

# A Branch-&-Bound Algorithm for Fractional Hypertree Decomposition

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#### ABSTRACT

Conjunctive queries (CQs) have been widely used in database systems in which acyclic CQs can be computed efficiently, whereas cyclic CQs may not. Here, a CQ is acyclic if its hypergraph representation  $\mathcal H$  is acyclic. In order to find a class of *CQ*s that are "mildly cyclic", hypertree decompositions (HDs) have been studied. The quality of such HDs is by the so-called hypertree width. The class of acyclic queries is the queries whose hypertree width is 1, and a mildly cyclic CQ can be processed efficiently if its hypertree width is bounded. There are several HDs, such as tree decomposition (TD), generalized hypertree decomposition (GHD), fractional hypertree decomposition (FHD), as well as hypertree decomposition (HD). The minimum hypertree width by FHD is the smallest among all, and it is NP-complete to check if the minimum hypertree width by FHD exists for a given hypertree width at most k. In the literature, there is no dynamic programming (DP) algorithm or branch-&-bound algorithm reported to compute FHD. In this paper, we show that there is a *DP* algorithm for FHD, and we give a branch-&-bound algorithm based on our DP algorithm to compute FHD with upper/lower bounds. We confirm the effectiveness and efficiency of our algorithm by testing all 3,648 hypergraphs given in a benchmark for HDs, and we also confirm our approach in query evaluation in real database systems.

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#### **PVLDB Artifact Availability:**

The source code, data, and/or other artifacts have been made available at https://github.com/hzy9819/BB4fhd.

## **1** INTRODUCTION

Conjunctive queries (*CQ*s) are the queries specified by first-order logic using conjunctions, and have been widely used in database systems over decades. In *CQ*s, there are acyclic queries and cyclic queries. A query is acyclic iff its hypergraph representation is acyclic, that is, the hypergraph has a join-tree representation [7]. The join-tree based definition coincides with  $\alpha$ -acyclic as defined

in [12]. And an acyclic query can be efficiently processed by Yannakakis's algorithm [38]. Whether a query is acyclic can be recognized in linear time as the acyclicity of its hypergraph can be recognized in linear time [36]. However, a significant proportion of CQs that have been used in the real world are not acyclic. In Hyperbench [14], it includes 70 cyclic queries in SPARQL [9] and 354 cyclic queries in WIKIDATA [28]. There is a very large cyclic query (tpcds64\_0) in the TPC-DS benchmark, which contains 633 vertices and 38 hyperedges. Also in [30], it studies cycle queries and grid queries over more than 50 relations. There have been great efforts to find a class of CQs that is not acyclic but are "mildly cyclic" [17] in CQs so that we can process such mildly cyclic queries efficiently. In other words, it is a question of how to find a cyclic query that cannot be represented by a join-tree, but can be represented by a tree-like structure. To this goal, hypertree decompositions (HDs) have been studied to decompose a hypergraph for a given CQ into a hypertree.

Hypertree decompositions have been widely used as a basic component in query processing [24, 26, 27, 35, 37, 39]. In brief, for a cyclic *CQ* query *q*, HD decomposes its hypergraph into a hypertree, where a node in the hypertree represents a subset of vertices in q, called a "bag", in the hypergraph. Here, a node in the hypertree represents a subquery of q. The query processing of such q is to process every node (a subquery) in the hypertree, and then process q as an acyclic query over the intermediate relations for all nodes. The quality of HDs depends on the size of the bag, called a "width", and the size bound of the join result is  $|D|^{width}$  where |D| is the database size. The key behind HD is to find a hypertree in which the largest width is as small as possible. Intuitively, with a smaller width, each subquery represented by a node in the hypertree can be processed more efficiently. There have been several HDs being studied with a different width measurement method. Such as tree decomposition (TD), generalized hypertree decomposition (GHD) [20], fractional hypertree decomposition (FHD) [23], as well as hypertree decomposition (HD) [20]. The widths are known as  $tw(\mathcal{H})$ ,  $ghtw(\mathcal{H})$ ,  $fhtw(\mathcal{H})$ , and  $htw(\mathcal{H})$ , respectively. It is proved that  $fhtw(\mathcal{H}) \leq ghtw(\mathcal{H}) \leq tw(\mathcal{H})$ , where  $tw(\mathcal{H})$ is too loose to be used, and  $fhtw(\mathcal{H})$  is the most effective width to be used which is recognized by the AGM bound [6] and can be implemented by Worst Case Optimal Join (WCOJ) [31]. However, finding such width (either  $fhtw(\mathcal{H})$  or  $ghtw(\mathcal{H})$ ) is hard, as it is NP-complete to check if its width is at most up to a user-given k. To address the NP-completeness, HD is proposed in [20] as one whose width (e.g.,  $htw(\mathcal{H})$ ) is greater than  $ghtw(\mathcal{H})$  but can be checked in polynomial time.

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The advantages of HDs in selecting a query plan to process are: 0 stronger theoretically guaranteed, **2** bounded size of the intermediate results, and <sup>(3)</sup> highly parallelizable execution [18]. And HDs are used in query optimization in database systems. ① EmptyHeaded [3] and LevelHeaded [2] use FHD as the basis of query optimizer and code generator for asymptotically stronger runtime guarantees and bounded intermediate results in query processing. 2 Secco [40] uses FHD in query optimization, and processes an FHD-based plan in parallel. ③ FBench [32] uses a similar notion of FHD, called dtree, in query processing. (1) SparkSQL+ [11] combines FHD and the worst-case optimal join as a query plan to process. (5 In [16], it uses HD in query optimization, and designs a hybrid optimizer with theoretical guarantees to process queries in PostgreSQL. 6 HDs are also used as a data structure in join algorithms [24-26, 35, 37] and graph algorithms [39]. In addition, HDs can also be used in solving constraint satisfaction problems (CSPs) [5, 10, 41].

In this paper, we study computing the fractional hypertree decomposition (FHD), which is mainly studied in theory. In the reported studies, a database system either needs to use a brute force algorithm to find FHD, or requests a user-given FHD to be used for the given conjunctive query. For instance, a brute force algorithm is implemented in EmptyHeaded [3], which can only find the optimal FHD (or the minimum  $fhtw(\mathcal{H})$ ) within a reasonable time if the hypergraph representation  $\mathcal{H}$  for a conjunctive query has 6 vertices at most. It is important to note that COs in the real world can have dozens or hundreds of vertices in their hypergraph representations. The only existing practical approach to computing FHD is using an SMT (Satisfiability Modulo Theories) solver [13]. In brief, it is to check if the minimum  $fhtw(\mathcal{H})$  is at most *k* by encoding such check as an SMT problem, and solve the SMT problem using an SMT solver. There are several problems. First, it is not to find the minimum  $fhtw(\mathcal{H})$  but to check whether fhtw(H) is less than or equal to a given width k. As FHD is fractional hypertree decomposition, the minimum  $fhtw(\mathcal{H})$  is a real number that is hard to be bound and found still. Second, an SMT solver is a black-box, and will give an answer if it can terminate. But, it may not terminate in a reasonable time (e.g., hours).

**Contributions**: First, we give an approach that is not based on checking the existence of fhtw( $\mathcal{H}$ ) with a user-given real number k at most. We show that FHD can be computed using a dynamic programming (DP) algorithm. Second, we give a branch-&-bound algorithm with several upper/lower bounds, which makes our DP algorithm much more efficient. Our branch-&-bound algorithm is an anytime algorithm that can terminate at any time. That is, we can give a feasible solution within the time limit, and we can give a better solution if we have more time. To the best of our knowledge, there is no DP algorithm and no branch-&-bound algorithm reported for FHD yet. Third, we discuss how to reduce the cost of computing some fundamental operations used in FHD computing. Finally, we conduct extensive experimental studies to test all 3,648 hypergraphs given in *Hyperbench* [14], and confirm the effectiveness in query evaluation in real database systems.

#### 2 PRELIMINARIES

A hypergraph is defined as  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , where  $\mathcal{V}$  is a set of vertices and  $\mathcal{E}$  is a set of hyperedges, where a hyperedge  $e \in \mathcal{E}$  is

a subset of  $\mathcal{V}$ . Two vertices, u and v in  $\mathcal{V}$  are adjacent, if there exists a hyperedge  $e \in \mathcal{E}$  that contains both *u* and *v*. Two adjacent vertices are neighbors to each other. The neighborhood of a vertex v in  $\mathcal{V}$ , denoted by  $N_{\mathcal{H}}(v)$ , is all the vertices adjacent to v such that  $N_{\mathcal{H}}(v) = \{ u \in \mathcal{V} | u \neq v \land \exists e \in \mathcal{E} \text{ s.t. } \{u, v\} \subseteq e \}, \text{ and the closed}$ neighborhood of a vertex v is  $N_{\mathcal{H}}[v] = N_{\mathcal{H}}(v) \cup \{v\}$ . The degree of a vertex v in a hypergraph  $\mathcal{H}$  is the number of hyperedges that contain v. A simple hypergraph is a hypergraph without loops and repeated edges, where a loop is a hyperedge with a single vertex and repeated edges are the hyperedges that contain the same set of vertices. A path between two vertices, *u* and *v* in a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  is a sequence of vertices,  $u = v_1, v_2, \cdots, v_k = v$  such that every consecutive vertices,  $v_i, v_{i+1}$ , for  $1 \le i \le k - 1$ , appear in a hyperedge in  $\mathcal{E}$ . Given a subset  $W (\subseteq \mathcal{V})$  in a hypergraph  $\mathcal{H}$ , a subset  $\mathcal{V}' \subseteq \mathcal{V}$  is called a [W]-component if  $\mathcal{V}' \subseteq \mathcal{V} \setminus W$  is a maximal connected nonempty set, where every pair of vertices, uand v, in  $\mathcal{V}'$  are connected via paths that do not pass any vertices in W. In this regard, W is called a separator that separates  $\mathcal{H}$  into several [W]-components. Given a subset  $\mathcal{V}' \subseteq \mathcal{V}$  in a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , an edge cover of  $\mathcal{V}'$  is a subset of  $\mathcal{E}' (\subseteq \mathcal{E})$  such that for every  $v \in \mathcal{V}'$ , there is a hyperedge  $e \in \mathcal{E}'$  that contains v. Such an edge cover of  $\mathcal{V}'$  can be defined using a  $\lambda$  function, which assigns a hyperedge 1 if it is used to cover V', 0 otherwise, as  $\lambda: \mathcal{E} \to \{0, 1\}$ . It is obvious that, for every  $v \in \mathcal{V}'$ , the sum of the weight of the edges  $e \in \mathcal{E}$  that contains v, denoted as w(v), should be greater than or equal to 1.

