

# CausalSim: A Causal Framework for Unbiased Trace-Driven Simulation

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\*Equal Contribution



**Massachusetts  
Institute of  
Technology**

# Trace-driven simulation

- ✓ Use traces to capture **real** system behavior
  - ✓ **Less complex** than full-system simulation
- Biased** outcomes

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## Promise

➤ Key source of bias in trace-driven simulation

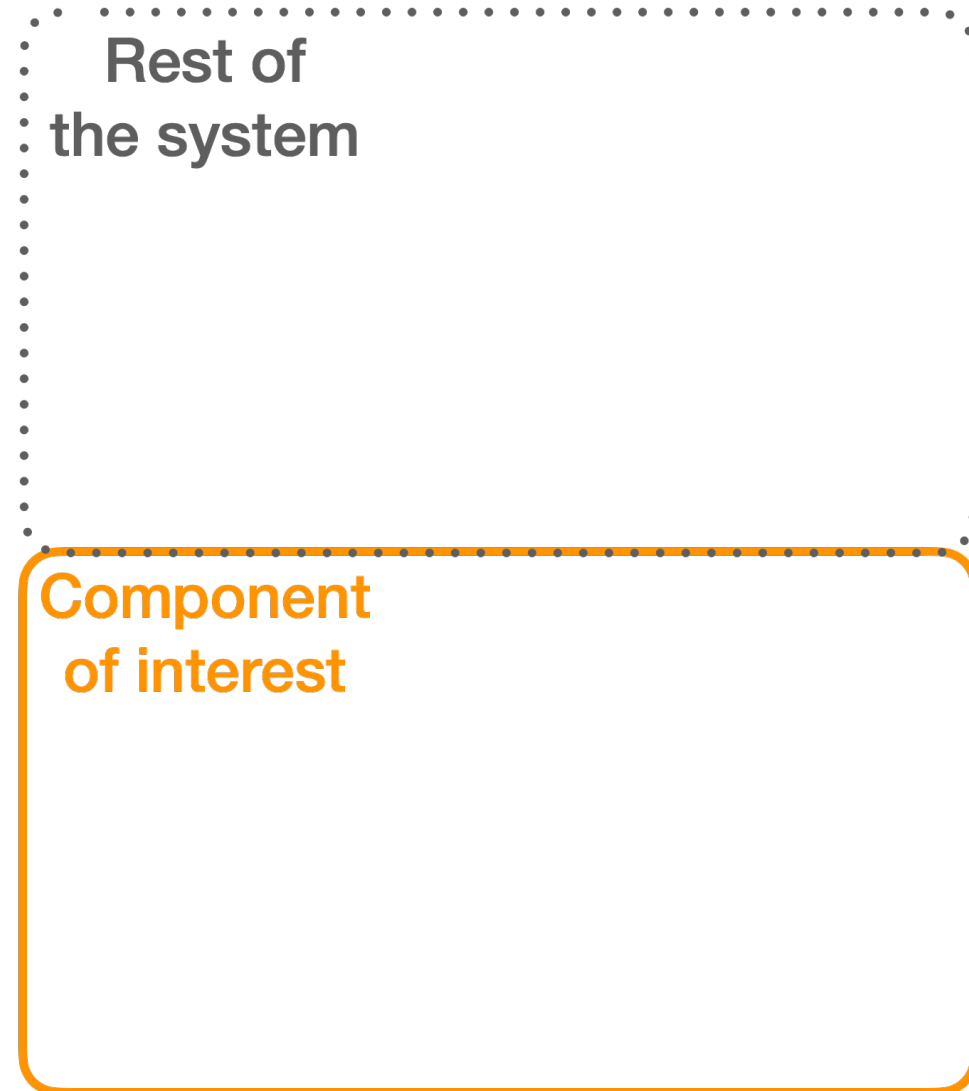
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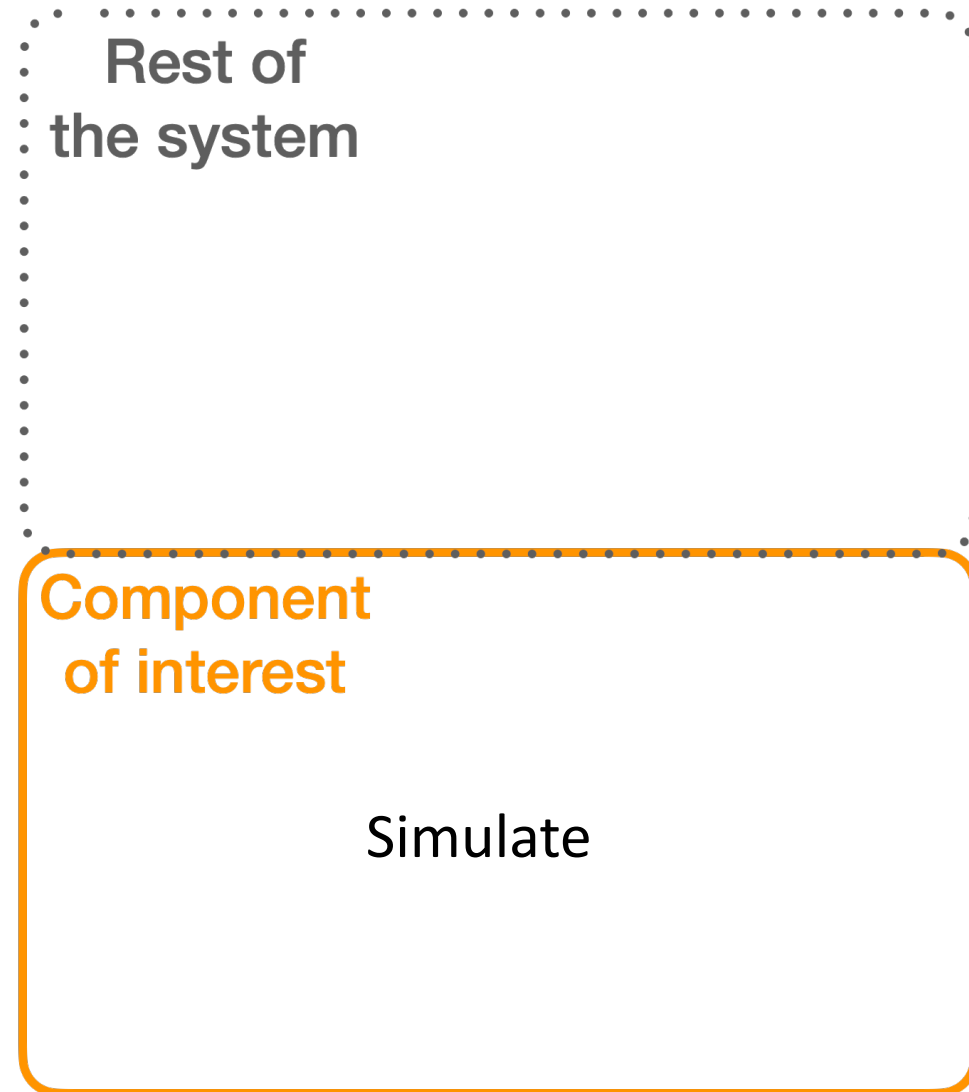
## Promise

- Key source of bias in trace-driven simulation
- How to do unbiased trace-driven simulation?

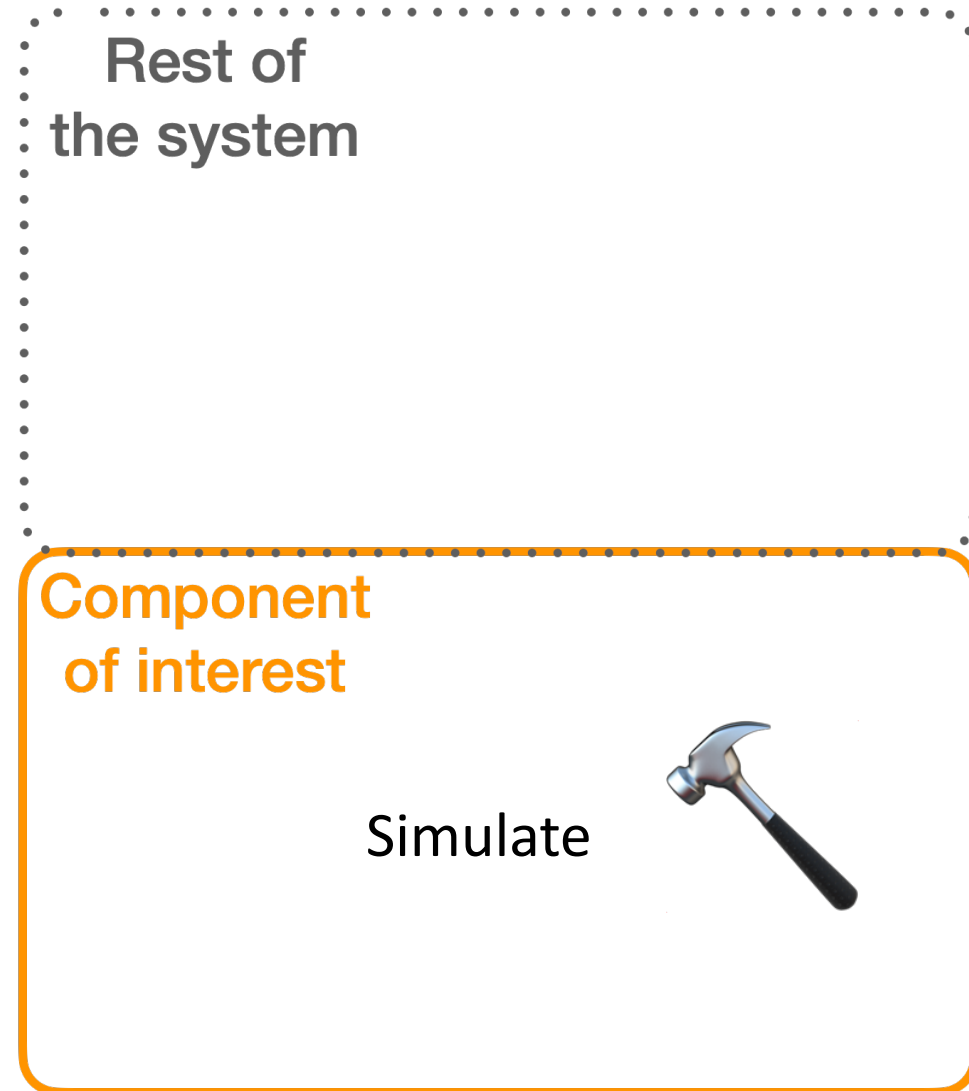
# Exogenous trace assumption in trace-driven simulation



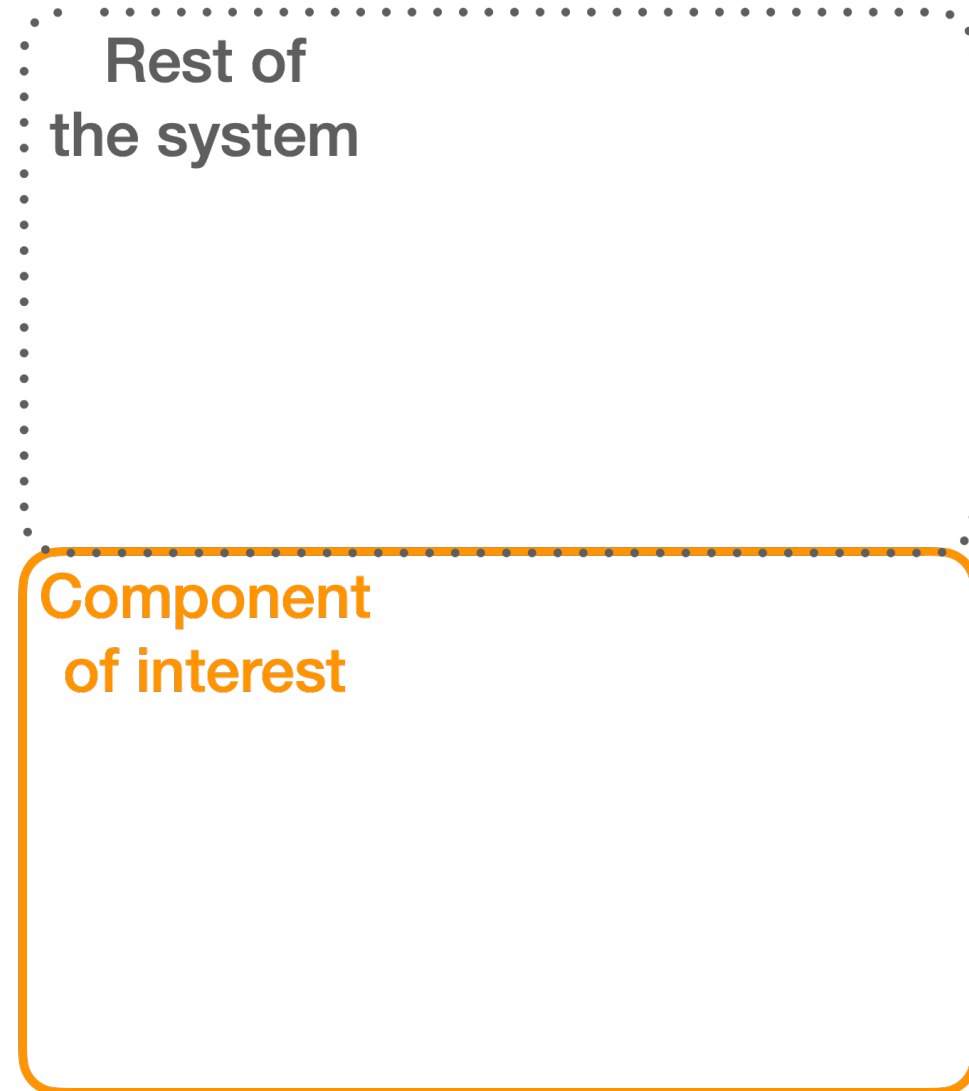
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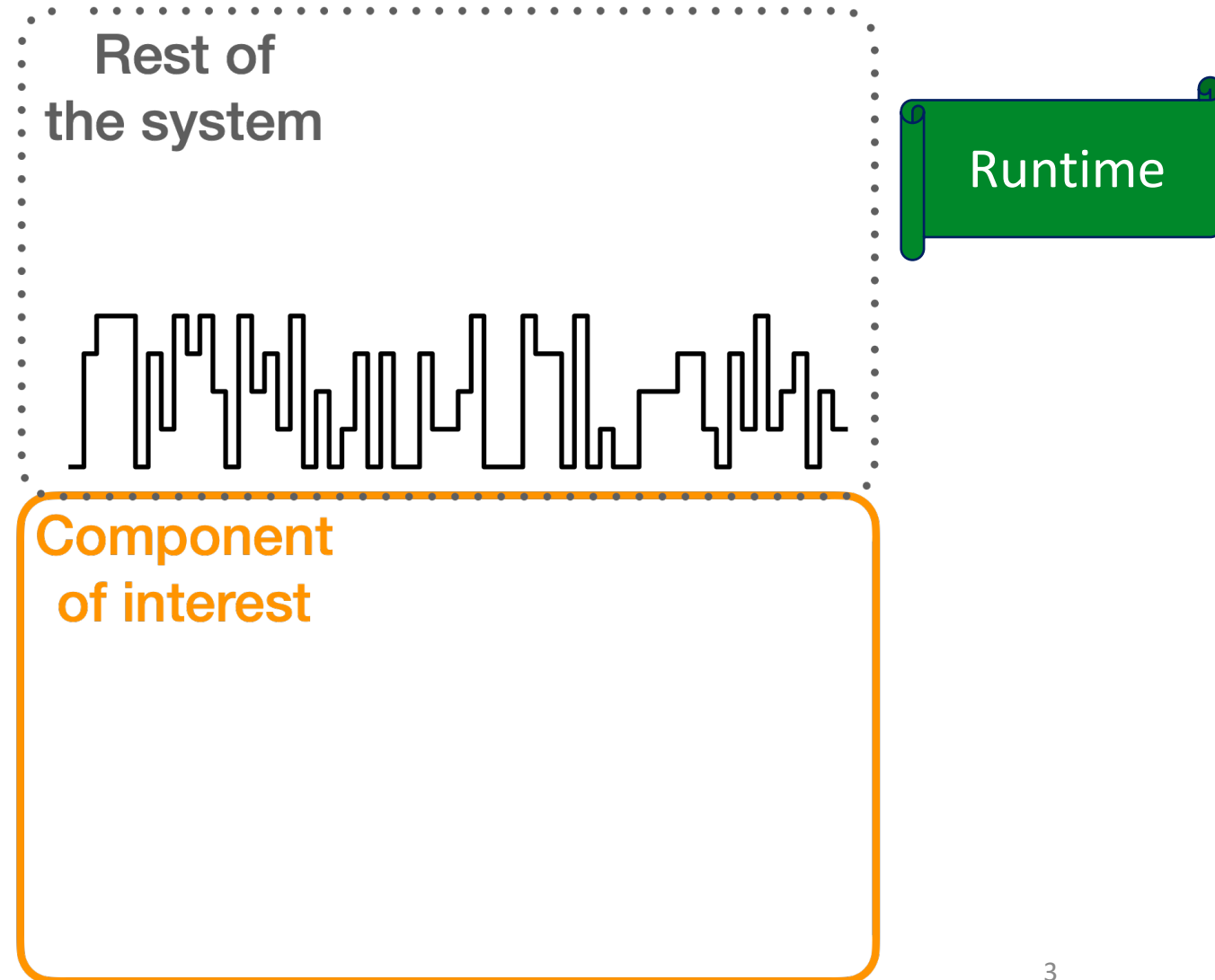


