranean meristems that protect them from high
 349 temperatures and allow resprouting after fires (Gottsberger & Silberbauer-Gottsberger 2006).
 350 In addition, the occurrence of these species is correlated with soils of low fertility and high
 351 aluminium levels and some of these species are obligate aluminium accumulators (Araújo &
 352 Haridasan 1988; Haridasan 2000; Meira-Neto *et al.* 2017).

353 **In the absence of fire, existing trees in a savanna (cerrado *sensu stricto*) have increased**
 354 **growth and survival while additional tree individuals recruit. Thus, above-ground woody**
 355 **biomass and tree density increase, in a process termed woody encroachment. Woody**
 356 **encroachment is occurring in tropical savannas across the globe (San Jose & Farinas 1991;**
 357 **Moreira 2000; Woinarski, Risler & Kean 2004; Stevens *et al.* 2016). In the context of the**
 358 **Cerrado Domain, the increasing size and density of trees often leads to a forest formation**
 359 **termed cerradão (Durigan & Ratter 2006; Pinheiro & Durigan 2009, 2012; Pinheiro, Azevedo**
 360 **& Monteiro 2010; Durigan & Ratter 2016). Given that many of the tree individuals in cerradão**

361 derive directly from a cerrado *sensu stricto* vegetation, the similarity in tree species
 362 composition between the two evident in our analyses is unsurprising (Fig. 4). If cerrado does
 363 experience fire, it may revert to cerrado *sensu stricto* (Durigan & Ratter 2006). The grasses
 364 that are present in cerrado (Ribeiro & Walter 2008), albeit not as a continuous layer, may
 365 increase the chance of fire spreading through this forest vegetation formation. In contrast, the
 366 high water availability in evergreen/semideciduous forests and the rocky landscapes in which
 367 SDTF is found the Cerrado Domain may inhibit fire spread in these forests. Overall, cerrado
 368 may be more likely to transition to savanna (cerrado *sensu stricto*) than the other forest types
 369 in the Cerrado Domain.

370



371

372 Figure 4. Species turnover among six vegetation types. The circles represent the vegetation types
 373 (*cerrado sensu stricto*: blue, dystrophic cerradão: cyan, mesotrophic cerradão: purple, seasonally dry
 374 tropical forest: yellow, evergreen forest: green and semideciduous forest: red). Numbers in bold
 375 represent the total species in the vegetation type and the number between brackets gives the number of
 376 exclusive species; numbers on the dashed lines and in the congruence of circles represent the shared
 377 species.

378
 379

380 The floristic transition from *cerrado sensu stricto/cerradão* to the other forest formations
 381 is represented in the CVT by increasing soil fertility, lower **flammability (a proxy for fire**
 382 **frequency)** and higher water availability (i.e. low soil drainage). These factors can interact, and
 383 it has long been hypothesised that savanna formations on lower fertility soils are inherently
 384 more fire-prone than vegetation on fertile soils, because of the slow rates at which trees
 385 establish and grow, which then allows flammable grass to persist in the community (Kellman
 386 1984; Silva *et al.* 2013; Pausas 2014; Lehmann *et al.* 2014). Forest formations in the Cerrado
 387 Domain suppress flammable grasses because of their closed canopy and thus inhibit fire
 388 (Hoffmann, Moreira 2002; Hoffmann *et al.* 2009). **However, in this context, it is important to**
 389 **distinguish between dystrophic and mesotrophic cerradão.** In dystrophic cerradão, low soil
 390 fertility may potentially limit the maximum amount of tree biomass such that it prohibits
 391 complete forest formation, irrespective of the fire regime, because nutrients may become
 392 increasingly limiting as a tree approaches the fire-resistance threshold (Hoffmann *et al.* 2012;
 393 Pellegrini *et al.* 2016a b). In addition to setting ultimate constraints on the ability of forests to
 394 form, nutrient availability also influences the distribution of tree species by regulating their
 395 growth rates and ability to overcome biomass loss in a fire (Lehmann *et al.* 2011; Hoffmann *et*
 396 *al.* 2012).

397 **Mesotrophic cerradão is found on soils intermediate between the poor dystrophic soils of**
 398 **the savanna formations and dystrophic cerradão and the mineral-rich meso- or eutrophic soils**
 399 **of SDTF formations.** Analysing the transition of tree species between SDTF and mesotrophic
 400 cerradão, Bueno *et al.* (2013) suggested that the floristic gradient was controlled mainly by soil

401 fertility. It may be that under continued fire exclusion, mesotrophic cerrado, through litter
402 deposition and nutrient cycling, may develop sufficient soil fertility to transition to SDTF.
403 These transitions between savanna, mesotrophic cerrado and SDTF must also be considered
404 in the context of the potential for increased nitrogen deposition in the Cerrado Domain, which
405 could encourage woody encroachment and conversion of savanna to forest vegetation.

406 In contrast with the smooth transition from savanna to SDTF, via mesotrophic cerrado,
407 the distinction between the savanna and evergreen and semideciduous vegetation types is
408 abrupt, not only in tree density in the field, but also in species composition, with few species
409 common to savanna or cerrado and evergreen and semideciduous forests (Furley 1976;
410 Adejuwon & Adesina 1992; Felfili & Silva Junior 1992). The evergreen and semideciduous
411 forests are almost always present within a matrix of savanna vegetation, and the transition to
412 non-forest vegetation is usually sharp. The transition is less perceptible physiognomically when
413 it occurs with SDTF, but these transitions are rare as indicated by the sparsity of sites with a
414 floristic composition intermediate between SDTF and evergreen and semideciduous forests
415 (Fig. 2).