$$w(v) = \sum_{e \in \mathcal{E} \land v \in e} \lambda(e) \ge 1 \tag{1}$$

Let the weight of  $\lambda$ , denoted as weight( $\lambda$ ), be the sum of weights as follows.

weight(
$$\lambda$$
) =  $\sum_{e \in \mathcal{E}} \lambda(e)$  (2)

The minimum edge cover of  $\mathcal{V}'$  is the edge cover that has a minimum weight, denoted as  $EC(\mathcal{V}')$ , and the minimum edge cover weight is the weight of the minimum edge cover, denoted as  $\rho(\mathcal{V}')$ .

$$EC(\mathcal{V}') = \arg\min_{\lambda} \operatorname{weight}(\lambda)$$
 (3)

$$\rho(\mathcal{V}') = \min_{\lambda} \operatorname{weight}(\lambda) \tag{4}$$

By combining the Eq. (1)-(4), we have the minimum edge cover of  $\mathcal{V}'$  regarding  $\mathcal{E}$  as follows.

$$\rho(\mathcal{V}', \mathcal{E}) = \min_{\lambda} \sum_{e \in \mathcal{E}} \lambda(e) \quad \text{s.t.} \quad w(v) \ge 1, \text{ for each } v \in \mathcal{V}' \quad (5)$$

The definition of fractional edge cover (*FEC*) can be defined in a similar manner using a weight function,  $\gamma : \mathcal{E} \rightarrow [0, 1]$ , which gives a hyperedge a fractional weight between 0 and 1 if it is used to cover, 0 otherwise. In the following, we use  $\rho^*(\mathcal{V}', \mathcal{E})$  for *FEC* by replacing  $\lambda$  with  $\gamma$  in Eq. (5).

$$\rho^{*}(\mathcal{V}',\mathcal{E}) = \min_{\gamma} \sum_{e \in \mathcal{E}} \gamma(e) \quad \text{s.t.} \quad w(v) \ge 1, \text{ for each } v \in \mathcal{V}'$$
(6)

**Example 2.1:** The hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  in Fig. 1 represents a conjunctive query over 4 relations,  $R_1(A, B, D, E) \land R_2(C, D, E, F) \land R_3(A, C, H) \land R_4(F, G)$ . Here,  $\mathcal{V} = \{A, B, C, D, E, F, G, H\}$  and  $\mathcal{E} = \{e_1, e_2, e_3, e_4\}$  for  $e_1 = \{A, B, D, E\}$ ,  $e_2 = \{C, D, E, F\}$ ,  $e_3 = \{A, C, H\}$ ,



Figure 1: A hypergraph for a conjunctive query

and  $e_4 = \{F, G\}$ . Let  $W = \{A, C, D\}$  be a separator. The hypergraph  $\mathcal{H}$  is separated into two [W]-components,  $\{H\}$  and  $\{B, E, F, G\}$ .

**Example 2.2:** Consider  $\mathcal{V}' = \{A, C, D, E\}$  for the hypergraph  $\mathcal{H}$  in Example 2.1. An edge cover of  $\mathcal{V}'$  is  $EC(\mathcal{V}') = \{e_1, e_2\}$ , with weight( $\lambda$ ) = 2, for  $\lambda(e_1) = \lambda(e_2) = 1$ .  $EC(\mathcal{V}')$  is the minimum edge cover of  $\mathcal{V}'$ . The minimum fractional edge cover is  $FEC(\mathcal{V}') = \{e_1, e_2, e_3\}$  with weight( $\gamma$ ) =  $\frac{3}{2}$  for  $\gamma(e_1) = \gamma(e_2) = \gamma(e_3) = \frac{1}{2}$ .

A primal graph of a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  is a graph denoted as  $G(\mathcal{H}) = (\mathcal{V}, E_G)$ , where  $\mathcal{V}$  is the set of vertices in  $\mathcal{H}$ , and  $E_G$  is a set of edges, (u, v), if u and v appear in a hyperedge in  $\mathcal{E}$ . Here, the primal graph  $G(\mathcal{H})$  is a simple graph that represents the adjacency of vertices  $\mathcal{V}$  in the corresponding hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ .

There are several ways to decompose a hypergraph. A tree decomposition (TD) of a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  is a pair  $(T, \chi)$ . Here,  $T = (V_T, E_T)$  is a tree, and  $\chi$  is a function that maps each node  $t_i$  in T to a subset of vertices in  $\mathcal{V}$  such as  $\chi(t_i) \subseteq \mathcal{V}$ . Note that  $\chi(t_i)$  is called the bag at a node  $t_i$ . The conditions for TD to be held are as follows. **①** Each vertex  $v \in \mathcal{V}$  is covered such that there is a node  $t_i$  in T for  $v \in \chi(t_i)$ . **②** Each hyperedge  $e \in \mathcal{E}$  is covered such that there is a node  $t_i$  in T for  $e \subseteq \chi(t_i)$ . **③** The bags that contain the same vertex  $v \in \mathcal{V}$  are connected in T such that for any three nodes,  $t_i, t_j$ , and  $t_k$ , in T, we have  $\chi(t_j) \subseteq \chi(t_i) \cap \chi(t_k)$ if  $t_i$  is on the path between  $t_i$  and  $t_k$  in T.

The width of tree decomposition  $(T, \chi)$  is  $\max_{t_i \in T} |\chi(t_i)| - 1$ , and the treewidth of a hypergraph  $\mathcal{H}$ , denoted as tw( $\mathcal{H}$ ), is defined as the minimum width of all tree decompositions of  $\mathcal{H}$ . A generalized hypertree decomposition (GHD) of a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ is a triple  $(T, \chi, \lambda)$  [20]. Here,  $(T, \chi)$  is a tree decomposition of  $\mathcal{H}$ , and  $\lambda$  is a function that assigns each node  $t_i \in T$  an edge cover  $\lambda(t_i)$  to cover  $\chi(t_i)$ . The width of a generalized hypertree decomposition  $(T, \chi, \lambda)$  is  $\max_{t_i \in T} \{ weight(\lambda(t_i)) \}$ , and the generalized hypertree width of a hypergraph  $\mathcal{H}$ , denoted as ghtw(H), is the minimum width of a GHD of  $\mathcal{H}$ . A fractional hypertree decomposition (FHD) of a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  is a triple  $(T, \chi, \gamma)$ [23]. Here,  $(T, \chi)$  is a tree decomposition of  $\mathcal{H}$ , and  $\chi$  is a function that assigns each node  $t_i \in T$  a fractional edge cover  $\gamma(t_i)$  to cover  $\chi(t_i)$ . The width of a fractional hypertree decomposition  $(T, \chi, \gamma)$ is  $\max_{t_i \in T} \{ weight(\gamma(t_i)) \}$ , and the fractional hypertree width of a hypergraph  $\mathcal{H}$ , denoted as fhtw( $\mathcal{H}$ ), is the minimum width of a FHD of  $\mathcal{H}$ .

**Example 2.3:** We illustrate two hypertree decompositions  $T_1$  and  $T_2$  for the hypergraph  $\mathcal{H}$  in Fig. 1. All the tree decompositions share the same pair of  $(T, \chi)$  following the 3 conditions given for tree decomposition (TD). For simplicity, we use  $\chi_i$  for  $\chi(t_i)$  where  $t_i$  is a



#### Figure 2: Two Hypertree Decompositions for $\mathcal H$ in Fig. 1

node in *T*. For GHD (FHD), we use  $\lambda_i$  ( $\gamma_i$ ) to represent its edge cover (fractional edge cover) for its bag  $\chi_i$  together with its weight assignment by  $\lambda(\cdot)$  ( $\gamma(\cdot)$ ). We use  $Q_{\chi_i}$  to represent the join query within the bag  $\chi_i$ . Consider the node  $t_1$  in  $T_1$  with  $\chi_1 = \chi(t_1) = \{A, C, D, E\}$ , we have  $\lambda_1 = \{e_1, e_2\}$  with  $\lambda(e_1) = \lambda(e_2) = 1$ , and we have  $\gamma_1 = \{\frac{1}{2}e_1, \frac{1}{2}e_2, \frac{1}{2}e_3\} \gamma(e_1) = \gamma(e_2) = \gamma(e_3) = \frac{1}{2}$ . For  $T_1$ , the width of TD, GHD and FHD are tw( $T_1$ ) = 3, ghtw( $T_1$ ) = 2 and fhtw( $T_1$ ) =  $\frac{3}{2}$ , respectively. For  $T_2$ , the widths are tw( $T_2$ ) = 6, ghtw( $T_2$ ) = fhtw( $T_2$ ) = 2. Regarding fhtw( $\cdot$ ), by  $T_1$  it is to join a triangle of  $e_1$ ,  $e_2$ , and  $e_3$ , and possibly result in a smaller intermediate result; by  $T_2$  it is to join  $e_1$  and  $e_2$  and join  $e_3$  and  $e_4$  separately, which possibly result in larger intermediate results. When finally evaluating all nodes as an acyclic query, the complexity is O(IN + OUT) following Yannakakis's algorithm [38], where  $IN = |D|^{\frac{3}{2}}$  for  $T_1$  and  $IN = |D|^2$  for  $T_2$ , for |D| to be the database size.

**Problem Statement**: It is known that the inequality holds on  $tw(\mathcal{H})$ ,  $ghtw(\mathcal{H})$ , and  $fhtw(\mathcal{H})$ :  $fhtw(\mathcal{H}) \leq ghtw(\mathcal{H}) \leq tw(\mathcal{H}) + 1$ . In this work, we focus on FHD, and study algorithms to compute  $fhtw(\mathcal{H})$ .

We discuss the hardness of FHD computing which is given in [14, 15] together with the hardness of GHD, as finding fhtw( $\mathcal{H}$ ) by FHD shares some similarities with finding ghtw( $\mathcal{H}$ ) by GHD. The key difference between GHD and FHD is the functions used, namely,  $\lambda(\cdot)$  and  $\gamma(\cdot)$ , where the former is a function that assigns a hyperedge in *EC* a value in {0, 1} and the latter is a function that assigns a hyperedge in *FEC* a value in the range of [0, 1].