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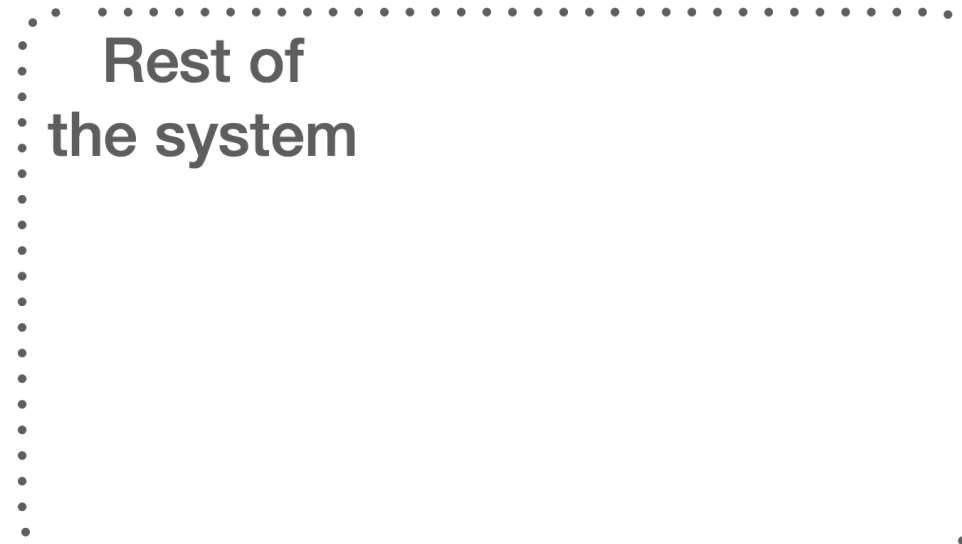
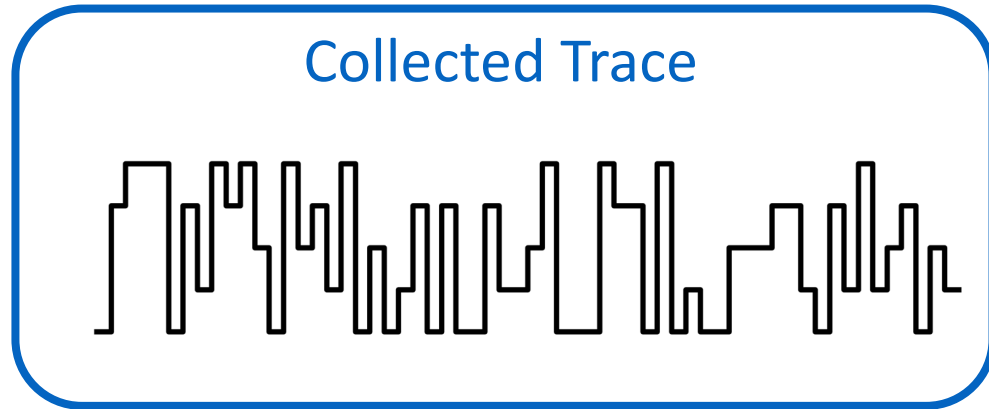
Rest of  
the system

Component  
of interest

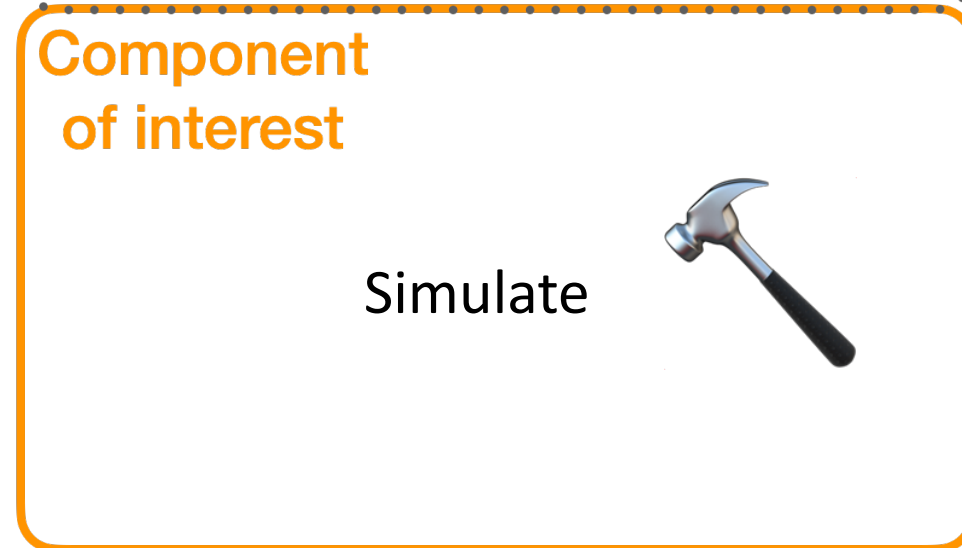
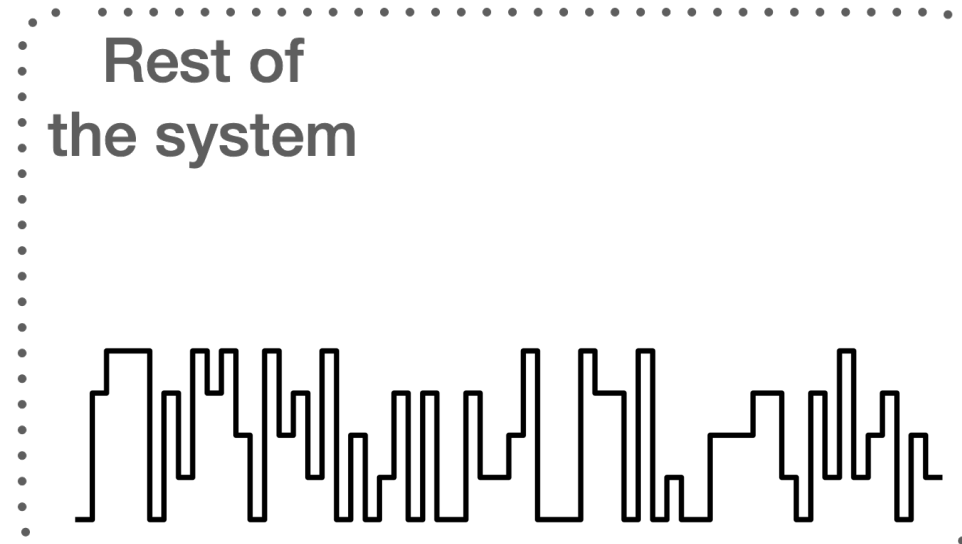
# Exogenous trace assumption in trace-driven simulation



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# Exogenous trace assumption in trace-driven simulation



# Exogenous trace assumption in trace-driven simulation

Collected Trace

- **Exogenous trace** assumption: Simulated interventions would not affect the replayed trace.

Rest of  
the system



Simulation

Component  
of interest

Simulate



*Exogenous trace* assumption does not hold for many real-world traces

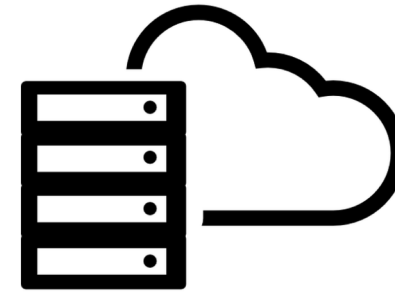
*Exogenous trace assumption* does not hold for many real-world traces

... hurts accuracy, can lead to completely wrong conclusions

# Example: Adaptive Bitrate Video Streaming (ABR)



**Video Client**



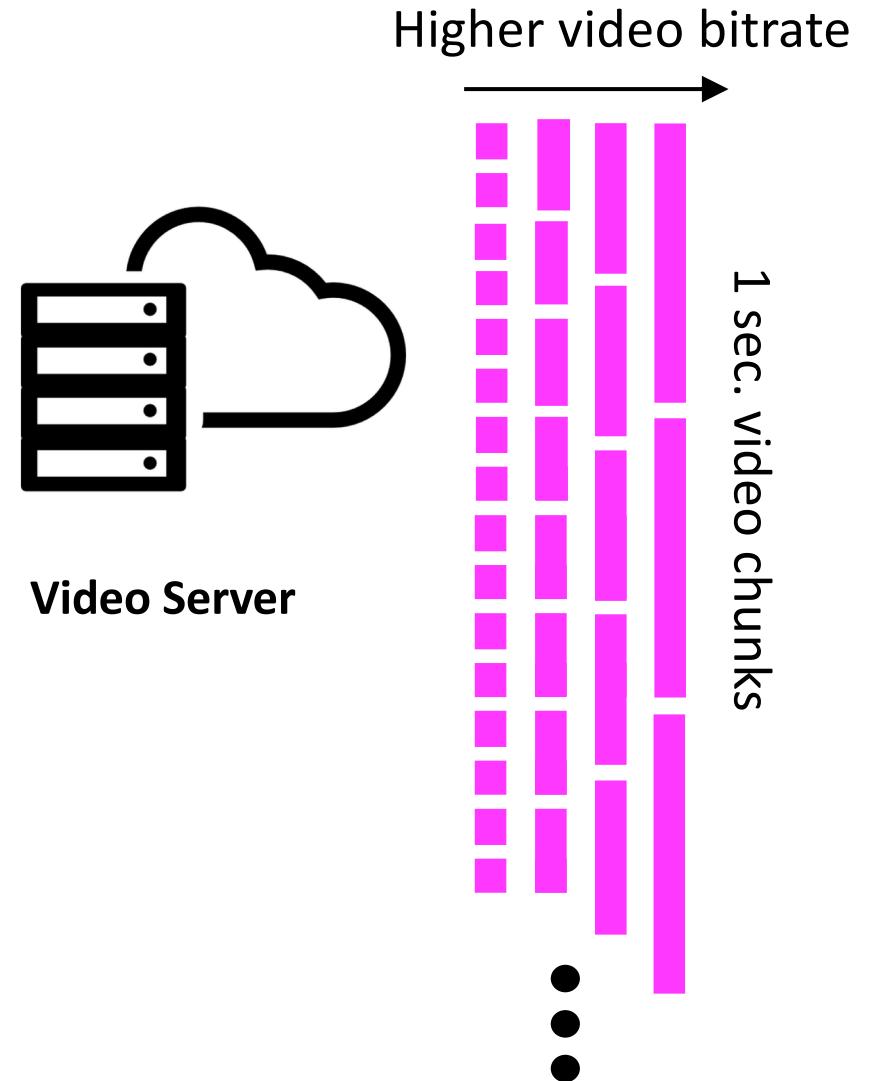
**Video Server**



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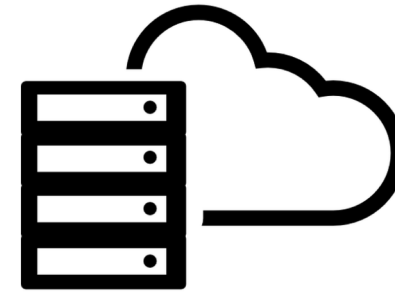


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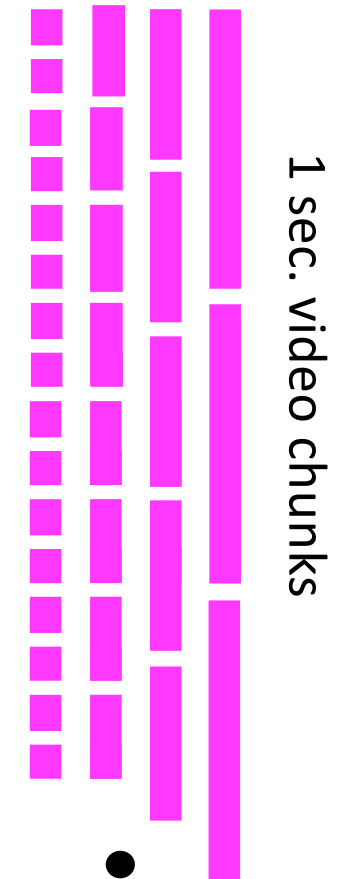
Video Client

**Request:**  
next video chunk at bitrate  $r$



Video Server

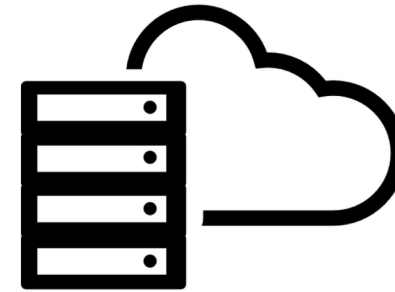
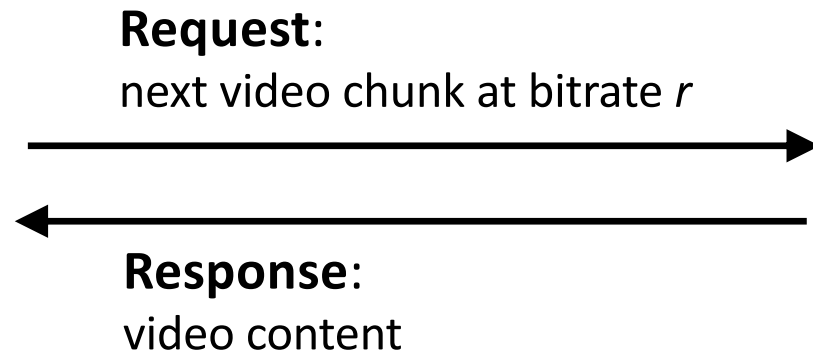
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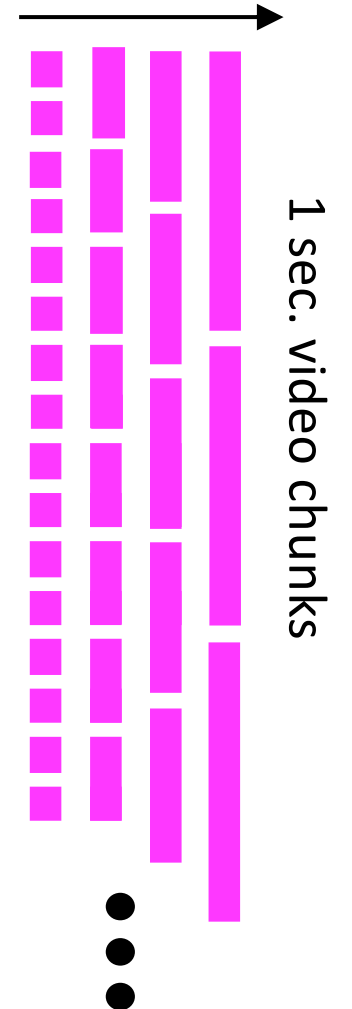


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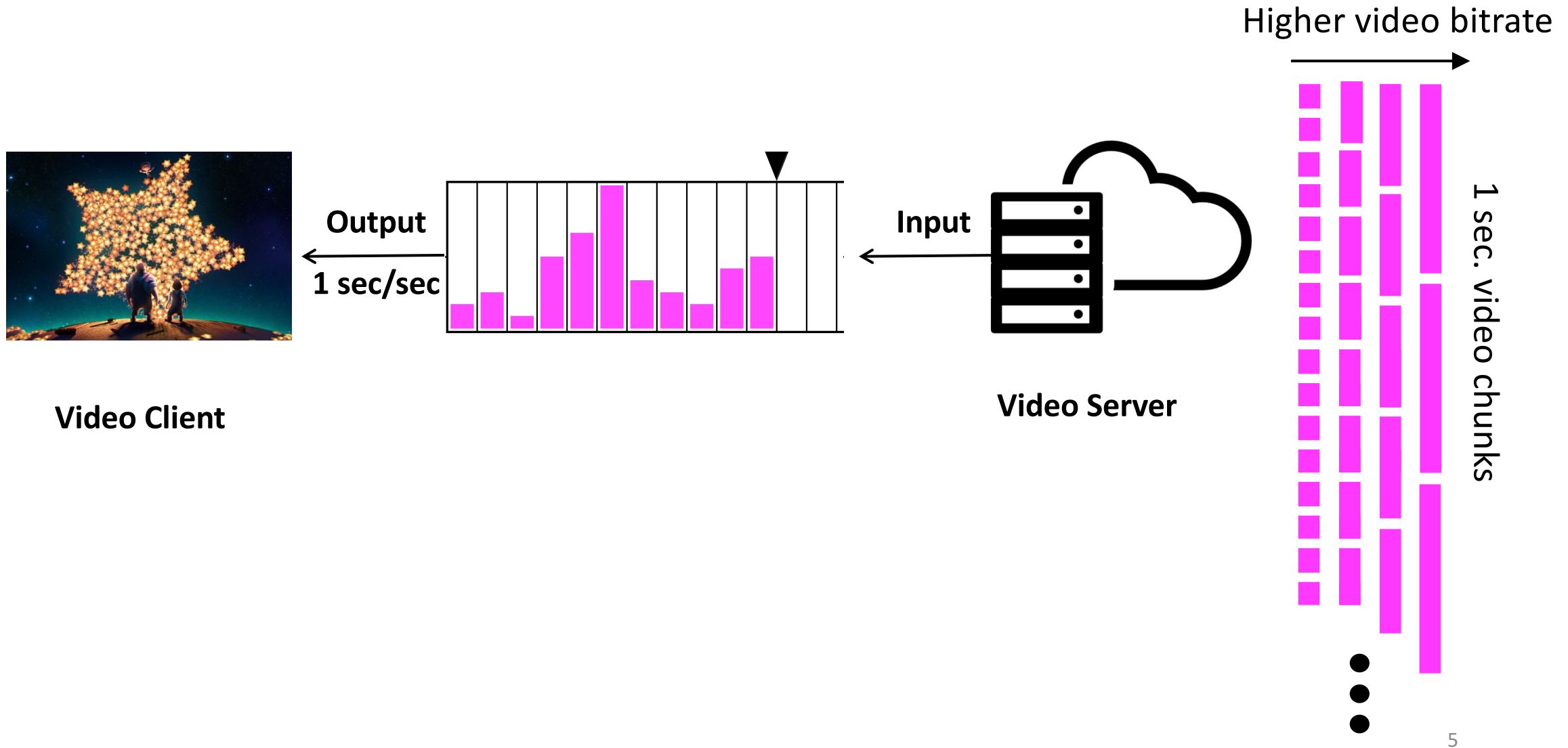