416 With increasing tree size, the amount of nutrients required by forest trees becomes greater
417 than that required by savanna trees, suggesting that evergreen and semideciduous forests
418 species may be especially limited by nutrients (Pellegrini 2016). For example, evergreen and
419 semideciduous forests have higher water availability and are associated with higher soil
420 nutrient levels, promoted by the higher presence of clayey soil (Furley 1992; Haridasan 2000;
421 Ruggiero *et al.* 2002; Ribeiro & Walter 2008; Assis *et al.* 2011). This combination of water
422 availability and soil fertility may explain the distinctive indicator species from evergreen and
423 semideciduous forests (e.g. *Cheiloclinium cognatum* (Miers) A.C.Sm., *Maprounea guianensis*
424 *Aubl*, *Calophyllum brasiliense* Cambess. for evergreen forests and *Garcinia gardneriana*
425 (Planch. & Triana) Zappi, *Hieronyma alchorneoides* Allemão, *Unonopsis guatterioides*
426 (A.DC.) R.E.Fr. for semideciduous forests) (Oliveira-Filho & Ratter 1995, 2000, 2002; Ribeiro

427 & Walter 2008). Despite present a similar tree species composition these vegetation types differ
428 in soil drainage, being better drained soils in semideciduous forests and poorly drained in
429 evergreen forests (Ribeiro & Walter 2008, Rodrigues 2009). These vegetation types also differ
430 in the leaf-flush regime and in the structure of vegetation (Oliveira-Filho & Ratter 1995,
431 Ribeiro & Walter 2008, Rodrigues & Shepherd 2009). The CVT suggests a clear floristic
432 distinction between evergreen and semideciduous forests and savanna formations, where the
433 causal factor of vegetation change is water availability and the consequent absence of fire. The
434 evergreen and semideciduous forests forests are also clearly floristically divergent from SDTF.

435 SDTFs and evergreen and semideciduous forests relate to the edge of the CVT with
436 higher soil fertility and/or greater water availability (Scariot & Sevilha 2005; Ribeiro & Walter
437 2008). Evergreen and semideciduous forests are more associated with watercourses and wetter
438 soils, whereas SDTFs generally have no association with streams, but with fertile soil in the
439 interfluves, for example around calcareous outcrops. Indicator species for SDTF such as
440 *Ximenia americana* L., *Aspidosperma pyrifolium* Mart., *Trichilia hirta* L. and *Amburana*
441 *cearensis* (Allemão) A.C.Sm. are characteristic of higher soil fertility (Ratter *et al.* 1973,
442 1978a, b). In contrast, the indicator species of evergreen and semideciduous formations show
443 higher indicator values, suggesting high specificity for environmental factors such as water
444 availability and soil fertility. While we have noted that the transitions between evergreen and
445 semideciduous forests and other vegetation types are generally abrupt in space, should
446 precipitation patterns change dramatically in the Cerrado Domain under global climate change,
447 such transitions may become possible.

448

449 Conclusion

450 Our analyses suggest that, within one climatic zone in the Cerrado Domain of central
451 Brazil, there is floristic heterogeneity and a complex mosaic of vegetations types, which form
452 three major groups on the basis of tree species composition: fire-adapted vegetation (cerrado

453 *sensu stricto* and cerrado), dry forests in high fertility soils with low water availability
454 (STDF), and seasonal or evergreen forests where soil water availability is high (evergreen and
455 semideciduous forest). We suggest a Cerrado Vegetation Triangle model that implicates
456 ecological factors as fire, soil, and water availability in controlling the variation in tree species
457 composition of vegetation types in the Cerrado Domain. These factors act as important filters
458 at local spatial scales to influence tree species composition across the entire Cerrado Domain,
459 driving areas with high fire frequency and poor soils towards savanna formations and
460 separating two distinct forest formations related to soil fertility (SDTF) and water availability
461 (evergreen and semideciduous forests).

462 Much previous work has focused on the distribution of savanna versus forest in the
463 tropics (Hirota *et al.* 2011; Staver, Archibald & Levin 2011; Lehmann *et al.* 2014), but has
464 treated forest as one vegetation type. There are in fact many kinds of forest in the Cerrado
465 Domain. Transitions between savanna and each of these forest types are different, in terms of
466 tree species turnover and environmental drivers. In order to understand future transitions
467 between savanna and forest under global climate change or otherwise, distinguishing the
468 environmental drivers and the kinds of forest involved will be essential.

469

470 Author's contributions

471 Bueno and Oliveira-Filho conceived the ideas; Oliveira-Filho, Ratter and Bueno compiled the
472 data; Bueno, Pontara and Dexter designed methodology; Bueno and Pontara analysed the data;
473 Pontara and Neves commented on earlier versions of the manuscript; Bueno, Dexter,
474 Pennington and Oliveira-Filho led the writing of the manuscript. All authors contributed
475 critically to the drafts and gave final approval for publication.

476

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