For finding GHD [14, 15], as shown below, it is to check if GHD exists for a given width k (e.g., ghtw( $\mathcal{H}$ )  $\leq k$ ) for a hypergraph  $\mathcal{H}$  by searching all hypertrees  $\leq k$  of width using separators.

CHECK(GHD, $k$ )
<b>input</b> a hypergraph $\mathcal{H} = (\mathcal{V}, \mathcal{E})$
<b>output</b> GHD of $\mathcal{H}$ of width $\leq k$ if it exists and answer 'no' otherwise

As discussed in [14, 15], CHECK(GHD, k) is NP-complete even for k = 2. Two search algorithms to search hypertree width  $\leq k$ , GlobalBIP and LocalBIP, are given in [14]. These algorithms are designed based on some properties, namely, Bounded Intersection Property (BIP) and Bounded Multi-Intersection Property (BMIP), which are held for certain classes of conjunctive queries. Additionally, a search algorithm called BalSep is also given in [14] using balanced separators, and its parallel version is given in [21]. As a main result in [14, 15], deciding fhtw( $\mathcal{H}$ )  $\leq$  2 for a hypergraph  $\mathcal{H}$  is NP-complete, and CHECK(FHD, k) is intractable even for k = 2. Intuitively, finding FHD is even harder as it is difficult to make use of an upper bound like k used in CHECK(GHD, k) for GHD, due to the nature of FHD, which is fractional. In [29], it approximates fhtw( $\mathcal{H}$ ) in polynomial time with a cubic error factor, which is too large to be used. Some improvements in the errors in the experimental studies can be found [14].

An SMT Approach: We discuss the only approach to compute the exact FHD which is done using an SMT solver [13]. The Boolean Satisfiability Problem (SAT) is the first problem proven to be NPcomplete. It has been extensively studied to develop SAT solvers with various techniques. An SAT encoding method is to encode an NP-complete problem into an SAT problem, and solve it using an SAT solver. In [13], it follows the ideas used in [33] which encodes TD at most k width (e.g, CHECK(TD, k)) into an SAT problem, and solves it using an SAT solver. Furthermore, in [13], it encodes FHD at most k width (e.g, CHECK(FHD, k)) into an SMT problem to deal with real numbers. The SMT approach has several shortcomings. (1) There is an issue of precision loss in an SMT solver caused by its internal representation of the real numbers. Such errors are reported in Hyperbench [14], and we confirm that some results by SMT differs from the exact answers more than 1e-3. That is, there is no guarantee that the answer of FHD by SMT is the optimum, and it is possible that the answer by *SMT* is a suboptimal solution. It is worth mentioning that this error is not caused by the encoding method [13], but due to the limitations of the SMT solver in processing real numbers. (2) It is still based on the approaches taken to check (i.e., CHECK(FHD, k)). The SMT solver needs to be called a large number of times to find a real number k as the minimum width, and it is difficult to upper/lower bound such a real number k. (3) The SMT encoding treats the original problem as a black-box, which makes the efficiency of the algorithm highly dependent on encoding quality and the SMT solver efficiency. In other words, an SMT solver will give an answer if it terminates. But, it may not terminate in a reasonable time (e.g., hours).

# 3 A NEW DP ALGORITHM

In this section, we give a dynamic programming (DP) algorithm. First, we discuss the elimination order, and show how we deal with partial elimination orders with which partial eliminatied hypergraphs are constructed in tree decomposition. Second, we discuss DP algorithm design for FHD which is independent of (partial) elimination orders over a hypergraph. Third, we present the DPalgorithm in detail.

## 3.1 Elimination Order

Elimination order has been used in tree decomposition over a graph G [8], and in hypertree decomposition [34] and fractional hypertree decomposition (FHD) [13] over a hypergraph  $\mathcal{H}$ , because such decompositions can be formulated as a linear order problem to solve. We discuss the elimination order over a hypergraph below.

An elimination order is a linear order of vertices in a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , defined as a bijection  $\pi : \mathcal{V} \to \{1, 2, \cdots, |\mathcal{V}|\}$ . For simplicity, we use  $\pi_i$  to represent a vertex  $v_j \in \mathcal{V}$  as the *i*-th vertex in the linear order ( $\pi(v_j) = i$ ). With a given linear order, a sequence

of  $|\mathcal{V}|$  hypergraphs,  $(\mathcal{H}^{0}_{\pi}, \mathcal{H}^{1}_{\pi}, \cdots, \mathcal{H}^{|\pi|}_{\pi})$ , can be constructed for  $\mathcal{H}^{i}_{\pi} = (\mathcal{V}^{i}_{\pi}, \mathcal{E}^{i}_{\pi})$ . Here,  $\mathcal{H}^{0}_{\pi} = \mathcal{H}$ .  $\mathcal{H}^{i}_{\pi} = \text{elim}(\mathcal{H}^{i-1}_{\pi}, \pi_{i})$  where elim $(\mathcal{H}, v)$  is an elimination operation that removes a vertex v from the input hypergraph  $\mathcal{H}$ , adds a hyperedge into the input hypergraph  $\mathcal{H}$ , and results in a new hypergraph  $\mathcal{H}' = (\mathcal{V} \setminus \{v\}, \mathcal{E} \cup \{N_{\mathcal{H}}(v)\})$ . The last  $\mathcal{H}^{|\pi|}_{\pi}$  in the sequence is an empty hypergraph. With the sequence of hypergraphs constructed, it can obtain a set of bags,  $\chi = \{\chi_1, \chi_2, \cdots, \chi_{|\pi|}\}$  for  $\chi_i = N_{\mathcal{H}^{i-1}_{\pi}}[\pi_i]$ .

In [13], it shows that a tree decomposition T of  $(T, \chi)$  exists as it can be constructed backwards from  $\chi_{|\pi|}$  to  $\chi_1$ , and proves that, for a hypergraph  $\mathcal{H}$ , there exists a linear order  $\pi$  of  $\mathcal{H}$ , such that the FHD width built by  $\pi$  equals to fhtw( $\mathcal{H}$ ). Therefore, the problem of finding a minimum FHD width is equivalent to finding a minimum width by a linear order.

In order to design a *DP* algorithm for FHD, we define a partial elimination order, and a way to construct a tree decomposition in a forward manner to be used together with the partial elimination order. In other words, in our *DP* algorithm, we need to partially construct a tree and enlarge the tree constructed step-by-step.

**Partial elimination order**: Let  $\pi$  be an elimination order of  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , a partial elimination order  $\pi'$  is a prefix of  $\pi$  over  $\mathcal{V}' (\subseteq \mathcal{V})$ . Given a partial elimination order  $\pi'$ , in a similar manner, a sequence of  $|\mathcal{V}'|$  hypergraphs can be constructed using elim(, ).

The forward construction of a tree decomposition from  $\chi_1$  to  $\chi_{|\pi|}$  is given below.

- Create a new node  $t_i$  with the bag of  $\chi_i$ , and mark  $t_i$  unlinked;
- For every unlinked node  $t_j$ , j < i, in *T*, add a new edge  $(t_i, t_i)$ , and mark node  $t_i$  linked, if  $\chi_i \setminus {\pi_i} \subseteq \chi_i$ .

The correctness of the forward construction can be proved in a similar way used to prove the backward construction in [13], which we omit it here.

In the following, we call  $\mathcal{H}^i_{\pi}$  in a sequence of  $|\mathcal{V}|$  hypergraphs,  $(\mathcal{H}^1_{\pi}, \cdots, \mathcal{H}^{|\pi|}_{\pi})$ , a **partial eliminated hypergraph**, which eliminates the vertices from  $\pi_1$  up to  $\pi_i$ , and we call the current  $\pi$  up to  $\pi_1 \pi_2 \cdots \pi_i$  a partial eliminated order if  $i < |\mathcal{V}|$ .

**Example 3.1:** Consider an elimination order,  $\pi = (G, F, H, B, C, C)$ A, D, E), for the hypergraph  $\mathcal{H}$  in Fig. 1. There is a sequence of hypergraphs,  $(\mathcal{H}^0_{\pi}, \mathcal{H}^1_{\pi}, \cdots, \mathcal{H}^{|\pi|}_{\pi})$ , constructed following the elimination order. Here,  $\mathcal{H}^0_{\pi} = \mathcal{H}$ . We show how to construct a tree decomposition T with the elimination order for  $\mathcal{H}$  (Fig. 1) in a forward fashion from  $\chi_1$  to  $\chi_{|\pi|}$ , in Fig. 3. Note that with  $\pi$ , we have  $\pi_1 = G, \pi_2 = F, \dots, \pi_{|\pi|} = E$ . In Fig. 3,  $\mathcal{H}^i_{\pi}$  is shown in a subfigure in which a red vertex is the *i*-th vertex  $(\pi_i)$  to be removed from  $\mathcal{H}_{\pi}^{i-1}$ , a hyperedge to be added into  $\mathcal{H}_{\pi}^{i}$  is indicated by the dashed line excluding the red vertex if it does not exist,  $\chi_i$  is the closed neighborhood of  $\pi_i$ , and  $\gamma_i$  is the fractional edge cover (*FEC*) of  $\chi_i$ . Fig. 3(a) shows  $\mathcal{H}^1_{\pi}$  by eliminating  $\pi_1 = G$  from  $\mathcal{H}^0_{\pi}$  (Fig. 1). We have  $\chi_1 = \{F, G\}$ , a node  $t_1$  is created in T with the bag of  $\chi_1$ . Its *FEC* of  $\chi_1$  is  $\gamma = \{e_4\}$ . Fig. 3(b) shows  $\mathcal{H}^2_{\pi}$  by eliminating  $\pi_2 = F$  from  $\mathcal{H}^1_{\pi}$ . We have  $\chi_2 = \{C, D, E, F\}$ , and a node  $t_2$  is created in *T* with the bag of  $\chi_2$ . There is an edge  $(t_1, t_2)$  in *T* because  $\chi_1 \setminus \{G\} \subseteq \chi_2$ , the *FEC* of  $\chi_2$  is  $\gamma = \{e_2\}$ . Fig. 3(h) shows the entire tree decomposition T constructed. Here, an FHD width can be computed based on  $\gamma$ 's using  $\gamma_i = \rho^*(\chi_i)$  (refer to Eq. (4) by replacing  $\lambda$  with  $\gamma$ ), for each