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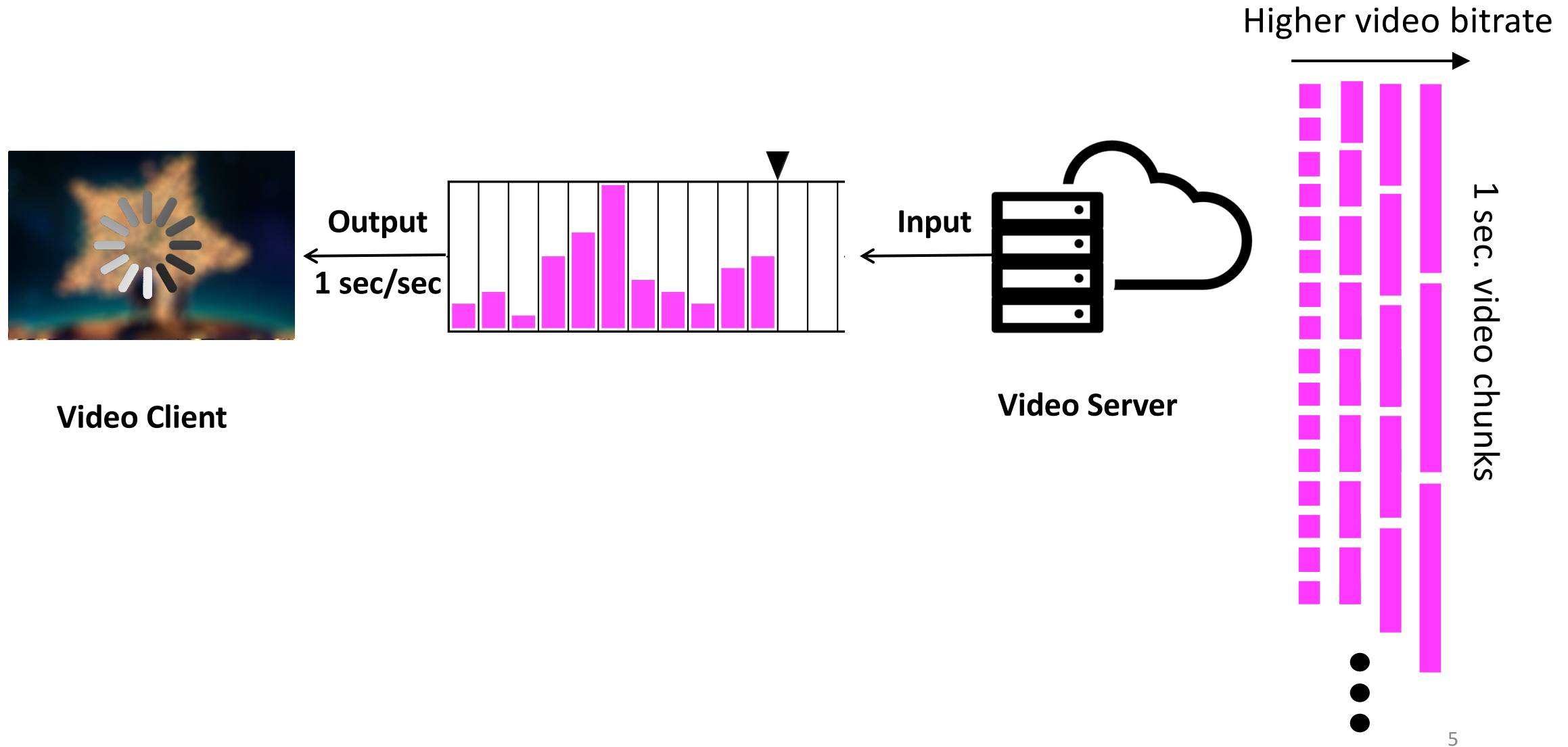
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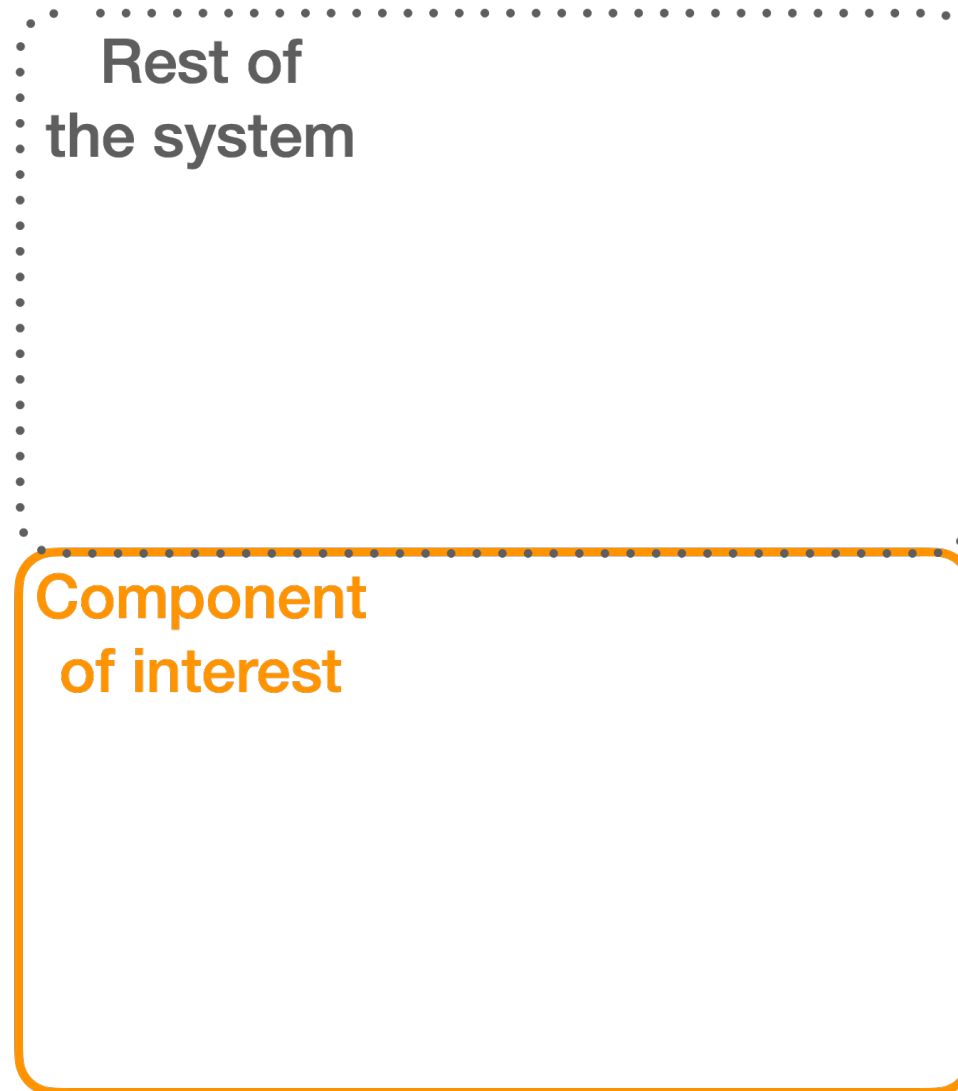
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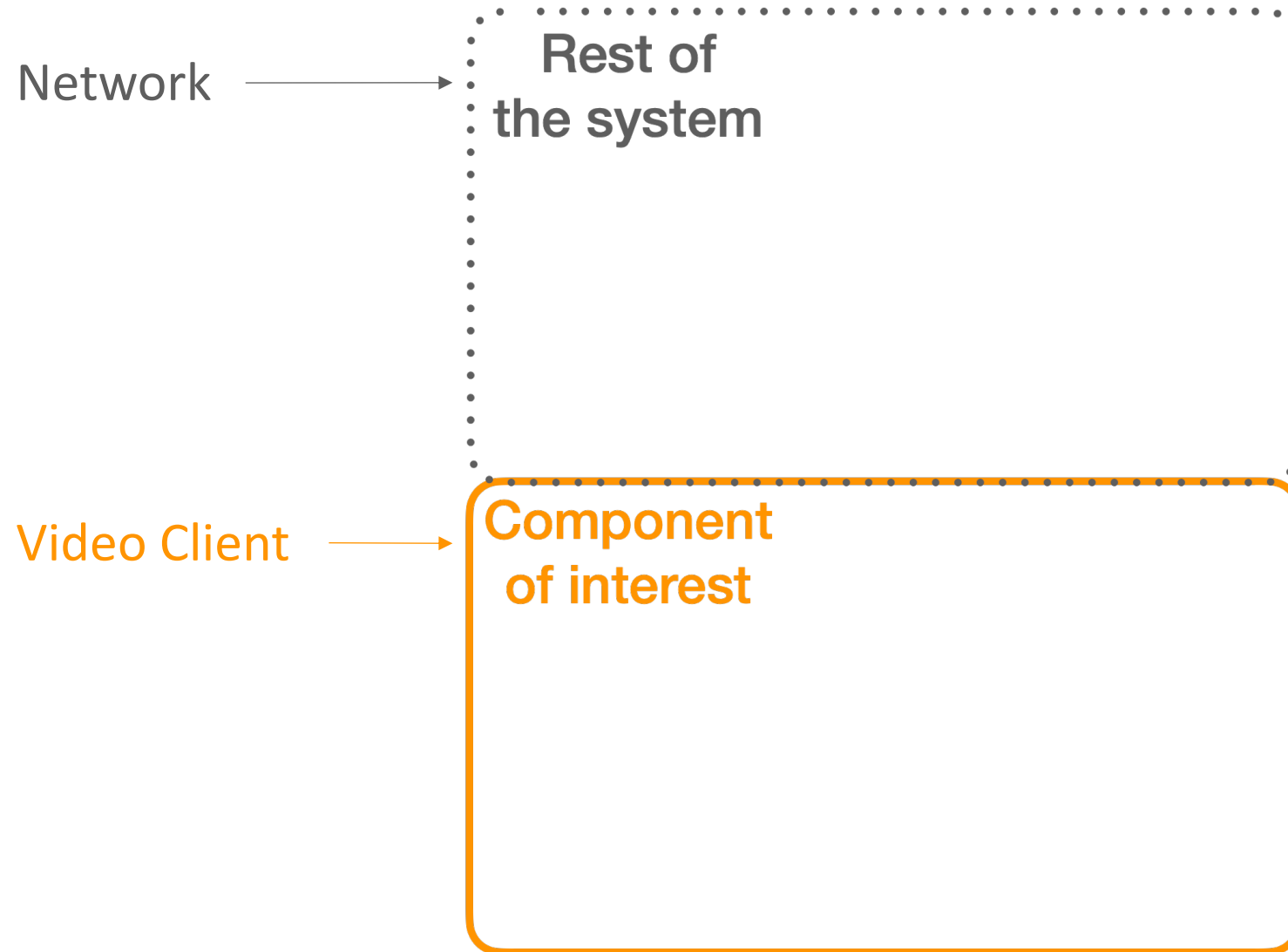
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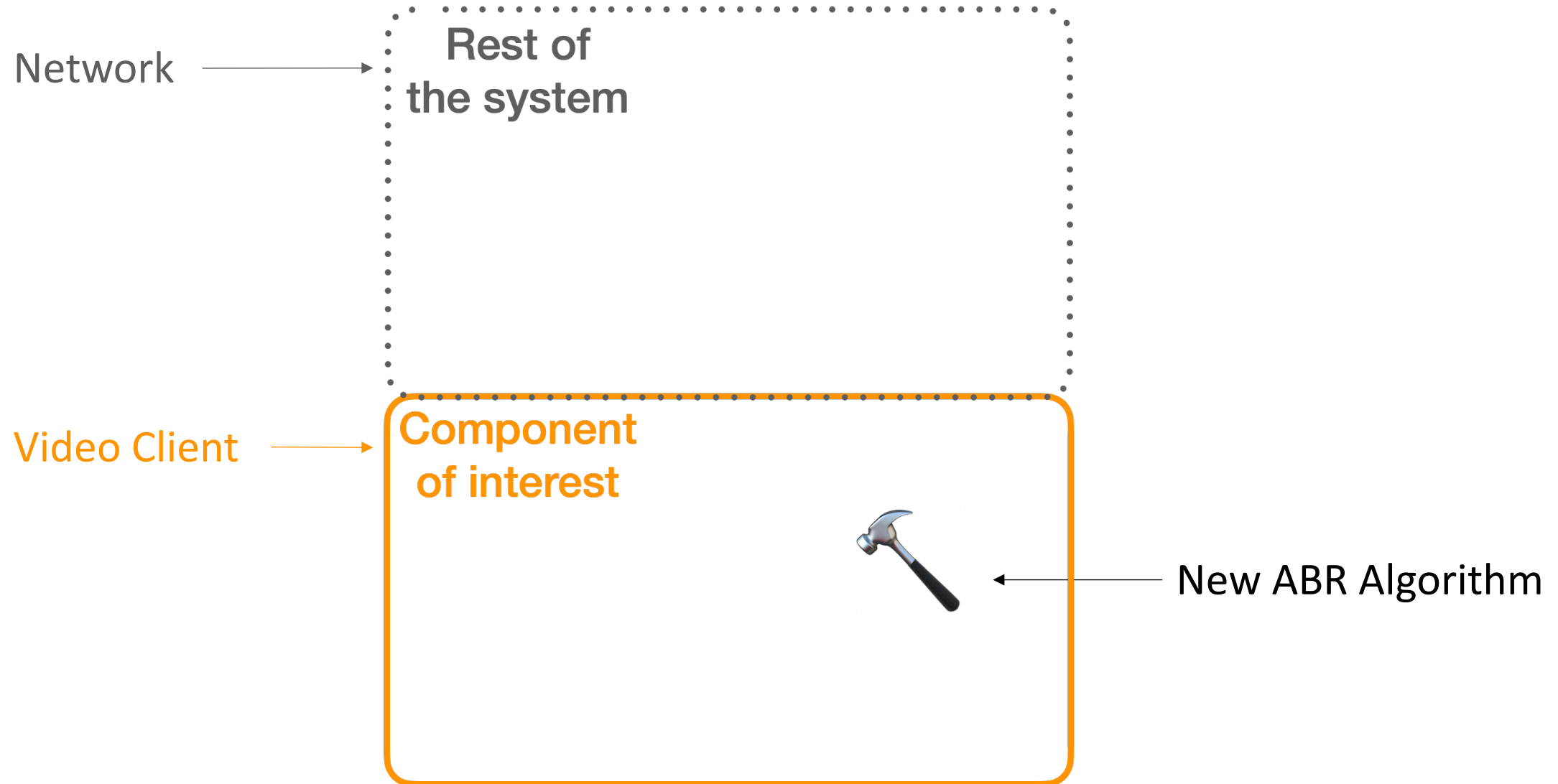
# Trace-driven simulation for ABR



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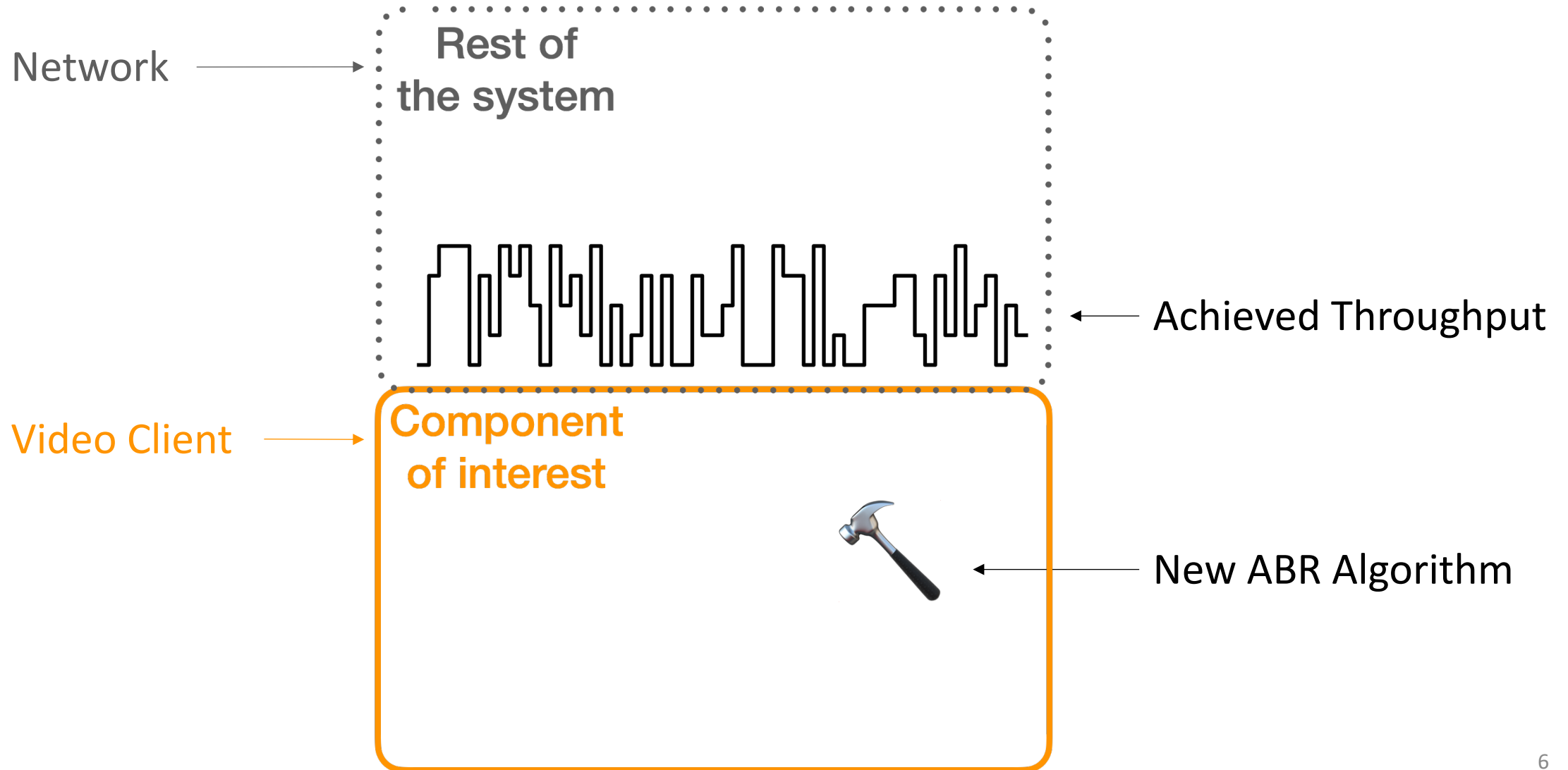


# Trace-driven simulation for ABR





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# Trace-driven simulation for ABR

- ExpertSim

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$$\begin{array}{l} \text{download time} \\ \swarrow \\ b_{t+1} = \max(b_t - d_t, 0) + T \end{array}$$

playback buffer (in sec)  $\longrightarrow$

# Trace-driven simulation for ABR

- ExpertSim

chunk size (The new algorithm's choice)

playback buffer (in sec)  $\longrightarrow$

$$b_{t+1} = \max \left( b_t - \frac{a_t}{m_t}, 0 \right) + T$$

achieved throughput (from the replayed trace)

# Trace-driven simulation for ABR

- ExpertSim
  - [Yin et al. SIGCOMM'15][Mao et al. SIGCOMM'17]

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# The Puffer dataset

- The Puffer Randomized Control Trial [Yan et al. NSDI 2020]
  - July 2020 – June 2021
  - 5 ABR algorithms
  - 56M downloaded chunks over 230K streaming sessions
  - 3.5 years worth of streamed video

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**Task:** Given the traces for all except one ABR algorithm, simulate the held out algorithm on the same paths

# A typical trace

<b>Chunk #</b>	1	2	3		<u>Algorithm</u>
<b>Bitrate</b>	360p	480p	480p		BBA
<b>Achieved Throughput</b>	1Mbps	0.8Mbps	1.2Mbps	•••	(“source”)
<b>Playback Buffer</b>	5s	3s	7s		



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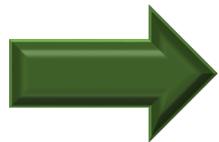
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Algorithm  
BOLA1  
("target")

# A typical trace

Chunk #	1	2	3		<u>Algorithm</u>
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A simulated trajectory

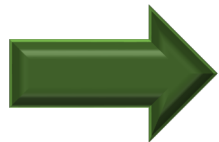


Chunk #	1				<u>Algorithm</u>
Bitrate	720p				BOLA1
Achieved Throughput	?				("target")
Playback Buffer	?				