# 3.2 The Order Independent

We show that the elimination order plays an important role in FHD. With the goal of designing a DP algorithm to solve FHD, we explore the order independent property of the elimination order. In brief, with this property, two partial hypergraphs constructed are identical even if they are constructed with different elimination orders. Below, we first discuss how and why a DP algorithm works on a simple case of FHD: computing tw(G) for a graph G = (V, E). Note that G is a special hypergraph in which every hyperedge has 2 vertices. Then we discuss how to extend it to compute fhtw for a hypergraph. The equation used is given as follows

$$TW(S) = \min_{\pi \in \prod(V)} \max_{v \in S} |Q(\pi_{<,v}, v)|$$
(7)

with which it computes all subsets  $S \subseteq V$  using *DP*. Here,  $\prod(V)$  is the set of all linear orders (permutations) for a set of vertices, *V*. For a given linear order  $\pi$ ,  $\pi_{<,v}$  is the set of vertices that appear before v in the order  $\pi$ , and Q(S, v) is a non-empty set of vertices,  $S (\subset V)$ , such that any vertex  $w \neq v$  in  $\mathcal{V} \setminus S$  and v can be connected by a path over the induced subgraph  $G[S \cup \{v, w\}]$ . As observed in Eq. (7), the complexity of computing  $Q(\pi_{<,v}, v)$  is high as it needs to enumerate all such linear orders. To reduce such complexity, in [8], it is proved that it does not need to compute all linear orders (Eq. (7)). To make it efficient, in [8], it shows that it can compute  $Q(\pi_{<,v}, v)$  using a set, i.e.,  $Q(S \setminus \{v\}, v)$ , instead of all linear orders in the set in computing treewidth for *G*.

$$TW(S) = \min_{v \in S} \max\{TW(S \setminus \{v\}), |Q(S \setminus \{v\}, v)|\}$$



 $\pi_2 = BAC$ 

 $\pi_1 = ACB$ 

We present the result of this property in a Lemma below, which is not given explicitly in [8].

**Lemma 3.1:** Let G = (V, E) be a graph, and  $\pi_1, \pi_2 \in \prod(S)$  be any two different linear orders on subset  $S \subseteq V$ . The simple graphs obtained by the two elimination orders of vertices,  $\pi_1$  and  $\pi_2$ , are identical such that  $\operatorname{elim}(G, \pi_1) = \operatorname{elim}(G, \pi_2)$ .

The *DP* algorithm is given in [8] in  $O^*(2^n)$  time complexity and  $O^*(2^n)$  space complexity<sup>1</sup> where n = |V|, which is too high to deal with a large graph *G*. In [8], a divide-&-conquer algorithm is given based on the same property in Lemma 3.1.

However, the similar observation by Lemma 3.1 for G does not hold for hypergraphs. In other words, different elimination orders can result in different hypergraphs. We show it using an example.

<sup>&</sup>lt;sup>1</sup>The  $O^*$ -notation suppresses all polynomial factors in O-notation.

**Example 3.2:** Consider a set of vertices,  $S = \{A, B, C\}$ , in a hypergraph  $\mathcal{H}$  shown in Fig. 4 with two elimination orders,  $\pi_1 = ACB$  and  $\pi_2 = BAC$ . The hypergraph by  $\pi_1$  adds hyperedges  $e_1 = \{B, D, E\}$ ,  $e_2 = \{B, G, H\}$ , and  $e_3 = \{D, E, F, G, H\}$  to  $\mathcal{H}$ , whereas the hypergraph by  $\pi_2$  adds hyperedges  $e'_1 = \{A, C, F, G\}$ ,  $e'_2 = \{C, D, E, F, G\}$ , and  $e'_3 = \{D, E, F, G, H\}$  to  $\mathcal{H}$ . The two hypergraphs obtained via the two different elimination orders are not identical.

We need a condition to compute FHD efficiently for two hypergraphs obtained via two different orders to be identical.

The width of a bag: We identify the key factor hidden in Lemma 3.1. In doing so, we re-examine the sequence of |V| graphs,  $(G_{\pi}^{0}, G_{\pi}^{1}, \cdots, G_{\pi}^{n})$  for n = |V|, with a linear order  $\pi$ . We observe that the width of a bag at a node in  $(T, \chi)$  for a graph, G, is the cardinality of the bag minus one, where the bag consists of the closed neighbors of the eliminated vertex from G. That is, the width of the bag  $\chi_{i}$  at node  $t_{i}$  created for  $\pi_{i}$  in T for  $G_{\pi}^{i}$  is equal to the degree of the eliminated vertex  $\pi_{i}$  such that

$$\omega(\chi_i) = |N_{G_{\pi}^{i-1}}[\pi_i]| - 1 = d_{G_{\pi}^{i-1}}(\pi_i)$$
(8)

Here,  $N_{G_{\pi}^{i-1}}[\pi_i]$  is the closed neighborhood of the vertex  $\pi_i$ , and  $d_{G_{\pi}^{i-1}}(\pi_i)$  is the degree of  $\pi_i$  in the graph  $G_{\pi}^{i-1}$ . The vertex has the same degree which is irrelevant to the elimination order used over the same subset of vertices.

<u>The vertex-width</u>: We define a similar concept over a hypergraph called vertex-width. In a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , the vertex-width of a vertex  $v \in \mathcal{V} = (\mathcal{V}, \mathcal{E})$  is the weight of minimum *FEC* of its closed neighborhood, i.e.,

$$\omega_{\mathcal{H}}(v) = \rho^*(N_{\mathcal{H}}[v], \mathcal{E}) \tag{9}$$

Consider the sequence of  $|\mathcal{V}|$  hypergraphs,  $(\mathcal{H}^{0}_{\pi}, \mathcal{H}^{1}_{\pi}, \cdots, \mathcal{H}^{|\pi|}_{\pi})$ , in terms of a linear order  $\pi$ . As discussed in the forward construction of a tree decomposition, T, a node  $t_i$  is created for  $\pi_i$  in T with the bag of  $\chi_i = N_{\mathcal{H}^{i-1}_{\pi}}[\pi_i]$ . With Eq. (6), we have the width of  $\chi_i$  as  $\omega(\chi_i) = \rho^*(N_{\mathcal{H}^{i-1}_{\pi}}[\pi_i], \mathcal{E})$ .

**Definition 3.1:** Let  $\mathcal{H}_{\pi}^{i} = (\mathcal{V}_{\pi}^{i}, \mathcal{E}_{\pi}^{i})$  be a partial eliminated hypergraph over a partial elimination order  $\pi$  that eliminates vertices in  $\{\pi_{1}, \pi_{2}, \cdots, \pi_{i}\}$  from  $\mathcal{H}$  in order. The vertex-width of a vertex vin a partial eliminated hypergraph  $\mathcal{H}_{\pi}^{i}$  is the minimum *FEC* of its closed neighborhood over the hyperedges in  $\mathcal{E}$ , i.e.,

$$\omega_{\mathcal{H}_{\pi}}(v) = \rho^*(N_{\mathcal{H}_{\pi}^i}[v], \mathcal{E}) \tag{10}$$

It is important to note that the vertex-width of a vertex v given in Eq. (6) allows us to compute  $\omega(\chi_i) = \rho^*(\chi_i, \mathcal{E})$  for the input hypergraph as well as any partial eliminated hypergraphs using  $\mathcal{E}$ , even though the hyperedges of a partial eliminated hypergraph are different from the input hypergraph.

**Example 3.3:** Consider the hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  in Fig. 4 with two different elimination orders,  $\pi_1 = ACB$  and  $\pi_2 = BAC$ . The vertex-width of *G* in the 2 partial eliminated hypergraphs,  $\mathcal{H}_{\pi_1}$  and  $\mathcal{H}_{\pi_2}$ , is  $\omega_{\mathcal{H}_{\pi_1}}(G) = \omega_{\mathcal{H}_{\pi_2}}(G) = \rho^*(\{D, E, F, G, H\}) = 5$ .

Like Lemma 3.1 which gives a sufficient condition to compute TD for a graph *G*, we give a similar but bf weaker sufficient condition to compute FHD for a hypergraph  $\mathcal{H}$ . We observe that the hyperedges of a partial eliminated hypergraph,  $\mathcal{H}_{\pi}^{i}$ , is different

from that in the original hypergraph  $\mathcal{H}$ ; however, for FHD, the vertex-width,  $\omega(\cdot)$ , in a partial eliminated hypergraph is computed by the original hyperedges in  $\mathcal{H}$ . With it, we consider if we can derive some condition like the one used in Eq. (8) regarding TD for a graph, which says that the 'degree' of a vertex remains the same for different elimination orders. Here, we consider two vertex-widths,  $\omega_{\mathcal{H}_{\pi_1}}(v)$  and  $\omega_{\mathcal{H}_{\pi_2}}(v)$ , for any two partial elimination orders,  $\pi_1$ and  $\pi_2$ , over the same subset  $\mathcal{V}' \ (\subseteq \mathcal{V})$ . If two vertex-widths are equal (e.g.,  $\omega_{\mathcal{H}_{\pi_1}}(v) = \omega_{\mathcal{H}_{\pi_2}}(v)$ ) by Eq. (10), then it implies  $\rho^*(N_{\mathcal{H}_{\pi_1}^i}[v], \mathcal{E}) = \rho^{\dot{*}}(N_{\mathcal{H}_{\pi_2}^i}[v], \tilde{\mathcal{E}}).$  If two vertex-widths are equal because  $N_{\mathcal{H}_{\pi_1}^i}[v] = N_{\mathcal{H}_{\pi_2}^i}[v]$ , then it implies that, for any vertex v, they have the same neighbors on different eliminated hypergraphs. In other words, their primal graphs must be identical. The weaker sufficient condition we find is that two primal graphs with different linear orders over the same subset need to be identical for their vertex-widths to be the same. This condition is similar to the condition on graph (Lemma (3.1)). We give a lemma below.

**Lemma 3.2:** Let  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  be a hypergraph. For any two different partial elimination order over  $\mathcal{V}' \subseteq \mathcal{V}$ ,  $\pi_1$  and  $\pi_2$ , its partial eliminated hypergraphs,  $\mathcal{H}_{\pi_1}$  and  $\mathcal{H}_{\pi_2}$ , have the same primal graph, i.e.,  $G(\mathcal{H}_{\pi_1}) = G(\mathcal{H}_{\pi_2})$ .