# A typical trace

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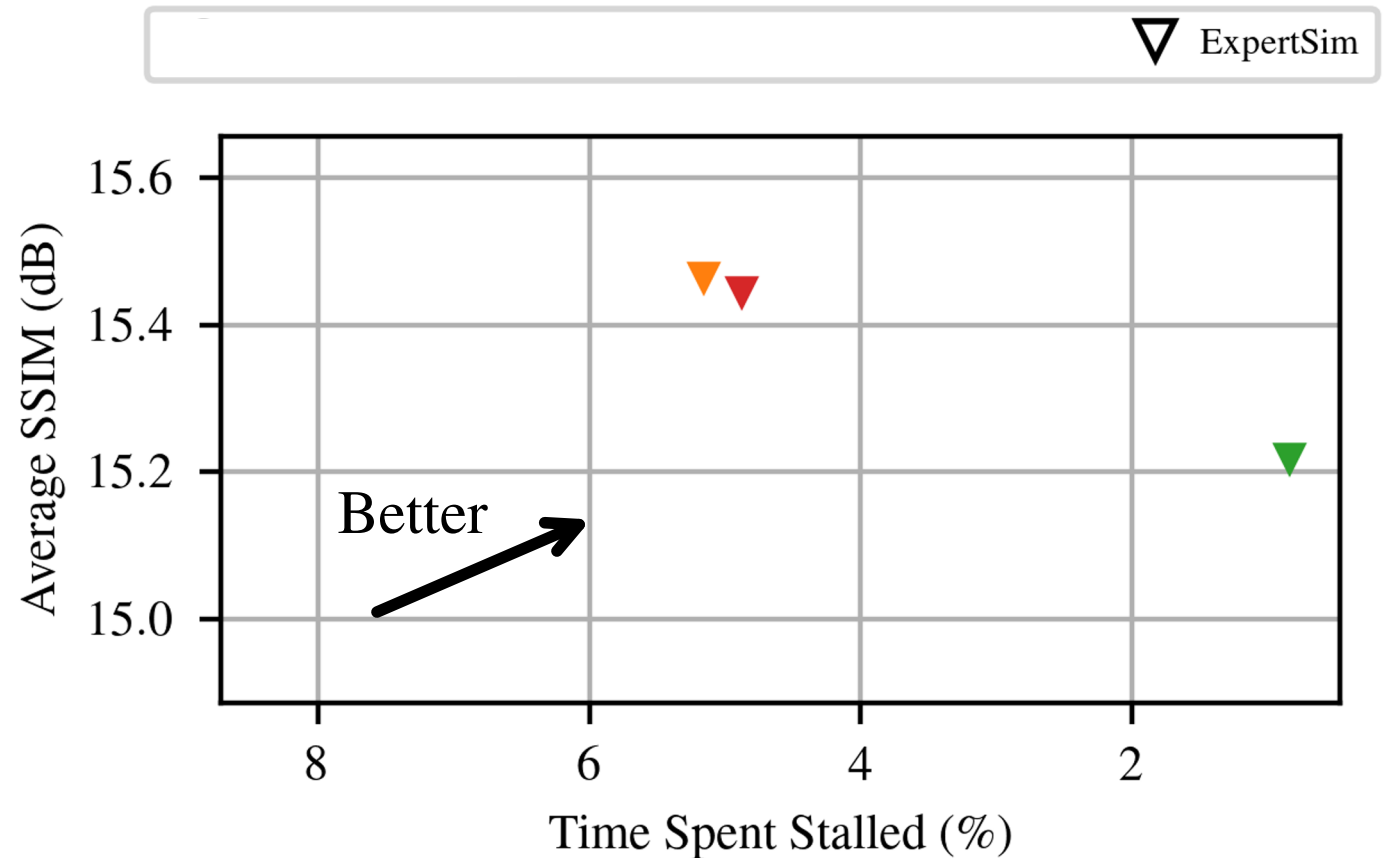
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Bitrate	720p	?	?		BOLA1
Achieved Throughput	?	?	?	...	("target")
Playback Buffer	?	?	?		

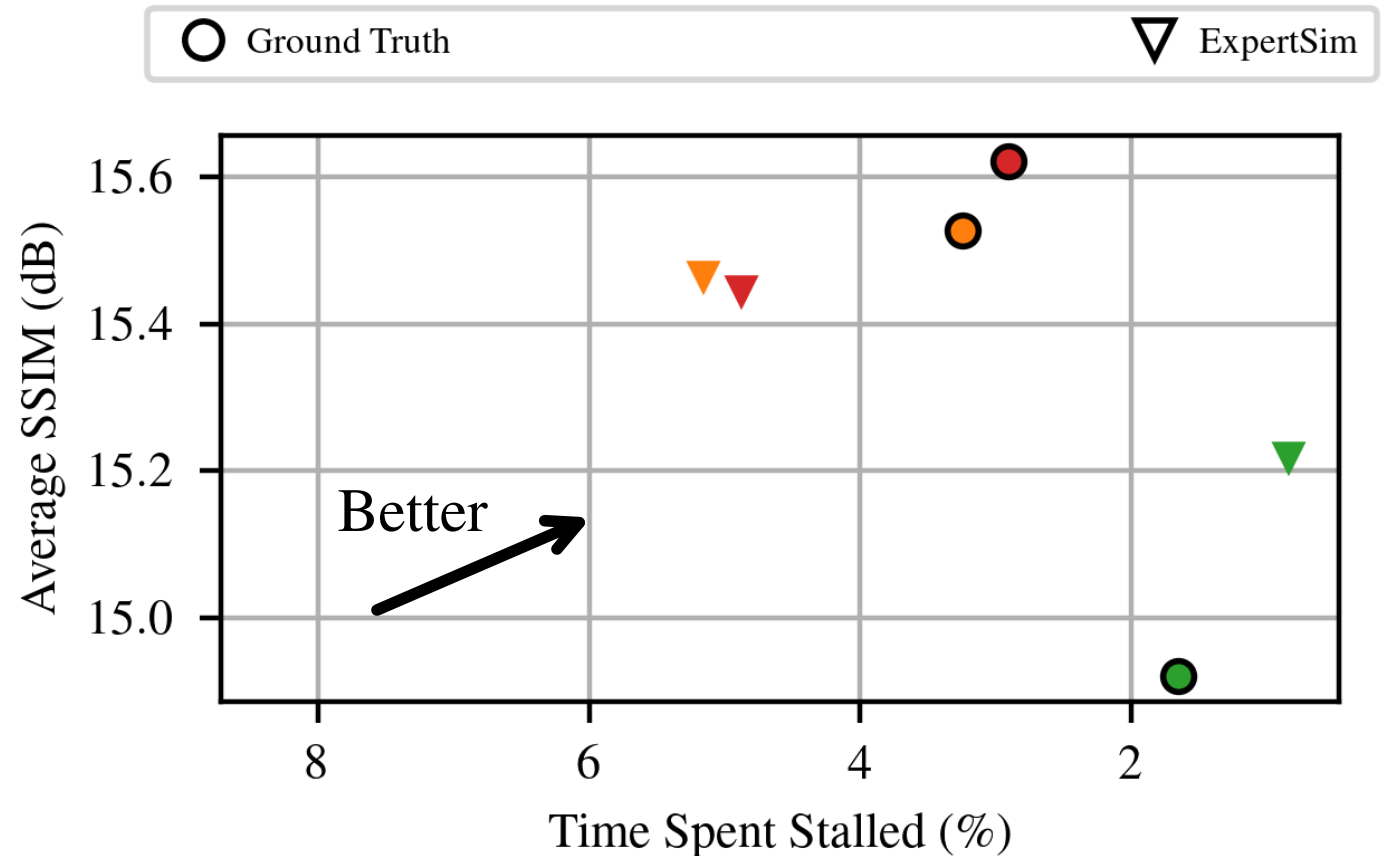
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- Source data: The Puffer Dataset with 5 algorithms
- Target algorithm (unseen): **BBA**, **BOLA1**, **BOLA2**



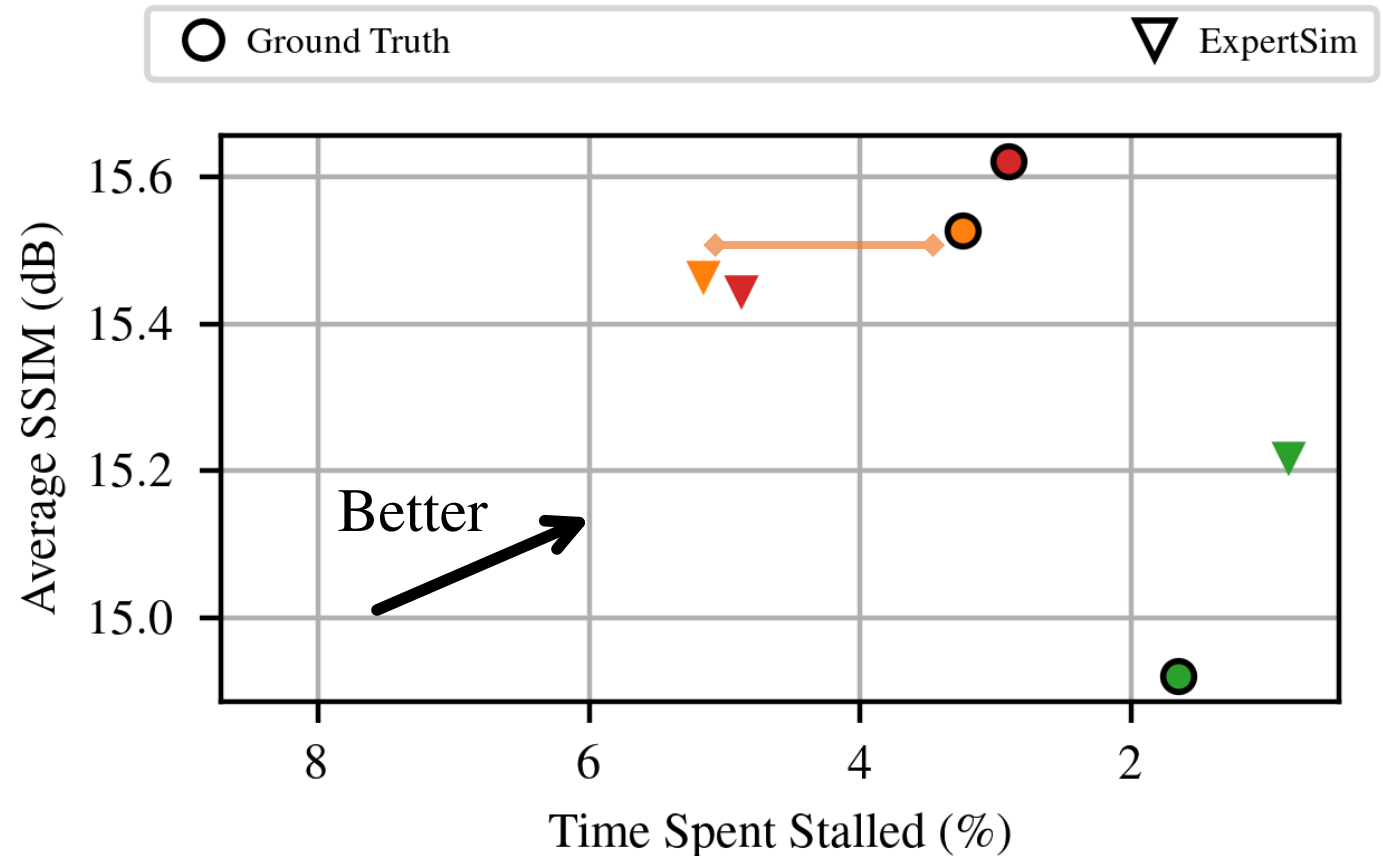
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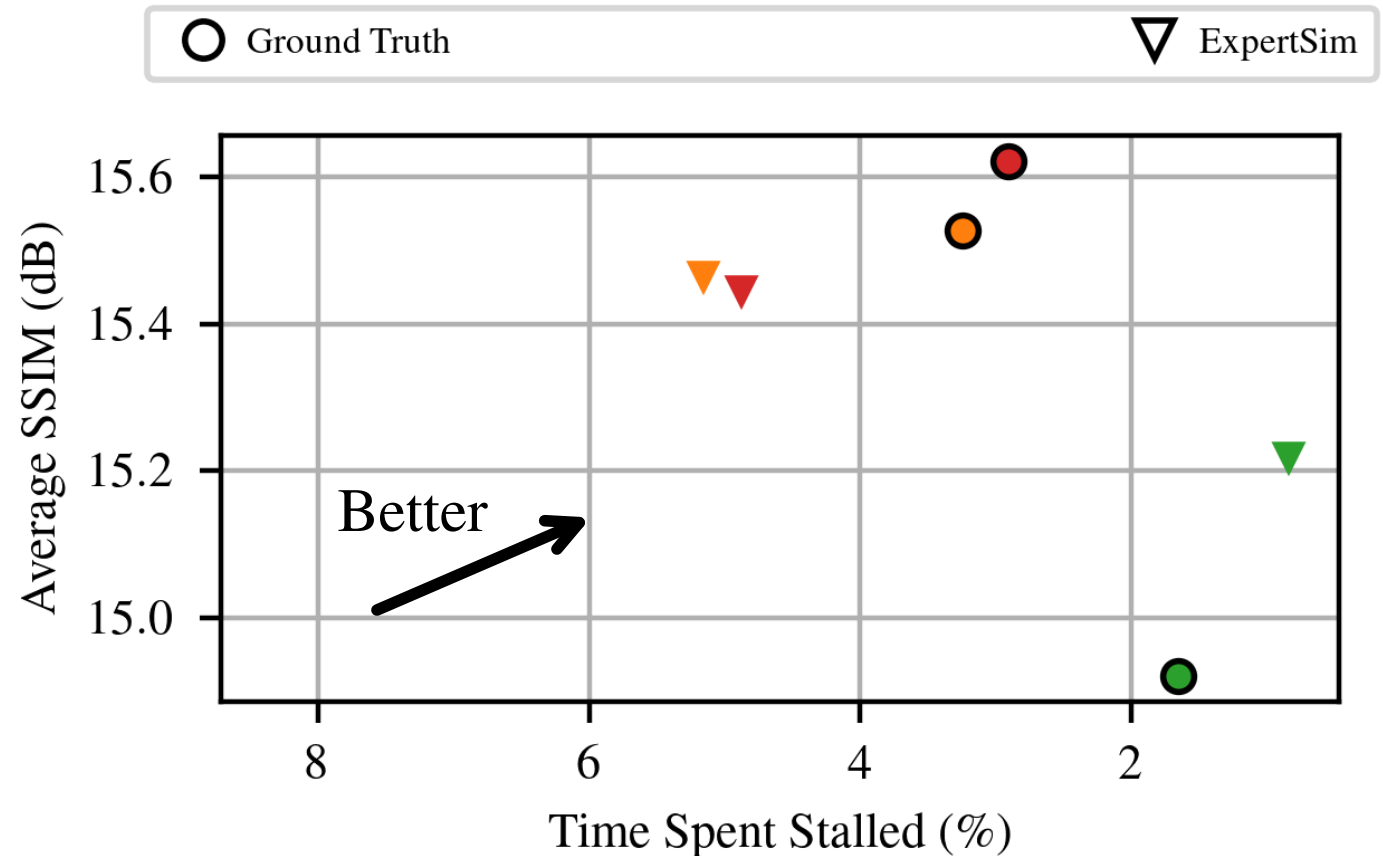
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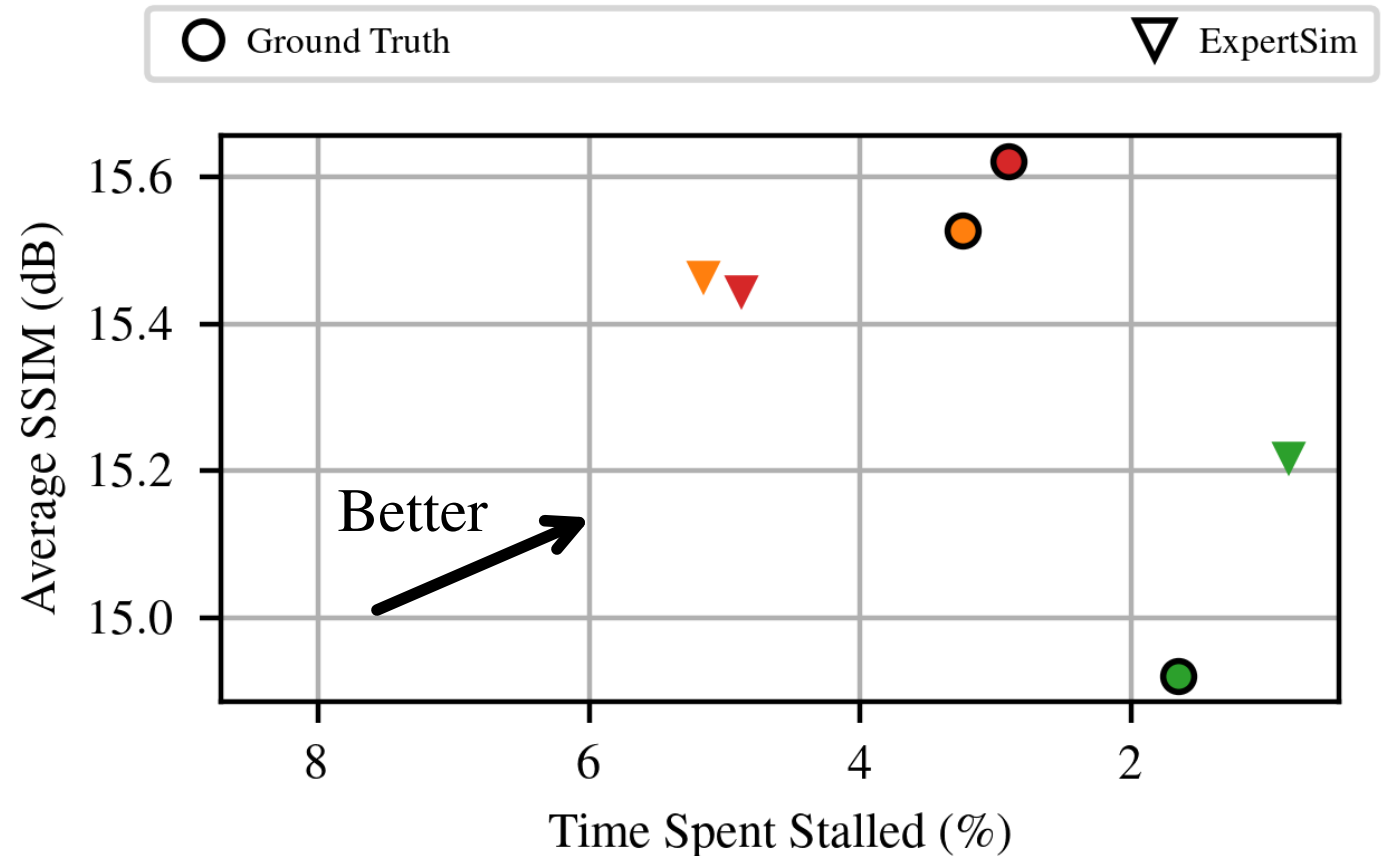
*Exogenous trace assumption*