**Proof Sketch:** As the elimination operation on  $\mathcal{V}'$  only affects the adjacency of  $N_{\mathcal{H}}(\mathcal{V}')$ , we focus on the adjacency of  $N_{\mathcal{H}}(\mathcal{V}')$ , and consider its two cases. The first case is when  $\mathcal{V}'$  is connected. Eliminating  $\mathcal{V}'$  from  $\mathcal{H}$  makes the vertices in  $N_{\mathcal{H}}(\mathcal{V}')$  pairwise adjacent. The resulting primal graph is  $G(\operatorname{elim}(\mathcal{H}, \mathcal{V}')) = (\mathcal{V} \setminus \mathcal{V})$  $\mathcal{V}', \mathcal{E} \cup clique(N_{\mathcal{H}}(\mathcal{V}'))).$  We prove it as follows. If  $\mathcal{V}'$  is a chain  $v_1, v_2, \cdots, v_{|V'|}$  and we eliminate  $v_i$  at some step. Let  $v_l, v_r$  be the vertices of  $v_i$  adjacent to the left and right on the chain, eliminating  $v_i$  makes the neighbors of  $v_i$ ,  $N_{\mathcal{H}}(v_i)$ , pairwise adjacent. This is due to the fact that  $v_l$  and  $v_r$  are adjacent to  $v_i$ ,  $v_l$  and  $v_r$  become adjacent, and also adjacent to  $N_{\mathcal{H}}(v_i)$ , such that  $N_{\mathcal{H}}(v_l) =$  $N_{\mathcal{H}}(v_l) \cup N_{\mathcal{H}}(v_i), N_{\mathcal{H}}(v_r) = N_{\mathcal{H}}(v_r) \cup N_{\mathcal{H}}(v_i)$ . This means the adjacency on  $v_i$  spreads to  $v_l$  and  $v_r$  and the chain cannot be broken. In addition, in the last elimination,  $N_{\mathcal{H}}(\mathcal{V}')$  will spread to the last vertex and become pairwise adjacent. For general cases, for any  $u, v \in N_{\mathcal{H}}(S)$ , there must be a path  $v_1, ..., v_k \in \mathcal{V}'$  and  $u \in N_{\mathcal{H}}(v_1), v \in N_{\mathcal{H}}(v_k)$ . This reduce to the chain case where uand v are adjacent. The second case is when  $\mathcal{V}'$  is composed of k connected components  $C_1, \dots, C_k$ . Eliminating  $\mathcal{V}'$  from  $\mathcal{H}$  will make the vertices in each  $N_{\mathcal{H}}(C_1), \cdots, N_{\mathcal{H}}(C_k)$  pairwise adjacent. The resuting primal graph is  $G(\operatorname{elim}(\mathcal{H}, \mathcal{V}')) = (\mathcal{V} \setminus \mathcal{V}', \mathcal{E} \cup$  $clique(N_{\mathcal{H}}(C_1)) \cup \cdots \cup clique(N_{\mathcal{H}}(C_k)))$ . And the elimination operation does not affect the disconnected vertices. We can deal with each  $C_i$  independently. 

## **3.3** A DP algorithm for FHD over hypergraphs

Let  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  be a hypergraph,  $\prod(\mathcal{V})$  be the set of all linear orders of  $\mathcal{H}$ , and let  $\chi_{\pi_i}$  and  $\gamma_{\pi_i}$  be the bag and the corresponding minimum *FEC* for  $\pi_i$ . Note that the weight of the minimum *FEC* on the bag  $\chi_{\pi_i}$ , weight( $\gamma_{\pi_i}$ ) is equal to the vertex-width of  $\pi_i$  on the partial eliminated hypergraph  $\mathcal{H}_{\pi_{i-1}}$  (Eq. (10)). The fhtw( $\mathcal{H}$ ) can

be formulated as follows.

$$fhtw(\mathcal{H}) = \min_{\pi \in \prod(\mathcal{V})} \max_{i=1,\cdots,|\mathcal{V}|} weight(\gamma_{\pi_i})$$
$$= \min_{\pi \in \prod(\mathcal{V})} \max_{i=1,\cdots,|\mathcal{V}|} w_{\mathcal{H}_{\pi_{i-1}}}(\pi_i)$$
(11)

With Eq. (11), it needs to enumerate all  $|\mathcal{V}|!$  linear orders (permutations), whose computational complexity is unacceptable. To speed up the computation of Eq. (11), we compute the width of the tree decomposition for the partial eliminated hypergraph. Let  $\mathcal{V}' \subseteq \mathcal{V}$ be a subset of vertices, and  $\pi$  be a partial order of  $\prod(\mathcal{V}')$  over  $\mathcal{V}'$ . We have

width
$$(\mathcal{H}, \pi) = \max_{i=1,\cdots,|\mathcal{V}'|} w_{\mathcal{H}_{\pi_{i-1}}}(\pi_i)$$
 (12)

Here, the partial width on  $\mathcal{V}'$  can be derived as follows.

$$fhtw(\mathcal{H}, \mathcal{V}') = \min_{\pi \in \prod(\mathcal{V}')} width(\mathcal{H}, \pi)$$
$$= \min_{\pi \in \prod(\mathcal{V}')} \max_{i=1, \cdots, |\mathcal{V}'|} w_{\mathcal{H}_{\pi_{i-1}}}(\pi_i)$$
(13)

Let  $H_{\text{elim}(\mathcal{V}')}$  be the partial eliminated hypergraph from which the vertices of  $\mathcal{V}'$  have been eliminated, and let  $\mathcal{H}_{\text{elim}(\mathcal{V}')+\pi_i}$  be the partial eliminated hypergraph obtained by first eliminating  $\mathcal{V}'$ followed by eliminating one more vertex  $\pi_i$ . The width of such a partial eliminated hypergraph is given as follows.

$$\operatorname{fhtw}(H_{\operatorname{elim}(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}') = \min_{\pi \in \prod (\mathcal{V} \setminus \mathcal{V}')} \max_{i=1, \cdots, |\mathcal{V} \setminus \mathcal{V}'|} w_{\mathcal{H}_{\mathcal{V}'} + \pi_1 \sim i-1}(\pi_i)$$
(14)

Let the size of a subset of  $\mathcal{V}'$  be k for  $1 \leq k \leq |\mathcal{V}|$ . The problem of computing fhtw( $\mathcal{H}$ ) becomes to compute  $\binom{|\mathcal{V}|}{k}$  pairs of subproblems (fhtw( $\mathcal{H},\mathcal{V}')$ , fhtw( $\mathcal{H}_{\mathsf{elim}(\mathcal{V}')},\mathcal{V}\setminus\mathcal{V}')$ ). And Eq. (11) can be represented as follows.

$$fhtw(\mathcal{H}) = \min_{\mathcal{V}' \subseteq \mathcal{V}, |\mathcal{V}'|=k} \max\{fhtw(\mathcal{H}, \mathcal{V}'), fhtw(\mathcal{H}_{elim(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}')\}$$
(15)

With Lemma (3.2), the problem of  $\mathsf{fhtw}(\mathcal{H})$  and the subproblems  $\mathsf{fhtw}(\mathcal{H}, \mathcal{V}')$  and  $\mathsf{fhtw}(\mathcal{H}_{\mathsf{elim}(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}')$  are in the same form. A recursive algorithm can be given. We represent the general form of the problem as follows.

fhtw(
$$\mathcal{H}_{elim(\mathcal{V}_1)}, \mathcal{V}_2$$
), with  $\mathcal{V}_1, \mathcal{V}_2 \subseteq \mathcal{V}$  and  $\mathcal{V}_1 \cap \mathcal{V}_2 = \emptyset$ 

and solve Eq. (15) as follows.

$$\begin{aligned} \mathsf{fhtw}(\mathcal{H}_{\mathsf{elim}(\mathcal{V}_1)}, \mathcal{V}_2) &= \min_{\mathcal{V}' \subseteq \mathcal{V}_2, |\mathcal{V}'| = k} \max\{\mathsf{fhtw}(\mathcal{H}_{\mathsf{elim}(\mathcal{V}_1)}, \mathcal{V}'), \\ &\quad \mathsf{fhtw}(\mathcal{H}_{\mathsf{elim}(\mathcal{V}_1 \cup \mathcal{V}')}, \mathcal{V}_2 \setminus \mathcal{V}')\} \end{aligned}$$

The time complexity to compute Eq. (16) with different k conforms to the following recursive formula.

$$T(n) = \binom{n}{k} (T(k) + T(n-k) + p(n))$$
(17)

Let  $k = \lfloor \frac{n}{2} \rfloor$ , we can get a  $O^*(4^n)$  algorithm with no extra space requirement. When k = n - 1, we can obtain a  $O^*(2^n)$  *DP* algorithm by maintaining fhtw( $\mathcal{H}, \mathcal{V}'$ ).

**Lemma 3.3:** Let  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  be a hypergraph and for any nonempty  $\mathcal{V}' \subseteq \mathcal{V}$ , we have

$$fhtw(\mathcal{H}, \mathcal{V}') = \min_{v \in \mathcal{V}'} \max\{fhtw(\mathcal{H}, \mathcal{V}' \setminus \{v\}), \omega_{\mathcal{H}_{\mathsf{elim}(\mathcal{V}' \setminus \{v\})}}(v)\}$$
$$= \min_{v \in \mathcal{V}'} \max\{fhtw(\mathcal{V}, \mathcal{V}' \setminus \{v\}), \rho^*(N_{\mathcal{H}_{\mathsf{elim}(\mathcal{V}' \setminus \{v\})}}[v], \mathcal{E})\}$$
(18)

We show a new *DP* algorithm, DP4FHD, in Algorithm 1. It takes a hypergraph,  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  as input, and outputs a tree decomposition by FHD with two procedures, DP4ORDER and BuildFHD. In

ł	Algorithm 1: DP4FHD ( $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ )
1	Main
2	$\pi(\mathcal{V}) \leftarrow DP4ORDER(\mathcal{H});$
3	<b>return</b> BuildFHD ( $\mathcal{H}, \pi(\mathcal{V})$ );
4	<b>Procedure</b> DP4ORDER ( $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ )
5	Initialize fhtw( $\mathcal{H}, \cdot$ ) with + $\infty$ ;
6	fhtw( $\mathcal{H}, \emptyset$ ) $\leftarrow -\infty, \pi(\emptyset) \leftarrow ();$
7	for $i = 1$ to $ \mathcal{V} $ do
8	for each $\mathcal{V}' \subseteq \mathcal{V}$ with $ \mathcal{V}'  = i$ do
9	for each $v \in V'$ do
10	if max{thtw( $\mathcal{V} \setminus \{v\}, \rho^*(N_{\text{elim}(\mathcal{H},\mathcal{V}')}[v], \mathcal{E}))\} <$
	fhtw( $\mathcal{H}, \mathcal{V}'$ ) then
11	$fhtw(\mathcal{H}, \mathcal{V}') \leftarrow$
	$\max\{\operatorname{fhtw}(\mathcal{V}' \setminus \{v\}, \rho^*(N_{\operatorname{elim}(\mathcal{H},\mathcal{V}')}[v], \mathcal{E}))\};$
12	$\pi(\mathcal{V}') \leftarrow \pi(\mathcal{V}' \setminus \{v\}).concate(v);$
13	return $\pi(\mathcal{V})$ :
10	
14	<b>Procedure</b> BuildFHD ( $\mathcal{H} = (V, \mathcal{E}), \pi = (\pi_1, \pi_2,, )$ )
15	Initialize linked $(\cdot)$ with False;
16	$T \leftarrow \emptyset; \mathcal{H}^0_{\pi} \leftarrow \mathcal{H};$
17	for $i = 1$ to $ \pi $ do
18	$\mathcal{H}^{l}_{\pi} \leftarrow \operatorname{elim}(\mathcal{H}^{l-1}_{\pi}, \pi_{i});$
19	$\chi_i \leftarrow N_{\mathcal{H}_{\pi}^{i-1}}[\pi_i]; \gamma_i \leftarrow FEC(\chi_i); V(T) \leftarrow V(T) \cup \{\chi_i\};$
20	<b>for</b> $j = 1$ to $i - 1$ <b>do</b>
21	<b>if</b> $linked(j) = False and \chi_j \setminus {\pi_j} \subseteq \chi_i$ then
22	$ [ E(T) \leftarrow E(T) \cup \{(\chi_i, \chi_j)\}, linked(j) \leftarrow True; $
23	<b>return</b> $(T, \chi, \gamma);$

DP4ORDER (line 4-13), it determines an elimination order  $\pi(\mathcal{V})$ . In BuildFHD (line 14-24), it builds FHD following the elimination order. First, we discuss DP4ORDER which is based on Lemma 3.3. We compute  $fhtw(\mathcal{H}, \mathcal{V}')$  for each  $\mathcal{V}' \subseteq \mathcal{V}$  (line 8) while increasing the size of  $\mathcal{V}'$  (line 7). During the *DP* process,  $\pi(\mathcal{V}')$  maintains the corresponding partial elimination order of  $\mathcal{V}'$  (line 12) and the elimination order returned is the order,  $\pi(\mathcal{V})$ , for  $\mathcal{H}$  (line 13). Next, we discuss BuildFHD. BuildFHD is a forward construction algorithm of building FHD by elimination order as discussed in Section 3.1. Here,  $linked(\cdot)$  indicates whether a node is linked or unlinked and is initialized with *False*, *T* is a tree, and  $\mathcal{H}^i_{\pi}$  is the partial eliminated hypergraph starting from 0 (line 15-17). It forward construct FHD from  $\pi_1$  to  $\pi_{|\pi|}$  (line 17-22). In each iteration (line 17-22),  $\mathcal{H}_{\pi}^{i}$ ,  $\chi_{i}$ , and  $\gamma_{i}$  computed before adding a node  $\chi_{i}$  into the tree *T* (line 19). Then, for every j < i (line 20), it checks if the *j*-th node is unlinked and  $\chi_i \setminus \{\pi_i\} \subseteq \chi_i$  (line 21). If it is true, then it adds an edge  $(\chi_i, \chi_j)$  into the tree *T* and marks the *j*-th node linked (line 22). Finally, it returns a *FHD* = (T,  $\chi$ ,  $\gamma$ ), which is the output of DP4FHD.

We discuss the time/space complexity of DP4FHD. The computation of minimum *FEC* is a LP problem solvable in polynomial time. The time complexity of DP4FHD is related to the number of variables used in *DP* which is related to  $|\mathcal{E}|$  and  $|\mathcal{V}|$ . For time complexity, assume we need  $T(|\mathcal{E}|, |\mathcal{V}'|)$  time to compute the minimum *FEC* of  $\mathcal{V}'$ . Then DP4FHD needs  $O(|\mathcal{V}|2^{|\mathcal{V}|} \cdot T(|\mathcal{E}|, |\mathcal{V}|))$ time, because we do at most  $|\mathcal{V}|$  step to compute for each subset  $\mathcal{V}'$ . For space complexity, DP4FHD only needs to keep the result of the subset  $\mathcal{V}'$  with definitely size *k* for computing the k + 1 subsets. Thus the space complexity of DP4FHD is  $O(\binom{|\mathcal{V}|}{|\mathcal{V}|})$ .

(16)

## 4 THE BOUNDS ON FHD

We give a *DP* algorithm, DP4FHD, for FHD. However, its complexity is too high to be used for computing FHD in practice. In this section, we discuss several upper/lower bounds to be used to prune in the *DP* algorithm.

# 4.1 Upper Bounds

Given a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , an upper bound for fhtw $(\mathcal{H})$ (Eq. (11)) or fhtw $(H_{\text{elim}(\mathcal{V}')})$  (Eq. (14)) can be computed by selecting vertices in a linear order for all vertices in  $\mathcal{V}$  iteratively, and such an upper bound computed can be used for a hypergraph or a partial eliminated hypergraph. In brief, it initializes a linear order  $\pi$  as empty, and then it appends a new unselected vertex into  $\pi$  following some strategy one-by-one until all vertices are appended into  $\pi$ . We introduce two such strategies below.

**The min-width strategy**: This strategy computes the fractional edge cover (*FEC*) of a bag constructed by each vertex, and selects the vertex that is with the min-width (i.e. min *FEC*) to eliminate. The strategy maintains the min *FEC* for all bags constructed by vertices, and recomputes some of vertices when a vertex is selected to be eliminated. A heap is used to maintain the min-width.

**The min-fill strategy**: This strategy avoids adding hyperedges to the hypergraph, and selects the vertex with the smallest number of non-adjacent neighbors pairs. A heap is used to maintain the smallest fill-in in each iteration.

#### 4.2 Lower Bounds

We give three lower bounds that only depend on the vertex-width in a hypergraph. There are two trivial lower bounds,  $\delta(\mathcal{H})$  and  $\delta_2(\mathcal{H})$ , based on the vertex-width  $\omega(v)$  (Eq. (10)) for a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ .

$$\delta(\mathcal{H}) = \min_{v \in \mathcal{V}} \omega(v)$$
  
$$\delta_2(\mathcal{H}) = \min_{v \in \mathcal{V}} \{\omega(v) | v \in \mathcal{V} \land \exists u \in \mathcal{V} : \omega(u) \le \omega(v) \}$$

Here,  $\delta(\mathcal{H})$  and  $\delta_2(\mathcal{H})$  are the smallest and the second smallest vertex-width of a vertex in  $\mathcal{H}$ .

First, we give a lower bound,  $\gamma_R(\mathcal{H})$ , for a hypergraph  $\mathcal{H}$  by extending the Ramachandramurthi's bound given for treewidth over graphs.

$$\gamma_{R}(\mathcal{H}) = \begin{cases} \rho^{*}(\mathcal{V}, \mathcal{E})(Eq. (5)), \text{ if } \mathcal{H} \text{ is a hyperclique} \\ \min_{u, v \in \mathcal{V}, u \notin N_{\mathcal{H}}[v]} \max\{\omega(u), \omega(v)\}, otherwise \end{cases}$$

We show that the fhtw( $\mathcal{H}$ ) is at least  $\gamma_R(\mathcal{H}) \ge \delta_2(\mathcal{H}) \ge \delta(\mathcal{H})$ .

Second, we give a lower bound based on hypergraph minor. Here, a hypergraph  $\mathcal{H}'$  is a minor of another hypergraph  $\mathcal{H}$ , denoted  $\mathcal{H}' \ll \mathcal{H}$ , if  $\mathcal{H}'$  can be obtained from  $\mathcal{H}$  by a sequence of operations, namely, vertex deletion, edge contraction of two vertices that are contained in a common hyperedge, addition of a hyperedge *e* such that the set *e* induces a clique in the primal graph, and deletion of a proper subhyperedge. We explain the edge contraction in brief, where the others are self-explained. Let  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  be a hypergraph and  $\mathcal{H}' = (\mathcal{V}', \mathcal{E}')$  be a hypergraph by contracting an edge  $\{x, y\}$  in the primal graph  $G(\mathcal{H})$  such that  $\mathcal{V}' = (\mathcal{V} \setminus \{x, y\}) \cup \{v_{xy}\}$  and  $\mathcal{E}' = \{e \in \mathcal{E} | e \cap \{x, y\} =$ 

#### Algorithm 2: MMDH ( $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ )

1  $\omega_{max} \leftarrow 0;$ 

2 for i = 1 to |V| - 1 do

 $s \quad | \quad v \leftarrow \arg\min_{u \in \mathcal{V}} \omega(u), \omega_{max} \leftarrow \max\{\omega_{max}, \omega(v)\};$ 

4  $u \leftarrow \operatorname{select}(\mathcal{H}, v);$ 

5  $\mathcal{H} \leftarrow \operatorname{contract}(\mathcal{H}, \{v, u\});$ 

6 return 
$$\omega_{max}$$
;

 $\emptyset$   $\cup$   $\{(e \setminus \{x, y\}) \cup \{v_{xy}\}| e \in \mathcal{E}, e \cap \{x, y\} \neq \emptyset\}$ , where  $v_{xy}$  is a new contracted vertex and every hyperedge containing either x or y is set to contain  $v_{xy}$ . We have  $fhtw(\mathcal{H}') \leq fhtw(\mathcal{H})$  if  $\mathcal{H}' \ll \mathcal{H}$ . The proof of  $fhtw(\mathcal{H}') \leq fhtw(\mathcal{H})$  is similar with the proof of  $ghtw(\mathcal{H}') \leq ghtw(\mathcal{H})$  given in [4]. Based on the width based lower bounds, we can have a new lower bound by looking at the maximum of this bound over all hypergraph minors.  $\bullet$  The contraction degeneracy of a hypergraph  $\mathcal{H}$ , denoted  $\overline{\delta}(\mathcal{H})$ , is the maximum of  $\delta(\mathcal{H}')$  over all hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  with  $|\mathcal{V}| \geq 2$ , denoted  $\overline{\delta}_2(\mathcal{H})$ , is the maximum of  $\delta_2(\mathcal{H}')$  over all hypergraph minors  $\mathcal{H}'$  of  $\mathcal{H}$  with at least two vertices.  $\bullet$  The  $\gamma_R$ -contraction degeneracy of a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  with  $|\mathcal{V}| \geq 2$ , denoted  $\overline{\gamma}_R(\mathcal{H})$ , is the maximum of  $\gamma_R(\mathcal{H})$  over all hypergraph minors  $\mathcal{H}'$ of  $\mathcal{H}$  with at least two vertices.