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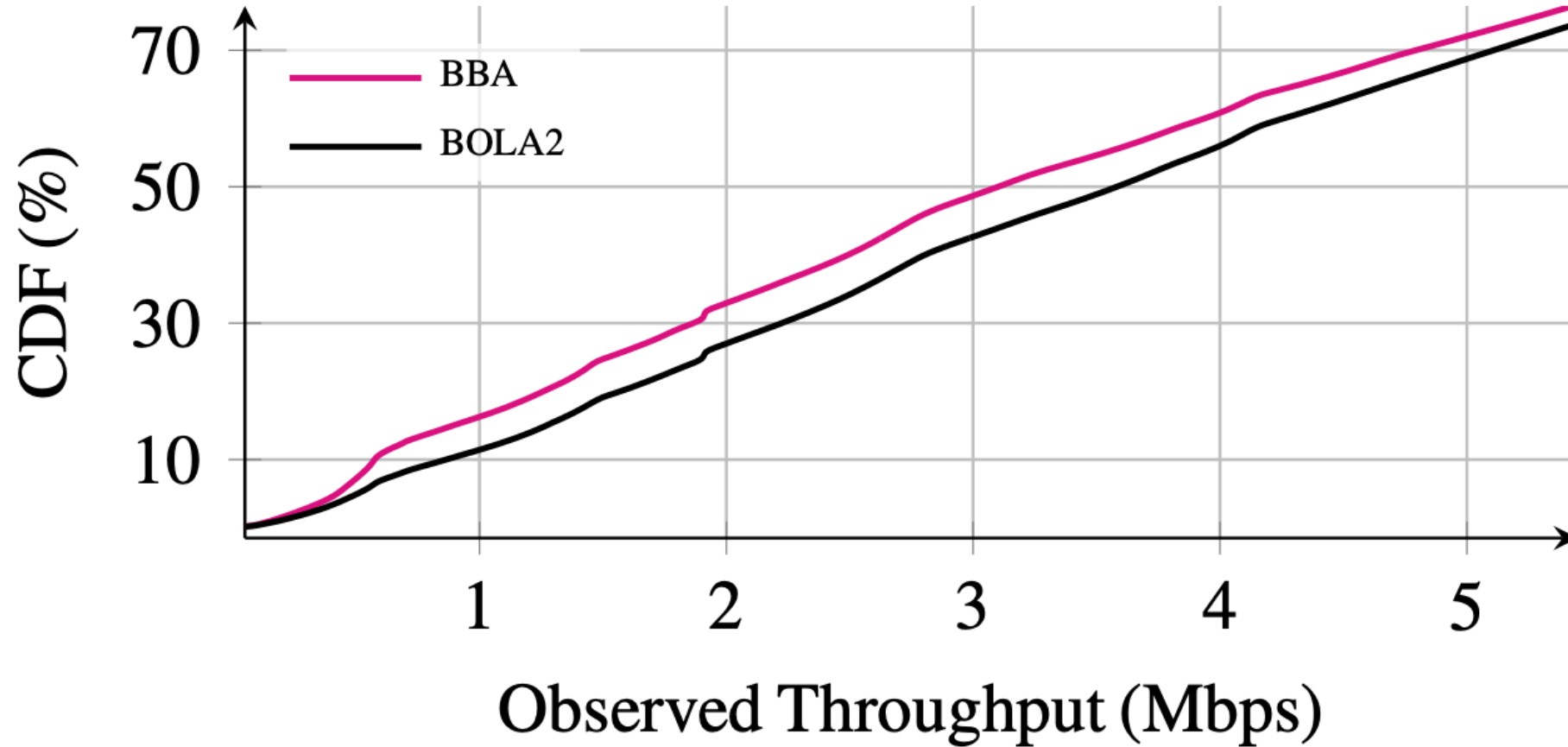
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*Exogenous trace assumption*  
Bitrates chosen by the ABR algorithm affect the achieved throughput.





# ABR algorithms affect throughput



Can we relax the exogenous trace assumption?

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Achieved Throughput



$m_t$

# Can we relax the exogenous trace assumption?

Achieved Throughput

$$\overset{\uparrow}{m_t} = f( \quad )$$

# Can we relax the exogenous trace assumption?

$$\begin{array}{ccc} \text{Achieved Throughput} & & \text{Bitrate} \\ \uparrow & & \uparrow \\ m_t = f(a_t) & & \end{array}$$

# Can we relax the exogenous trace assumption?

Achieved Throughput      Bitrate      Latent Network Conditions

$$m_t = f(a_t, u_t)$$

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Achieved Throughput      Bitrate      Latent Network Conditions

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Both  $u_t$  and  $f(\cdot)$  are unknown

# Towards a solution

$$m_t = f(a_t, u_t)$$



# Towards a solution

- If  $u$  and  $f(\cdot)$  were known...

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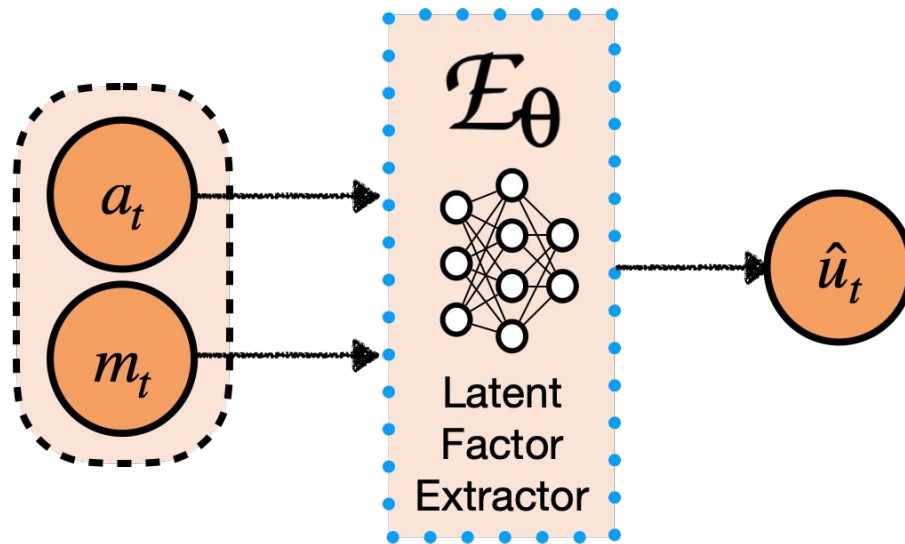
$$\tilde{m}_t = f(\tilde{a}_t, u_t)$$



simulated bitrate  
(counterfactual)

# A learning approach

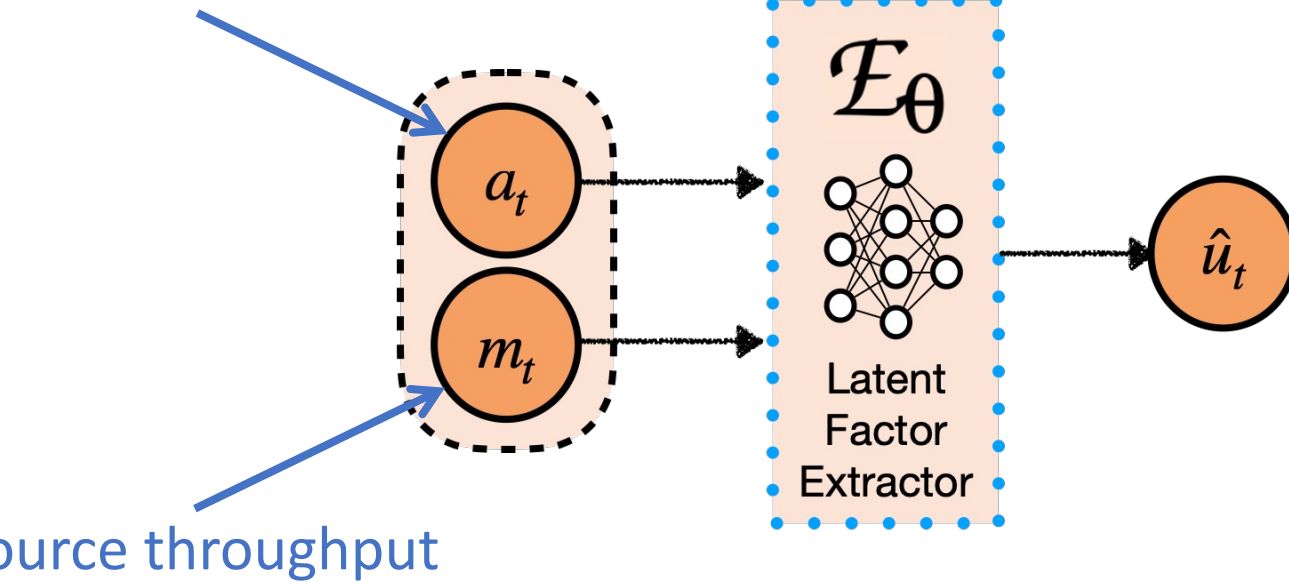
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# A learning approach

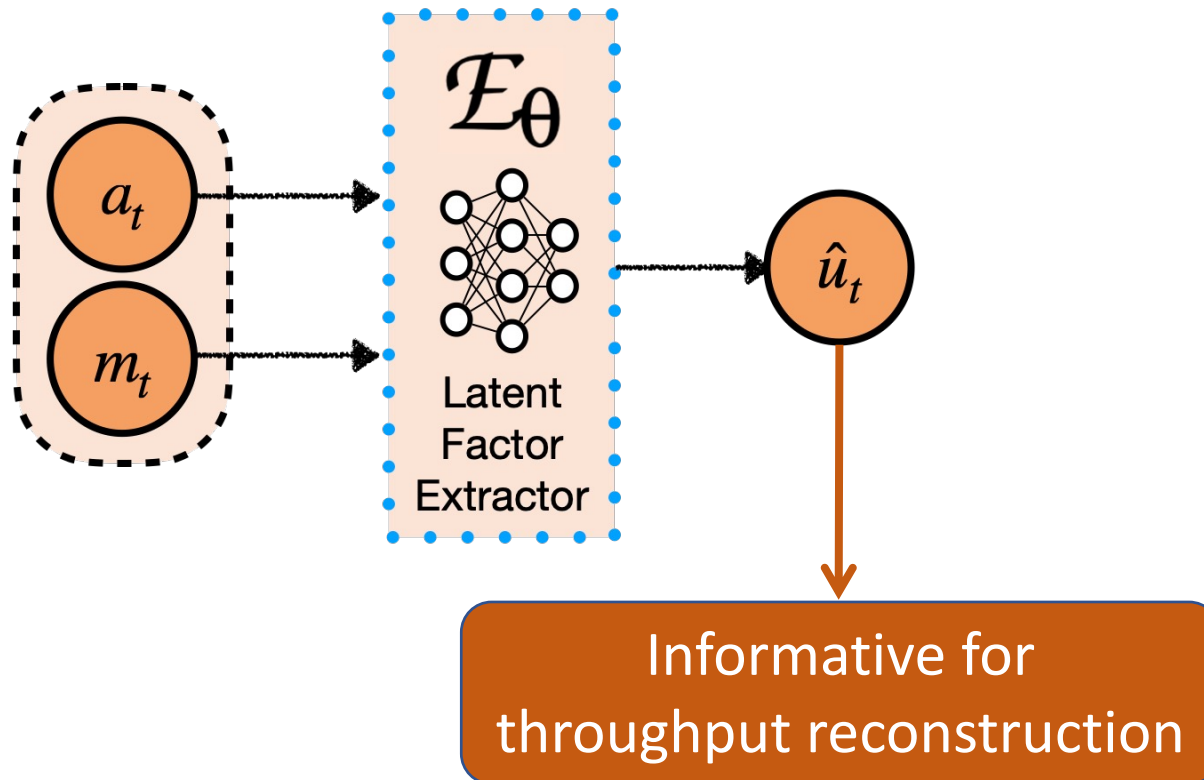
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Source bitrate