For a hypergraph  $\mathcal{H}$ , fhtw( $\mathcal{H}$ ) is at least  $\overline{\gamma}_{R}(\mathcal{H}) \geq \overline{\delta}_{2}(\mathcal{H}) \geq \overline{\delta}(\mathcal{H})$ . This can be proved based on (a)  $\gamma_{R}(\mathcal{H}) \geq \delta_{2}(\mathcal{H}) \geq \delta(\mathcal{H})$ , and (b) fhtw( $\mathcal{H}'$ )  $\leq$  fhtw( $\mathcal{H}$ ) if  $\mathcal{H}' \ll \mathcal{H}$ . Note that this lower bound is held on hypergraphs, but not held on partial eliminated hypergraphs. That is, fhtw( $\mathcal{H}'_{elim(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}'$ )  $\leq$  fhtw( $\mathcal{H}_{elim(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}'$ ) is not always held, if  $\mathcal{H}'_{elim(\mathcal{V}')}$ . But we can use it to compute the lower bound of fhtw( $\mathcal{H}_{elim(\mathcal{V}')}$ ) if we treat a partial eliminated hypergraph as a hypergraph, and use the inequality fhtw( $\mathcal{H}_{elim(\mathcal{V}')}$ )  $\leq$  fhtw( $\mathcal{H}_{elim(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}'$ ). It can be used as a lower bound of fhtw( $\mathcal{H}_{elim(\mathcal{V}')}, \mathcal{V} \setminus \mathcal{V}'$ ).

We give a simple heuristic algorithm, named MMDH, in Algorithm 2 to compute the contraction degeneracy, which is based on the same algorithm for graphs. In Algorithm 2, it needs to select a neighbor w to which the minimum width vertex v is contracted based on heuristic strategy, including the min-width strategy, the max-width strategy, the least common vertices strategy and the least common edges strategy.

#### 5 A BRANCH-&-BOUND ALGORITHM

We present a *DP* algorithm named DP4FHD in Algorithm 1, and discuss several upper/lower bounds in Section 4. In this section, we give a branch-&-bound algorithm, named BB4FHD, in Algorithm 3, which is based on the DP4FHD algorithm and the upper/lower bounds discussed. The upper/lower bounds used can be arbitrary algorithm in Section 4 and we will discuss them in Section 8.

In Algorithm 3, we compute fhtw for partial eliminated hypergraphs by pushing the vertex-width layer by layer, i.e., using the result on  $\mathcal{V}'$  to update all  $\mathcal{V}'$  extendable states with size of  $|\mathcal{V}'|+1$ . Note that, with branch-&-bound, we can prune those states that will not participate in the following processing. In BB4FHD, we use  $|\mathcal{V}| + 1$  maps,  $FHTW_0[\cdot], FHTW_1[\cdot], \cdots, FHTW_{|V|}[\cdot]$  to maintain the state in each layer with  $\Pi[\cdot]$  to store the partial linear orders.

}

Algorith	<b>m 3:</b> BB4FHD	$(\mathcal{H} = ($	$(\mathcal{V}, \mathcal{E}))$
----------	--------------------	--------------------	-------------------------------

1 N	lain	
2	$\pi(\mathcal{V}) \leftarrow BB4ORDER(\mathcal{H});$	
3	return BuildFHD ( $\mathcal{H}, \pi(\mathcal{V})$ ) /* Same as DP4FHD	*/
4 P	rocedure BB4ORDER ( $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ )	
5	Initialize $FHTW_0[\cdot],, FHTW_{ V }[\cdot], \Pi[\cdot]$ with $\emptyset$ ;	
6	Initialize fhtw <sub>min</sub> , $\pi_{min}$ with upper( $\mathcal{H}$ );	
7	$FHTW_0[\emptyset] \leftarrow \text{lower}(\mathcal{H});$	
8	for $i = 0$ to $ \mathcal{V}  - 1$ do	
9	<b>for</b> each state $\mathcal{V}' \in FHTW_i$ <b>do</b>	
10	$\pi \leftarrow \prod [\mathcal{V}'];$	
11	<b>for</b> each vertex $v \in \mathcal{V} \setminus \mathcal{V}'$ <b>do</b>	
12	$\mathcal{S} \leftarrow \mathcal{V}' \cup \{v\}, \pi' \leftarrow \pi.concate(v);$	
13	$\omega_v \leftarrow \omega_{\mathcal{H}_{\pi'}}(v), \omega_p \leftarrow \max\{FHTW_i[\mathcal{V}'], \omega_v\};$	
14	$low \leftarrow lower(H_{\pi'});$	
15	if $\max\{low, \omega_p\} \ge fhtw_{min}$ then	
16	_ continue;	
17	if $S \notin FHTW_{i+1}$ or $FHTW_{i+1}[S] > \omega_p$ then	
18	$ [FHTW_{i+1}[S] \leftarrow \omega_p, \prod[S] \leftarrow \pi^{f}; $	
19	$up, \pi_{up} \leftarrow upper(\mathcal{H}_{\pi'});$	
20	if $\max\{\omega_p, up\} < \text{fhtw}_{min}$ then	
21	fhtw <sub>min</sub> $\leftarrow \max\{\omega_p, up\};$	
22	$\pi_{min} \leftarrow \pi'.concate(\pi_{up});$	
23	return $\pi_{min}$ :	
	(ILLIL)	

They are initialized with  $\emptyset$  (line 5). The global optimal solution fhtw<sub>min</sub> and its corresponding elimination order  $\pi_{min}$  is initialized with an upper bound heuristic (line 6). The *DP* process starts with the  $\emptyset$  state and sets the lower bound of  $\mathcal{H}$  as the initial width (line 7). Then, the algorithm computes fhtw for a partial eliminated hypergraph layer by layer, and enumerates the states in the layer to transfer (lines 8-22). For each state  $\mathcal{V}'$ , it enumerates all vertex  $v \in \mathcal{V} \setminus \mathcal{V}'$  to extend state  $\mathcal{S} = \mathcal{V}' \cup \{v\}$ , and enlarges a partial linear order  $\pi'$  (line 12). Then it computes the vertex-width of the partial eliminated hypergraph by  $\pi'$  (Eq. (10)) in line 13. We prune it if its value is greater than or equal to the current fhtw<sub>min</sub> (lines 15-16). We compute it for  $\mathcal{S}$  and the current global optimal solution by the current solution (lines 17-22).

It is important to mention that the BB4FHD algorithm (Algorithm 3) is an **anytime** algorithm, and can terminate at any time, as it always maintains the current global optimal solution fhtw<sub>min</sub>,  $\pi_{min}$ .

**Problem reduction techniques**: The time complexity of Algorithm 3 is exponentially related to the problem size. To reduce the problem size without losing the optimality is profitable. We simply introduce 6 reduction rules following the same ideas used in [13], which are given for preprocessing only as the approach taken in [13] is an *SMT* encoding method.

Given a hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , the 6 rules are given to reduce  $\mathcal{H}$  below. **Rule-1** A hyperedge can be removed if it is a subset of another hyperedge. **Rule-2** A vertex only belongs to one hyperedge can be removed. **Rule-3** Two vertices, u and v, belong to the same class if they have the same closed neighborhood, i.e.,  $N_{\mathcal{H}}[v] = N_{\mathcal{H}}[u]$ . We only need to keep one vertex in a class. **Rule-4** A vertex  $v \in \mathcal{V}$  is a simplicial vertex if the neighborhood of v forms a clique in the primal graph of  $G(\mathcal{H})$ , which can be removed. **Rule-5** The connectivity and biconnectivity on hypergraph is the same to its primal graph. For different biconnected components, we can solve the elimination order problem separately. **Rule-6** For any hyperclique  $\mathcal{H}_C$  of  $\mathcal{H}$ , there must be a bag that contains  $\mathcal{H}_C$  in

the tree decomposition of  $\mathcal{H}$ . Thus, we can select a hyperclique  $\mathcal{H}_C = \{v_1, ..., v_k\}$  and place it at the last of the order, i.e,  $\pi = (\cdots, v_1, \cdots, v_k)$ .

#### 6 THE FHD WIDTH COMPUTATION

The computation of FHD width (e.g.,  $\rho^*(\mathcal{V}, \mathcal{E})$  (Eq. 5)) is a fundamental operation, and is frequently used in our branch-&-bound algorithm. We explain it below. In our algorithm, we need to deal with the input hypergraph,  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ , any partial eliminated hypergraph  $\mathcal{H}_{\pi} = (\mathcal{V}_{\pi}, \mathcal{E}_{\pi})$ , and other  $\mathcal{H}' = (\mathcal{V}', \mathcal{E}')$ , for example hypergraph minors, sub-hypergraph, etc. The computation of vertex-width (Eq. (10)) for a vertex v is to be one of  $\rho^*(N_{\mathcal{H}}(v), \mathcal{E})$ ,  $\rho^*(N_{\mathcal{H}_{\pi}}(v), \mathcal{E})$ ,  $\rho^*(N_{\mathcal{H}_{\pi}}(v), \mathcal{E})$ ,  $\rho^*(N_{\mathcal{H}}(v), \mathcal{E}')$ , and is needed in the preprocessing stage, in *DP* (or branch-&-bound) processing, in computing upper/lower bounds. The cost of computing FHD width is high due to the fact that it is a linear programming (LP) problem.