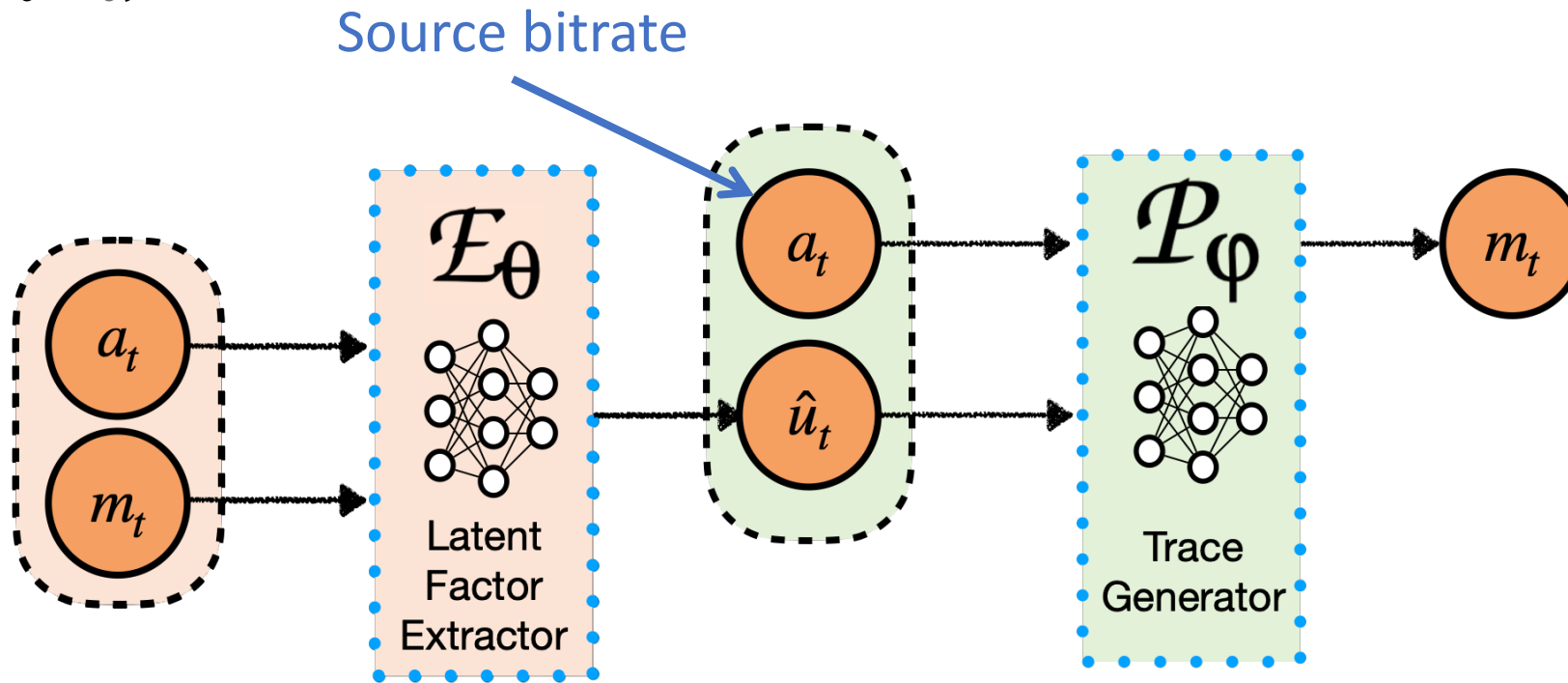
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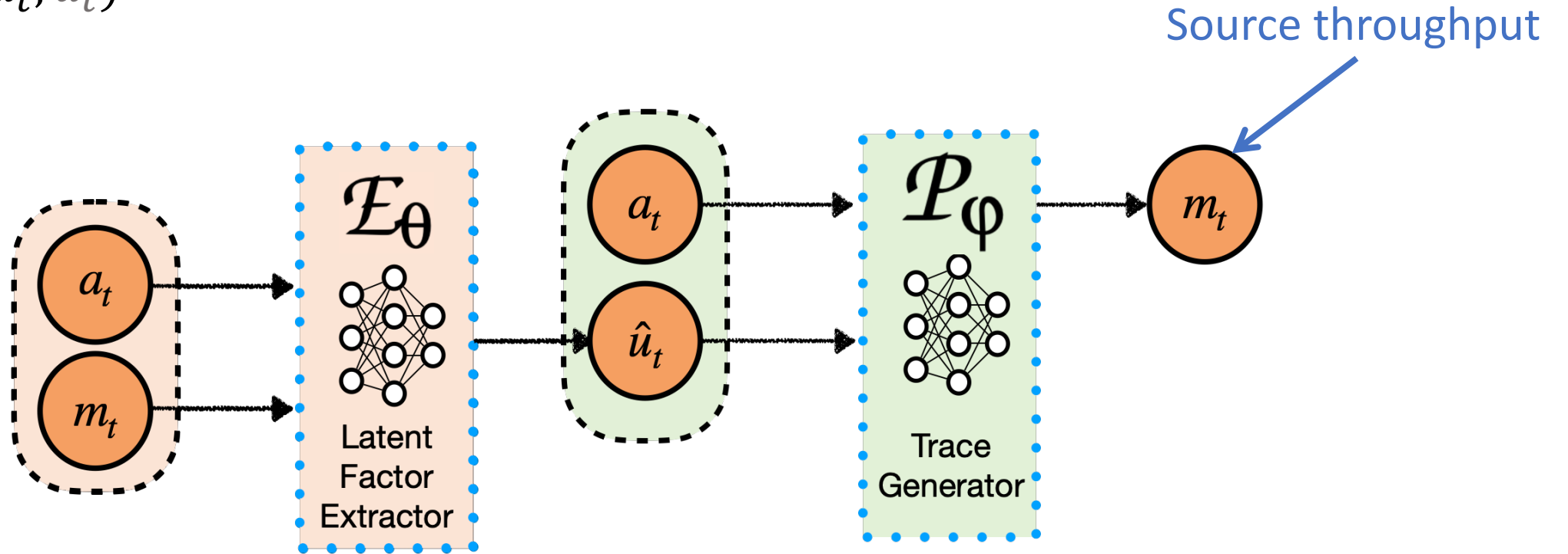
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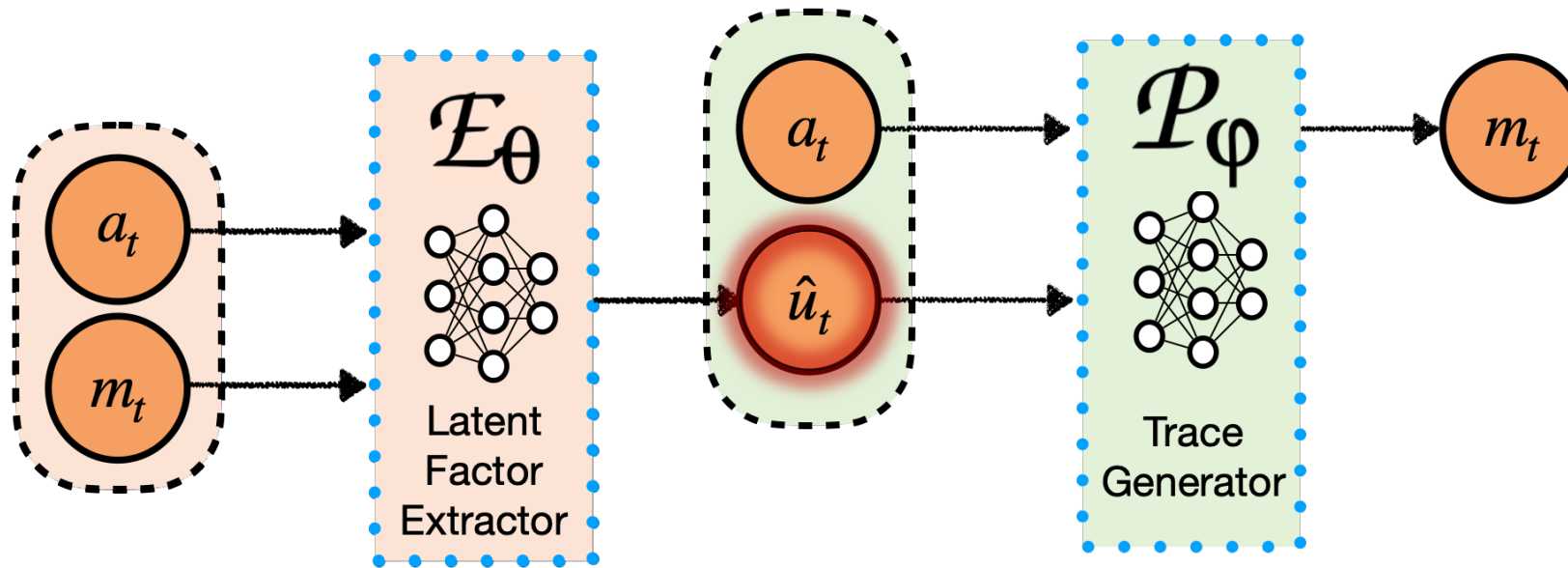


Learning goal

- Fit observed data

# A learning approach

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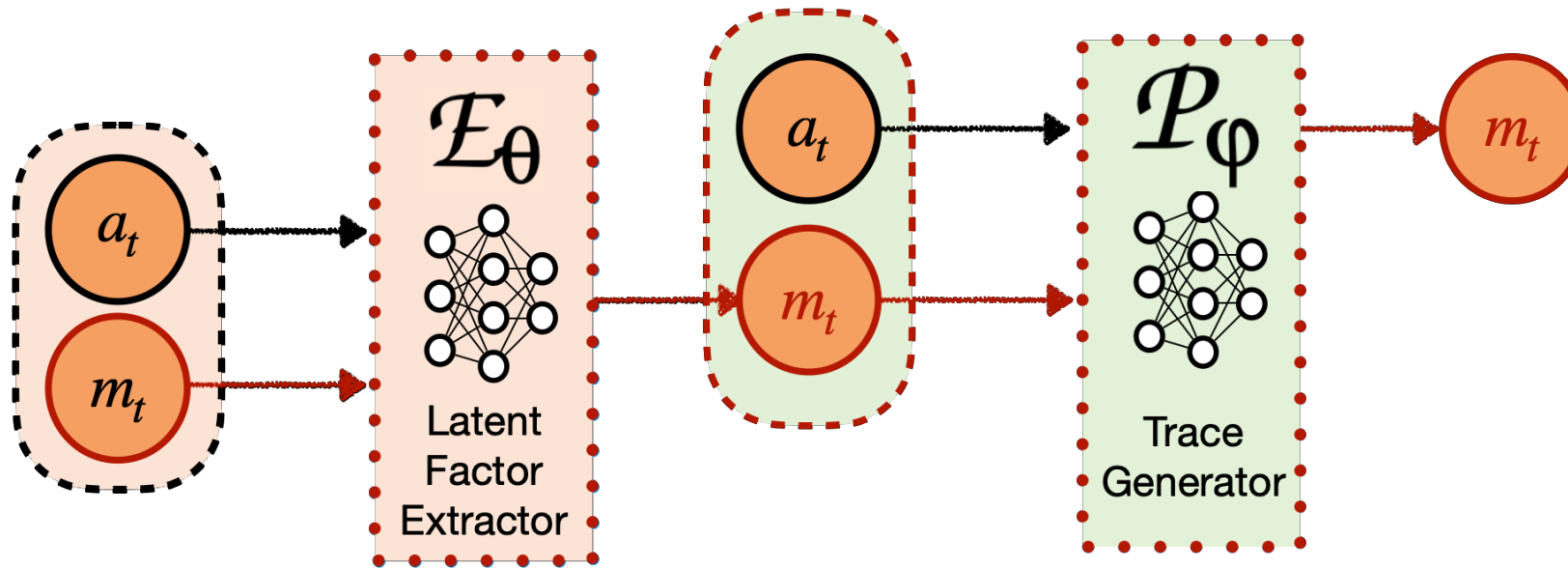
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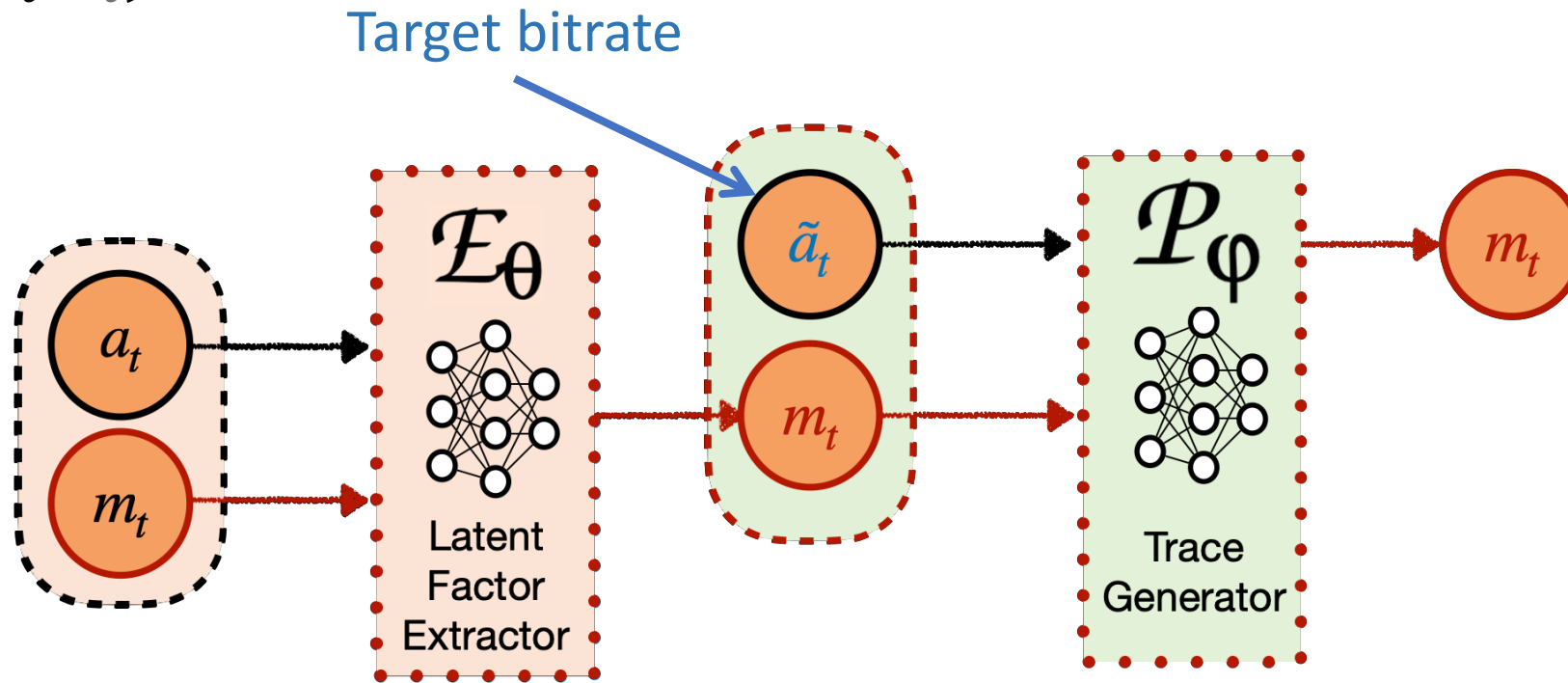
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A degenerate solution

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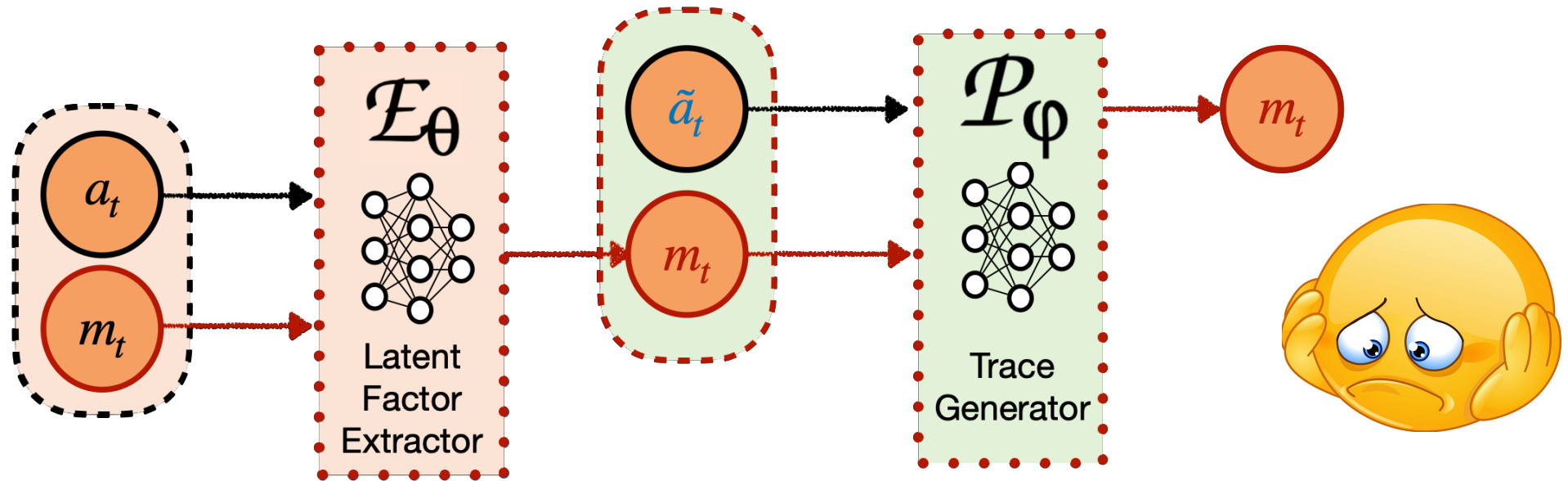
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Learning goal

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# RCT to the rescue!



***RCT property:*** Distribution of latent network conditions is the same in trajectories assigned to different algorithms.

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↳ Latent network condition is independent of the source algorithm (used for trace collection).

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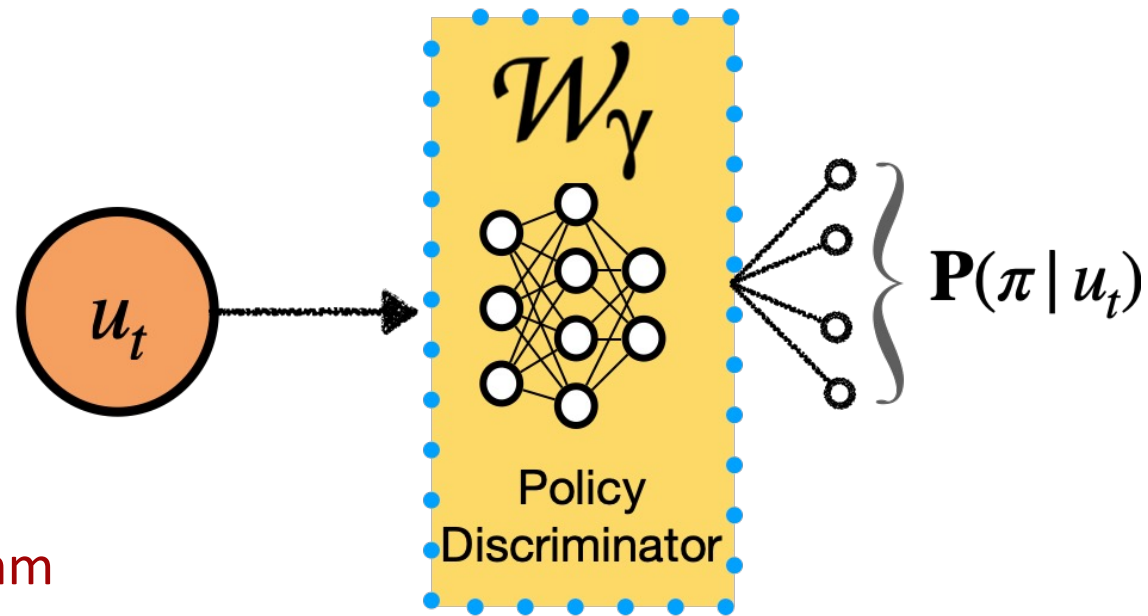
↳ Latent network condition is independent of the source algorithm (used for trace collection).

↳ Latent network condition does not give any information about the source algorithm.

# RCT to the rescue!



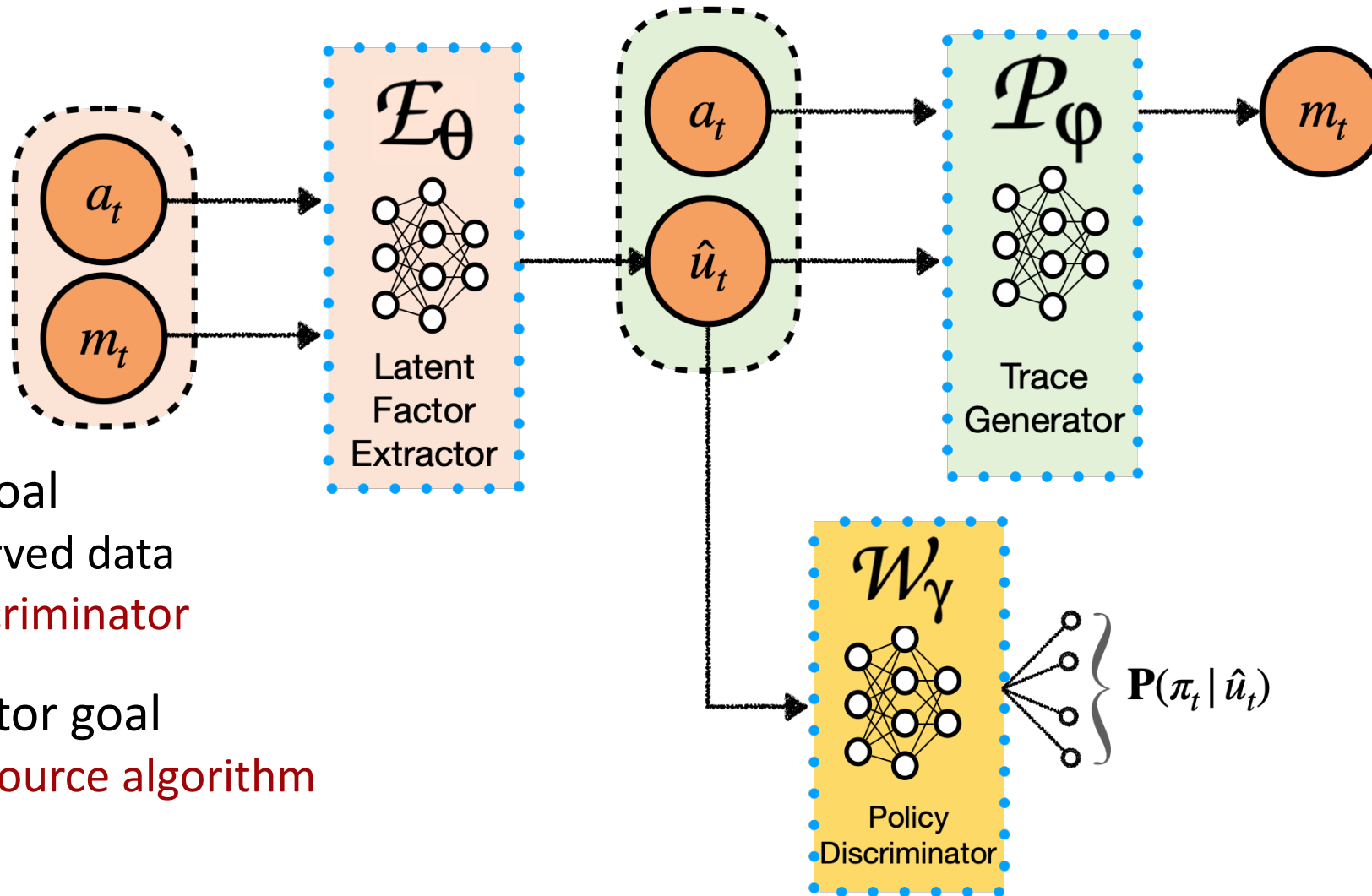
**RCT property:** Distribution of latent network conditions is the same in trajectories assigned to different algorithms.



Discriminator goal

- Predict source algorithm

# Exploiting the RCT property



Learning goal

- Fit observed data
- Fool discriminator

Discriminator goal

- Predict source algorithm



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1. (**Invertibility**)  $\forall a: m = f(a, u)$  is invertible.
2. (**Low-rank factorization**) Matrix representation of  $f$  has rank  $r$ , and  $r \leq \dim(\text{trace})$ .
3. Traces are collected using sufficient number of **diverse** algorithms (See the paper for the precise statement).

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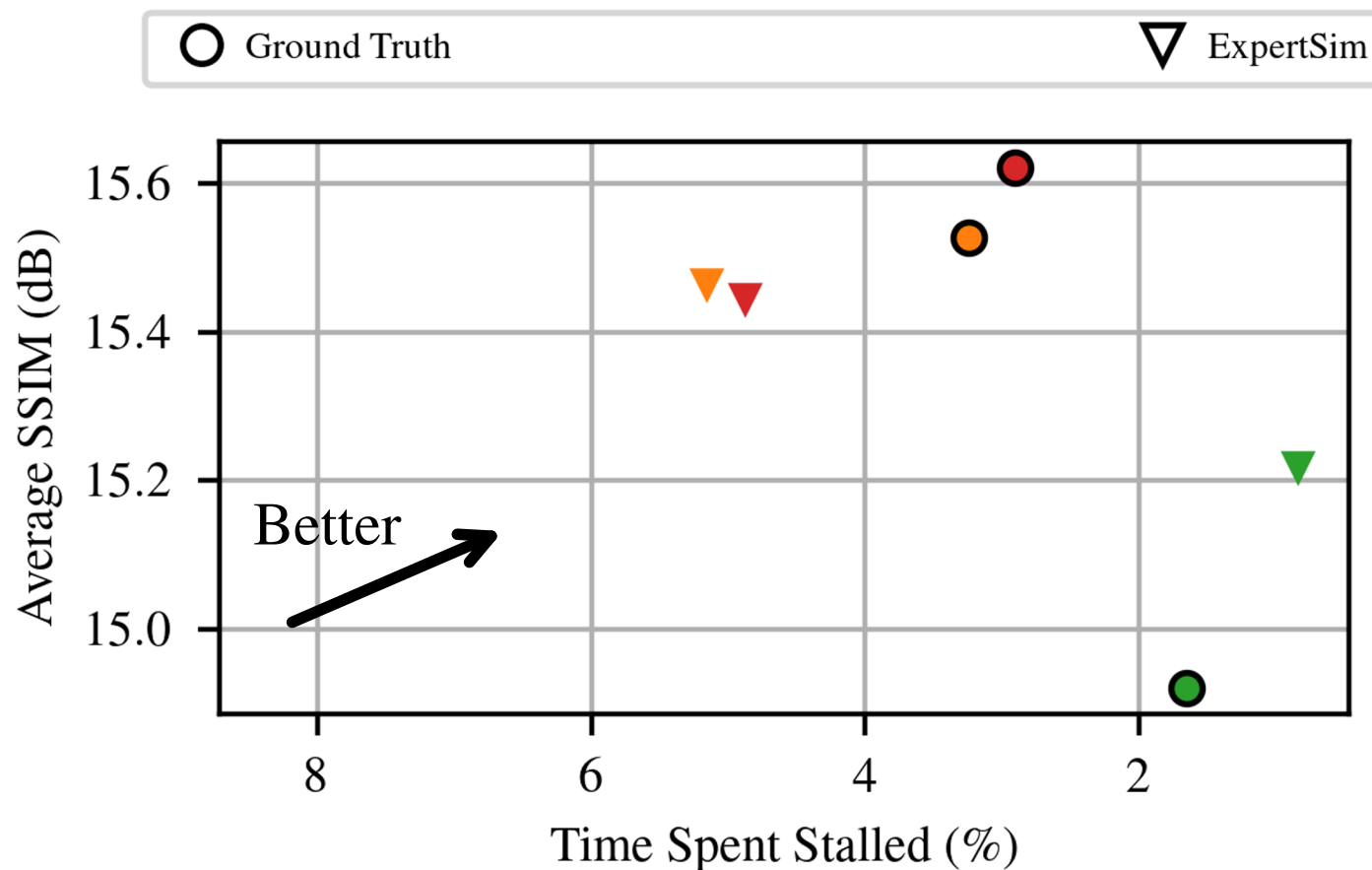
- Key source of bias
  - Exogenous Trace Assumption

# Fulfilling the initial promise

- Key source of bias
  - Exogenous Trace Assumption
  
- How to do unbiased trace-driven simulation?
  - CausalSim

# Simulation accuracy

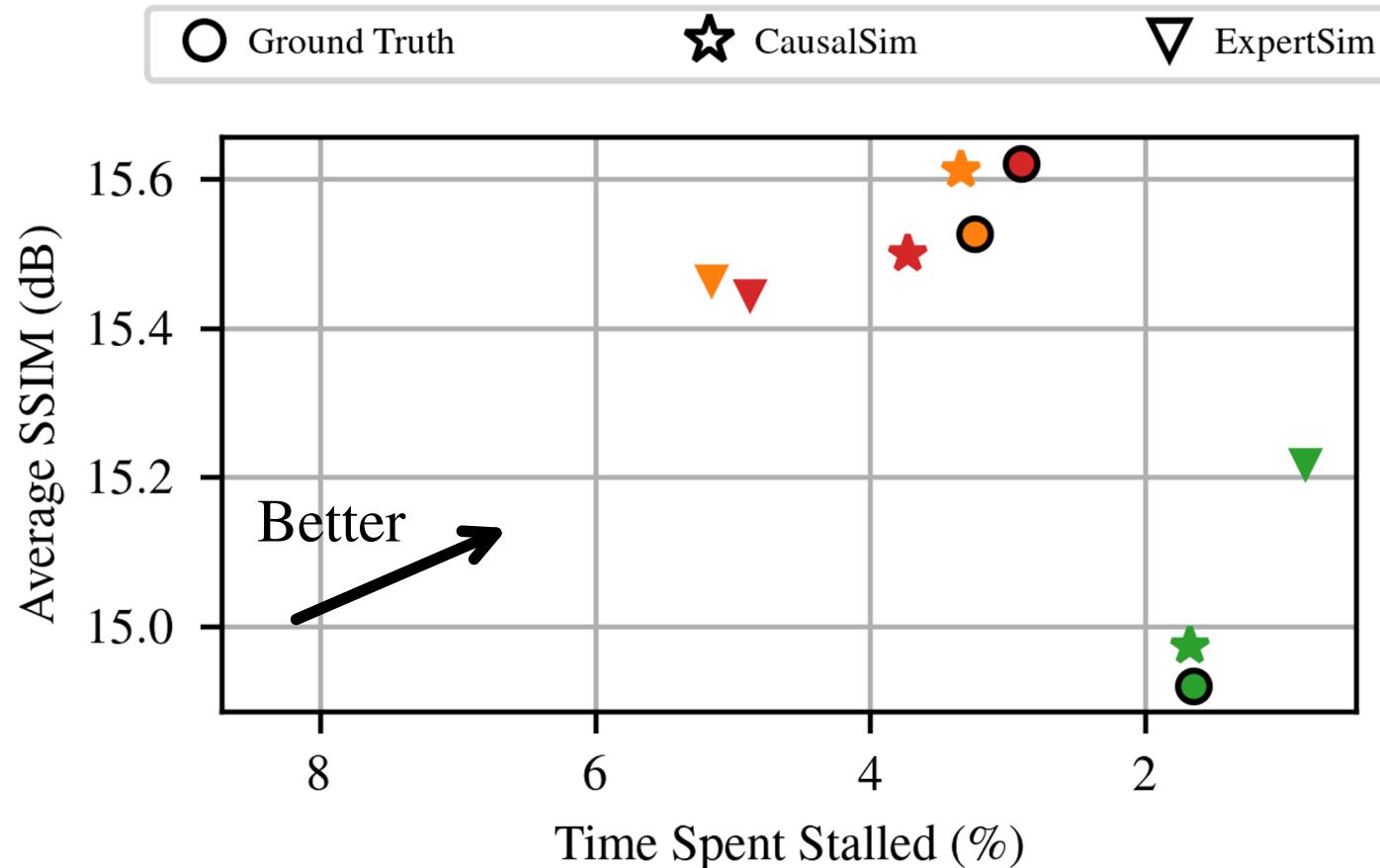
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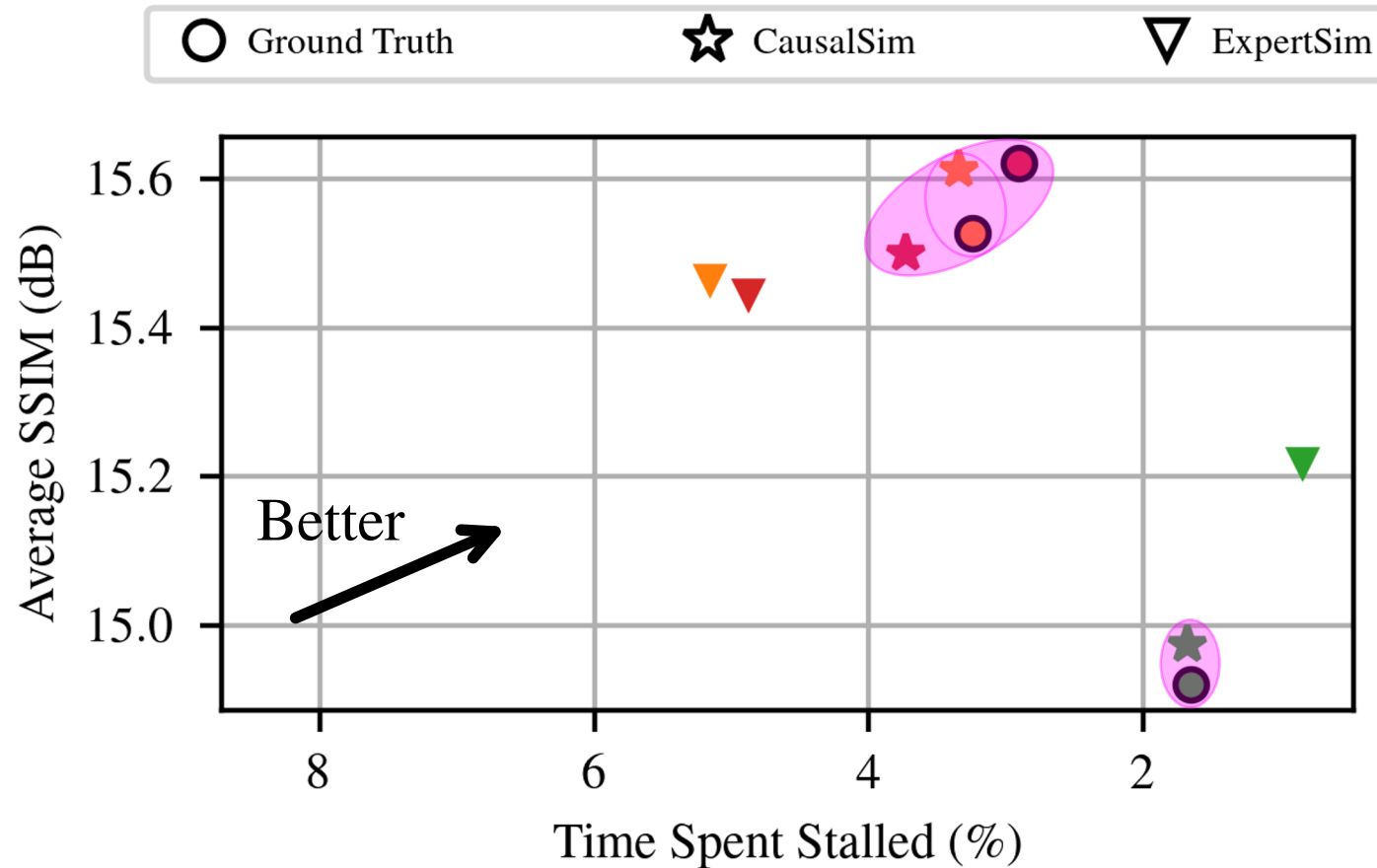
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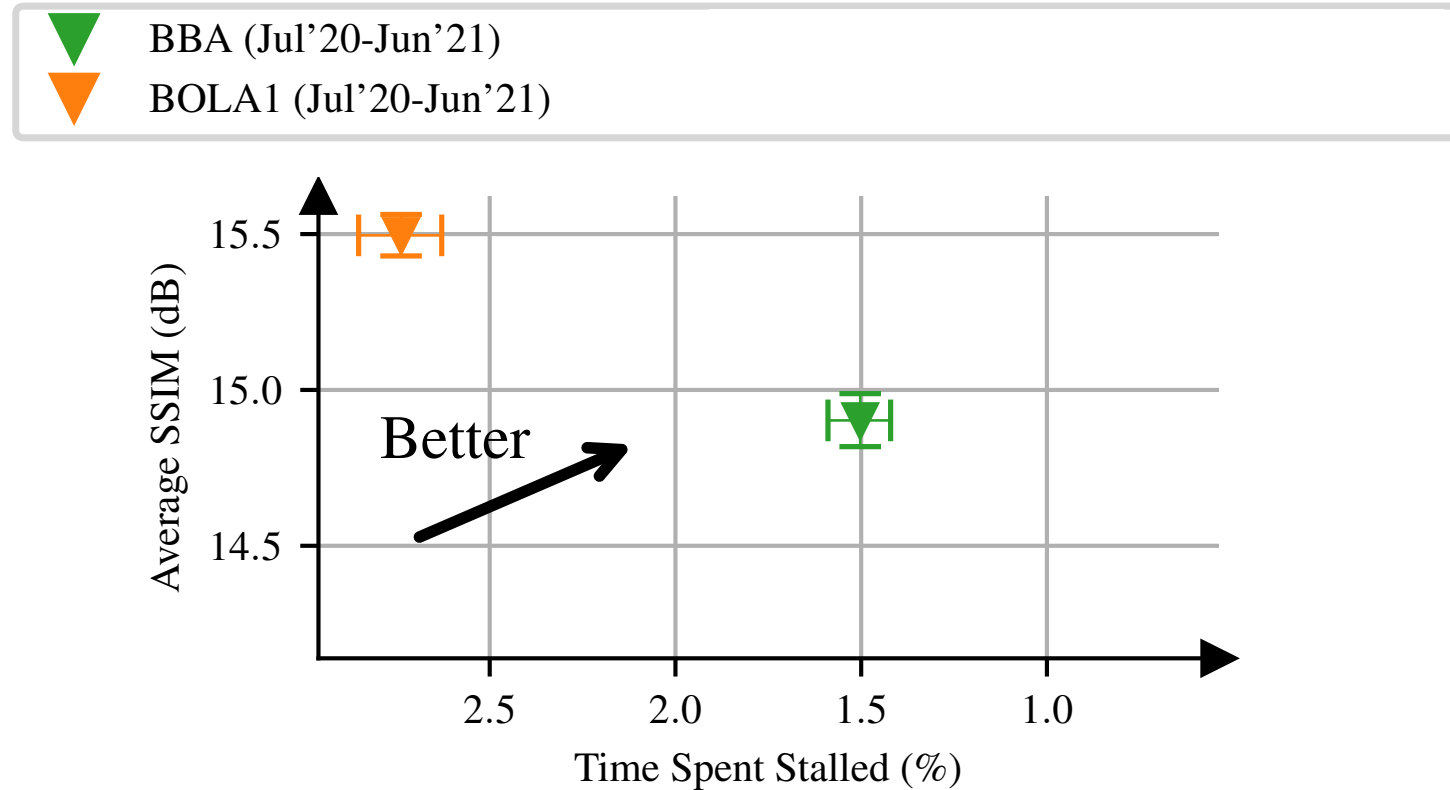
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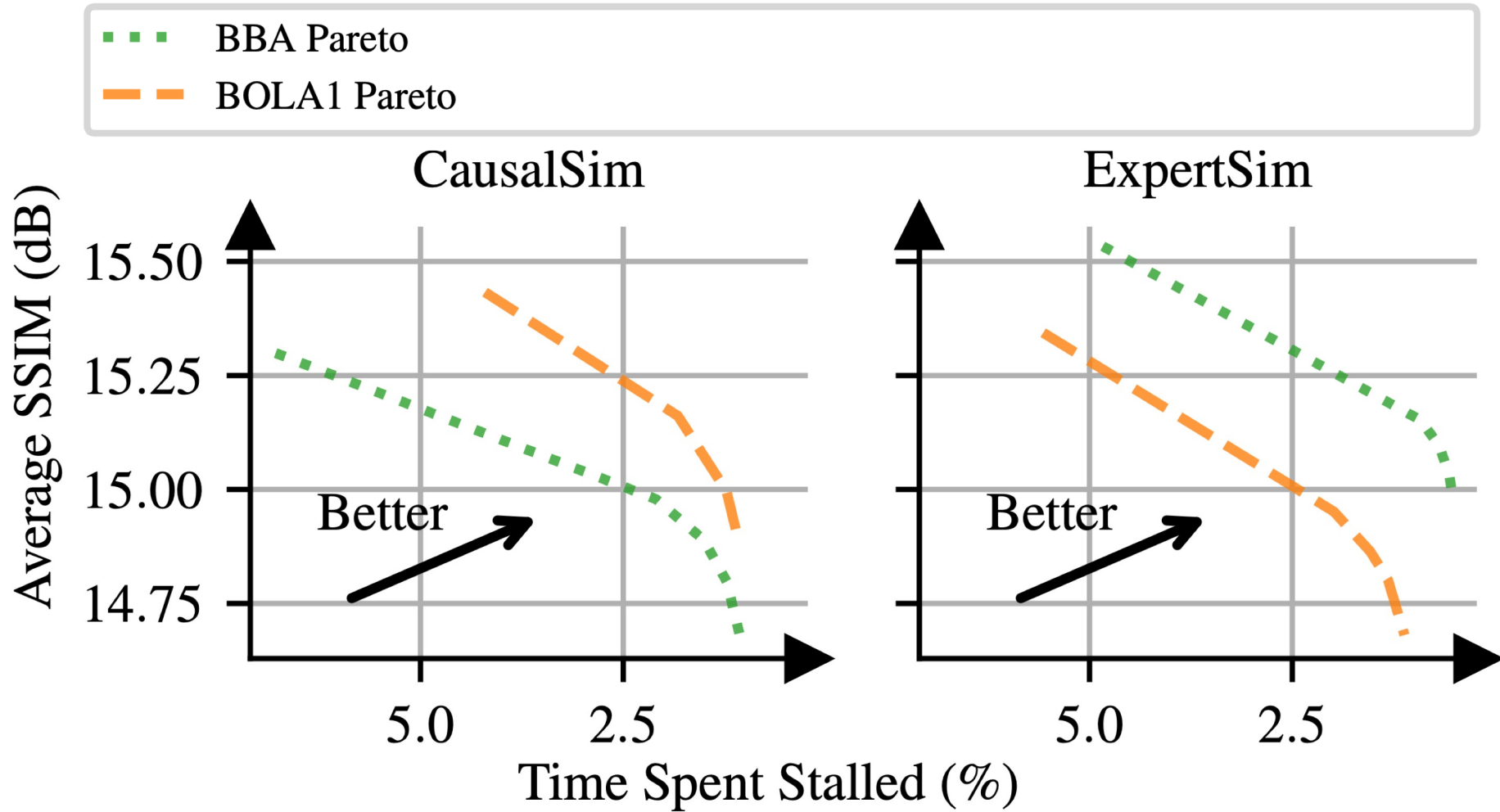
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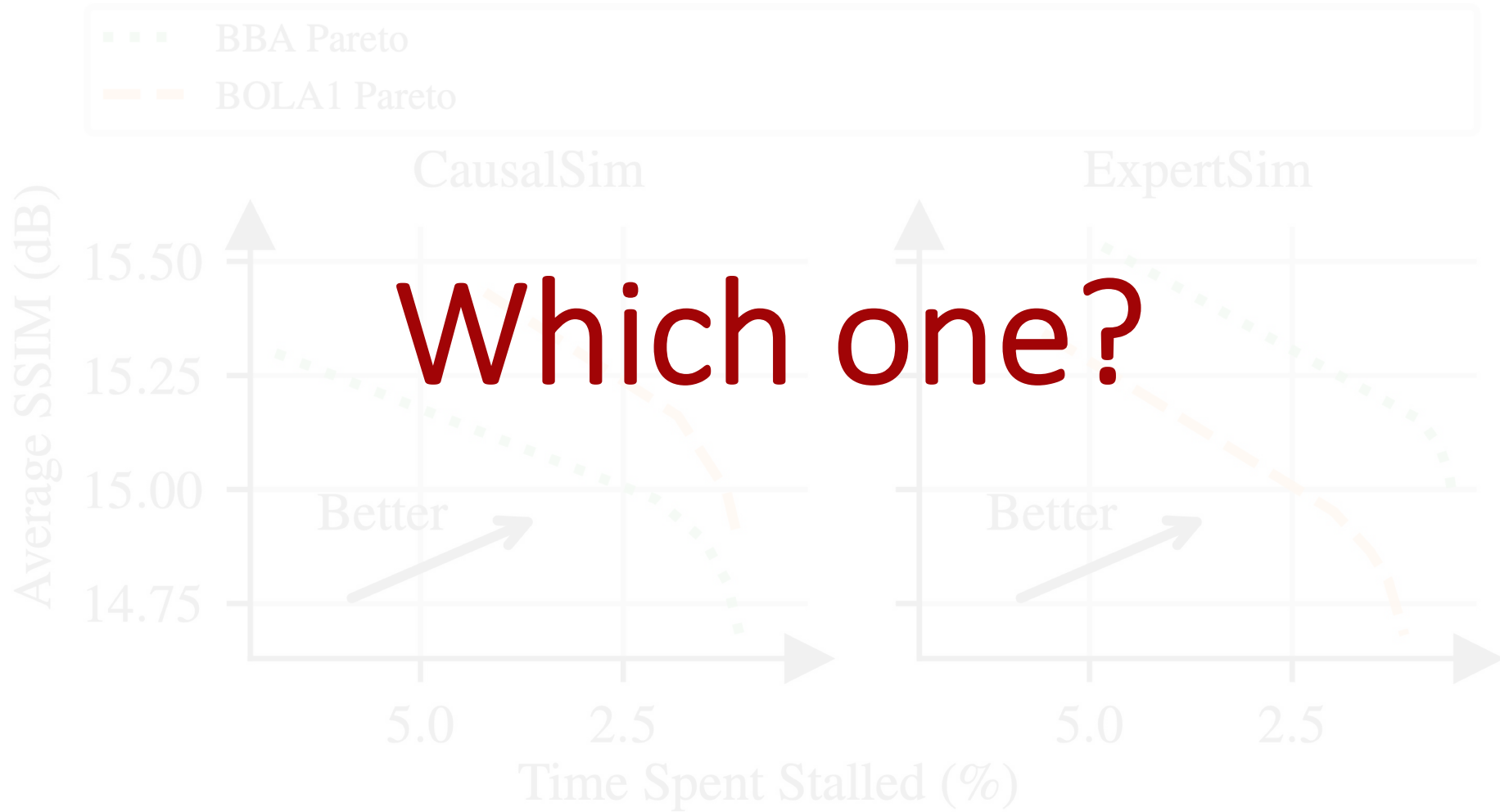


- Can we use CausalSim to improve algorithms?

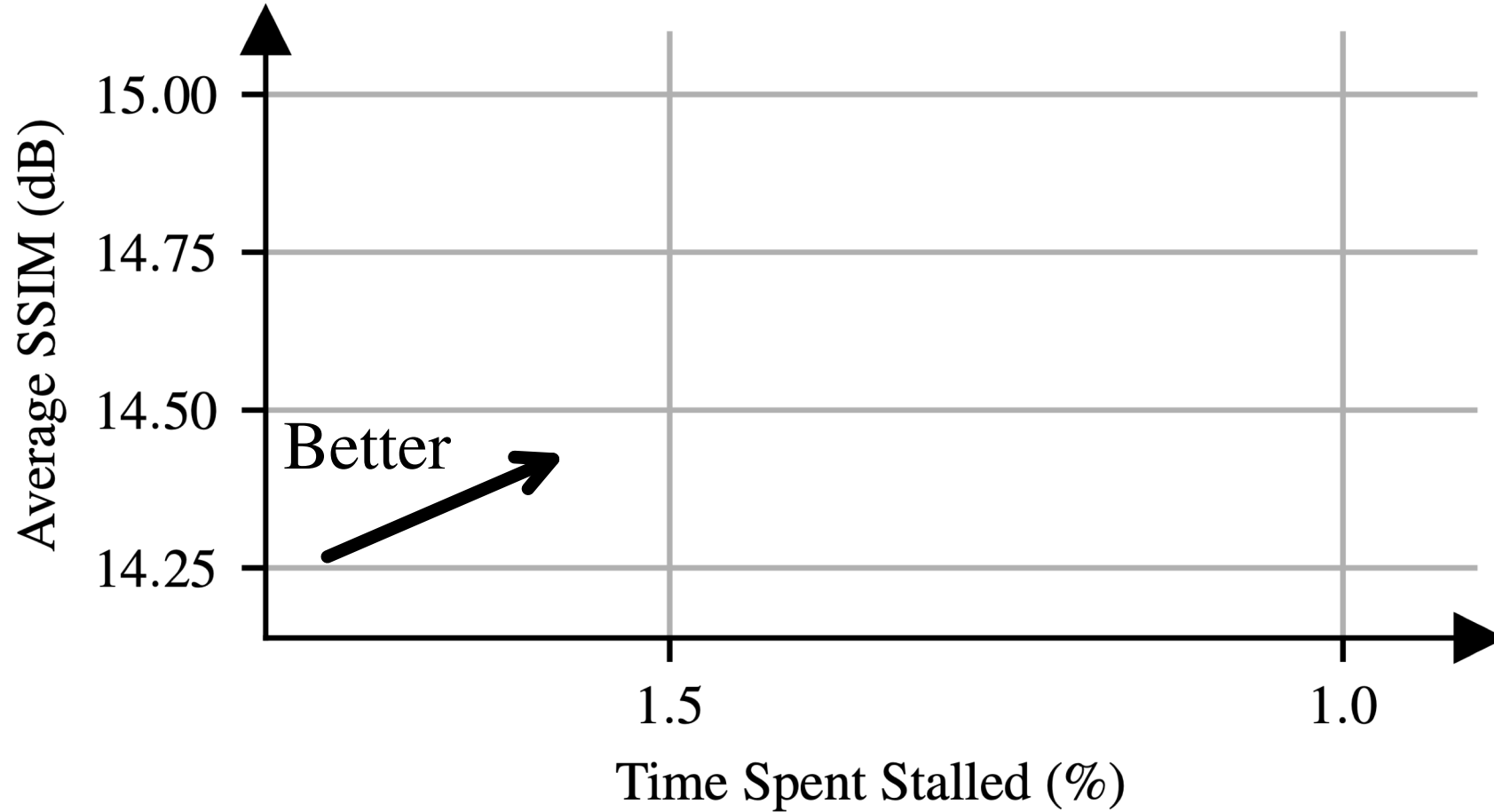
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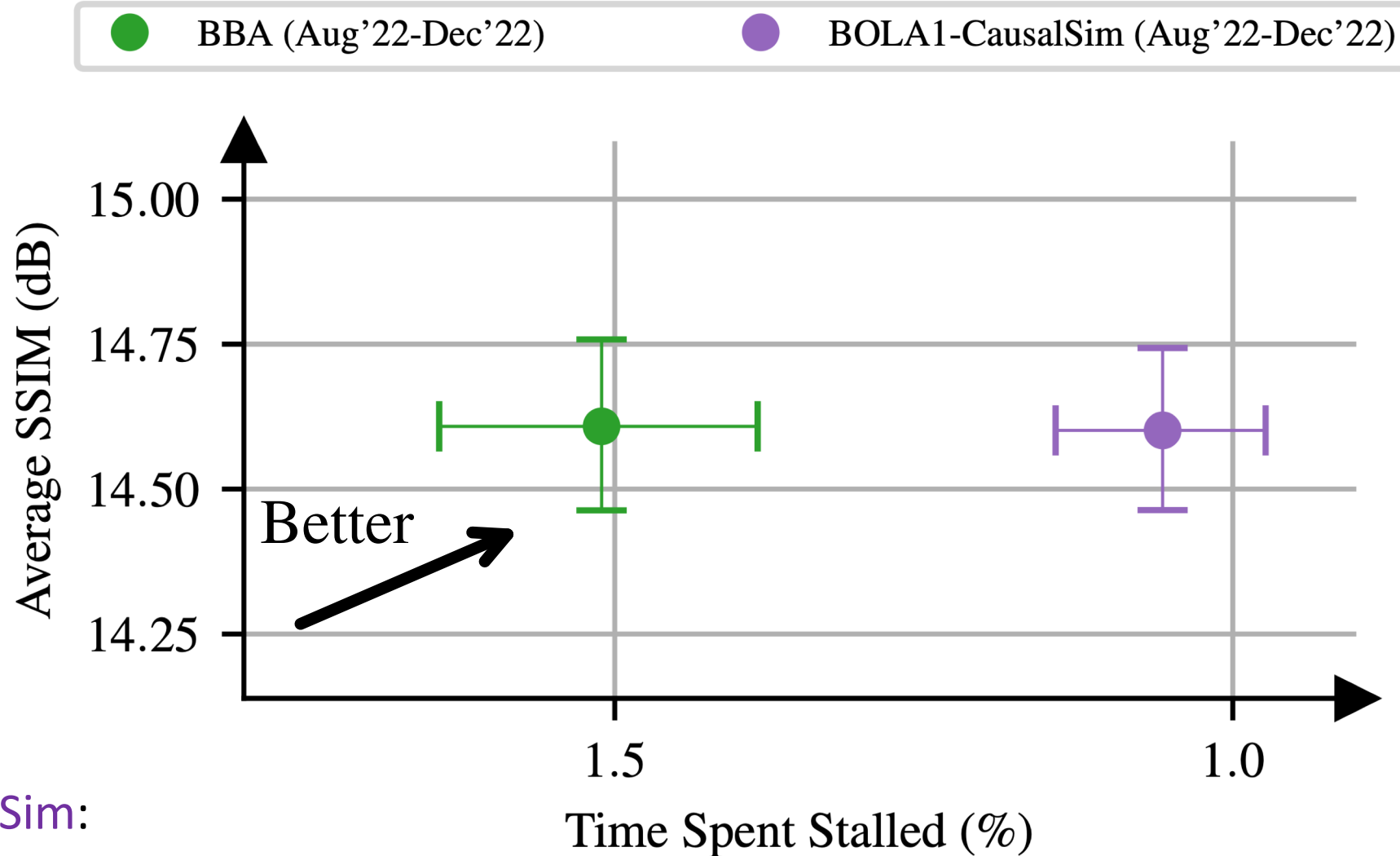
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# Case study: CausalSim in the wild



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## BOLA1-CausalSim:

- Deployment: 1.4x less stalling than BBA



# Contributions

- ❑ Identified *Exogenous Trace Assumption* as a key source of bias in trace-driven simulation.
- ❑ Proposed *CausalSim* for eliminating bias, by modeling the effect of interventions on the trace.
- ❑ Demonstrated *CausalSim*'s impact by a real-world ABR algorithm design and deployment.