We find that most of FHD width computation is  $\rho^*(\cdot, \mathcal{E})$ . In other words, it uses the same set of  $\mathcal{E}$  to compute  $\rho^*(\mathcal{S}, \mathcal{E})$  for different  $\mathcal{S}$  from time to time frequently. In the view of linear programming, we convert a hyperedge,  $e_i$ , and set  $\mathcal{S}$  to 0-1 vector form (e.g.  $\mathcal{V} = \{1, 2, 3, 4, 5\}, e_i = \{1, 2, 4\} \rightarrow (1, 1, 0, 1, 0)^T$ ), then  $\rho^*(\cdot, \mathcal{E})$  can be written as this type of linear programming:

minimize 
$$||\gamma||_1$$
  
subject to  $\mathcal{E}\gamma = \begin{bmatrix} e_1 & \cdots & e_{|\mathcal{V}|} \end{bmatrix} \gamma \ge \mathcal{S}, \quad \mathbf{0} \le \gamma \le \mathbf{1}$  (19)

Here,  $\mathcal{E}$  is in the form of matrix,  $\mathcal{S}$  is in the form of vector for the input set  $\mathcal{S}$ , and  $\gamma$  is a vector where every element in  $\gamma$  is between 0 and 1. With Eq. (19), we can build an index to accelerate this process for different  $\mathcal{S}$  with preprocessing. In doing so, we construct a lattice for various of sets of vertices and the same set of hyperedges. We give a lemma below.

**Lemma 6.1:** Let  $\mathcal{V}$  be the universe set,  $\mathcal{E}$  be a collection of subsets of  $\mathcal{V}$ , and  $\rho^*(\mathcal{S}, \mathcal{E})$  be the minimum fractional edge-over (FEC) of  $\mathcal{S}$  regarding  $\mathcal{E}$ . Then, for any other  $\mathcal{S}' \subseteq \mathcal{V}$ , we have  $\oplus \rho^*(\mathcal{S}, \mathcal{E}) \leq \rho^*(\mathcal{S}', \mathcal{E})$  if  $\mathcal{S} \subseteq \mathcal{S}'$ , and  $@ \rho^*(\mathcal{S}, \mathcal{E}) \geq \rho^*(\mathcal{S}', \mathcal{E})$  if  $\mathcal{S} \supseteq \mathcal{S}'$ .

The lemma obviously holds and it guides us to make use of the bounds computed for a new *FEC* width computation request. Let  $\mathbb{S} = \{S_1, S_2, \dots\}$  be the collection of sets we have already computed and their width  $\rho^*(S_i, \mathcal{E})$  have been stored. Then for a new *FEC* width computation  $\rho^*(S, \mathcal{E})$ , we search the lower and upper bound as follows:  $\bigcirc upper(S) = \min_{S' \in \mathbb{S} \land S \subseteq S'} \rho^*(S', \mathcal{E})$ , and  $@ lower(S) = \max_{S'' \in \mathbb{S} \land S \supseteq S''} \rho^*(S'', \mathcal{E})$ .

The queries of lower/upper bounds are known as a *n*-dimensional partial order maintenance problem. It has been studied when S is static or when the queries/updates of S are already known that come offline. In the literature, we have not yet found any efficient methods to address the dynamic update with online queries. In this work, we use a k-d tree to support the lower/upper bound queries.

The lower/upper bounds of an FHD width may speed up the LP computation. We substitute them as known conditions into the formula (e.g., add an addition condition lower(S)  $\leq ||\gamma||_1 \leq$  upper(S) into Eq. (19)). On the other hand, we can skip some width computation in Algorithm 3 by combining the bounds with the current global optimal solution fhtw<sub>min</sub> and *FHTW*<sub>i</sub>[S].

# 7 RELATED WORK

We discuss hypergraph decompositions: TD, GHD, and FHD together with HD. Note that the bounded TD is too loose to be used. For computing GHD, a basic search algorithm based on properties BIP and BMIP is proposed in [15], which is to deal with some restricted class of conjunctive queries, and several algorithms with some heuristic to speed up computing such properties are given in [14]. In addition, a parallel algorithm is given in [21]. The *SMT* approach discussed is the only practical algorithm to compute FHD [13] for all conjunctive queries.

As both GHD and FHD are known NP-complete to check (e.g., CHECK(,k)), HD is proposed in [20] under a special condition following GHD. It is known that HD width, denoted by htw( $\mathcal{H}$ ), can be checked (e.g., CHECK(HD, k)) in polynomial time instead of NP-complete for GHD and FHD. As it is one in polynomial time, htw( $\mathcal{H}$ ) is greater than ghtw( $\mathcal{H}$ ), which is greater than fhtw( $\mathcal{H}$ ). Hypertree decomposition (HD) has been extensively studied as an effective alternative instead of GHD and FHD. To compute HD, a backtracking-based search algorithm, named det-k-decomp, by exploring separators to check is implemented and reported in [22], and a parallel search algorithm with searching balanced separator, named log-k-decomp, can be found in [19]. All the existing algorithms for hypertree decompositions (HD, GHD, FHD) are based on CHECK(.) while exploring all separators.

### 8 EXPERIMENTAL STUDIES

We have conducted extensive experimental studies using *Hyperbench* benchmark [14]. *Hyperbench* contains 3,648 hypergraphs (called instances) including *CQs* and *CSPs* collected from various sources. We use the full hypergraphs used in [19, 21]. As reported in *Hyperbench*, the statistics on the known min-widths,  $w_{min}$ , are as follows. There are 710, 596, 310, 385, 450, 496, and 702 instances which are with the known min-width, 1, 2, 3, 4, 5, 6, and > 6, respectively. Since the existing fhtw results are not complete, we use the smaller of the known ghtw and htw [1] as min-width.

We study our anytime branch-&-bound algorithm, BB4FHD, to compute fhtw for a hypergraph, which can find an approximate fhtw in a limited time, and can find the exact fhtw if time is allowed. We discuss the upper/lower bound used in BB4FHD testing. First, for the upper bound, we use the smallest among all the strategies discussed in Section 4, because all the strategies can be computed in linear time. Second, for the lower bound, we compare the widthbased bounds and the minor-based bounds. For the width-based bounds, we test  $\delta_2$  and  $\gamma_R$ . Here,  $\delta_2$  can be computed in linear time, whereas  $\gamma_R$  needs to be computed with additional sorting. For the minor-based bounds, we report the min-width strategy with  $\overline{\gamma}_R$ , as all of the minor-based strategies are similar. Note that  $\overline{\gamma}_R$ needs to compute using  $\rho^*(,)$  (Eq. 6) which is costly. Below, we use BB4FHD-X where X is one of the three, namely,  $\delta_2$ ,  $\gamma_R$ , and  $\overline{\gamma}_R$ . We implemented our algorithms in C++ and complied it by GCC 8.5.0 with -O2 flag.

We compare BB4FHD with one exact algorithm and three approximate algorithm: **①** the exact algorithm FraSMT [13] (which is the state-of-the-art *SMT* encoding method), **②** a theoretical approximation algorithm ApproxFHD [29], **③** an approximate algorithm ImproveHD [14], and **④** an approximate algorithm FracImprove [14].



Figure 5: Pass rates with different timeouts Table 1: FraSMT vs BB4FHD- $\gamma_R$ : # of solved, and time (sec.)

	Fractional Hypertree Decomposition FHD									
Origin of Size of Instances in			FraSMT				BB4FHD-yR			
Instances	Instances	Group	#solved	avg	max	stdev	#solved	avg	max	stdev
Real World	$75 <  \mathcal{E}  \le 100$	405	97	842.0	7,153.3	1,579.0	47	2,325.6	7,187.4	2,614.0
	$50 <  \mathcal{E}  \le 75$	514	356	587.2	7,052.0	1,691.0	315	7.7	2,036.0	115.7
	$10 <  \mathcal{E}  \le 50$	369	234	120.0	943.0	619.8	232	24.6	4,968.0	327.0
	$ E  \le 10$	915	913	0.2	5.7	0.3	915	0.0	0.0	0.0
Synthetic	$ \mathcal{E}  > 100$	66	11	1,067.7	5,802.8	1,697.7	9	708.9	5,262.1	1,726.1
	$75 <  \mathcal{E}  \le 100$	422	345	1,266.7	7,120.8	1,927.1	274	581.0	6,815.2	1,427.0
	$50 <  \mathcal{E}  \le 75$	215	202	320.5	5,858.0	877.5	215	0.1	0.3	0.1
	$10 <  \mathcal{E}  \le 50$	647	285	413.6	7143.1	1171.0	565	157.3	6,588.8	608.2
	$ E  \le 10$	95	95	8.5	329.1	44.3	95	0.3	25.7	2.6
Total		3,648	2,538	374.8	7153.3	1203.2	2,667	140.0	7,187.4	736.5
CQs		1,113	1,113	0.3	43.6	1.7	1,113	0.0	0.5	0.0

We test FraSMT using the optimal parameters given in [13] with the *SMT* solver Z3. ApproxFHD needs to guess a lower bound  $w_l$ , and outputs a FHD width in  $O(w_l^3)$  if it is found. If no width is found, it concludes that fhtw >  $w_l$ . Here, we provide the best lower bound of each hypergraph for ApproxFHD. ImproveHD and FracImprove are a "fractional improvement" algorithm based on HD, and need to guess an upper bound  $w_u$  to check. We provide the smallest upper bound  $w_{min}$  we have in *Hyperbench*. The output of FracImprove is the best with a minimum fractional improvement at 0.1 and 0.5.

All experiments are conducted on a machine with an AMD 2.7Ghz CPU (96 cores) and 512GB main memory running Linux.

**HyperBench Testing: Exact.** We have conducted a complete *Hyperbench* test and record the pass rate of the instances using timeouts of 1, 10, 60, 300, 600, 1,800, 3,600, and 7,200 (sec). Here, the pass rate is the proportion of the number of instances in which the optimal fhtw can be calculated among all instances. We report the results by BB4FHD- $\delta_2$ , BB4FHD- $\gamma_R$ , BB4FHD- $\overline{\gamma}_R$ , and FraSMT in Fig. 5a. As illustrated in Fig. 5a, BB4FHD- $\delta_2$  and BB4FHD- $\gamma_R$  outperform BB4FHD- $\overline{\gamma}_R$  and FraSMT. The pass rates of BB4FHD- $\gamma_R$ is slightly better than BB4FHD- $\delta_2$ .

Our branch-&-bound algorithms can finish at anytime or even when the timeout is small. When the timeout is 1s and 10s, BB4FHD- $\gamma_R$  can pass approximately 20% more instances in *Hyperbench* than FraSMT. Below, we report BB4FHD- $\gamma_R$  as it outperforms the other BB4FHD variants.

We compare FraSMT and BB4FHD- $\gamma_R$  with the same timeout of 7,200s, and summarize the results in Table 1. Here, we follow the experiments reported in [19] to divide the instances in *Hyperbench* by size and origin. The instances are divided into two categories: real world applications and synthetic generated. For each category, the number of instances,  $|\mathcal{E}|$ , is further divided. We report the numbers of solved instances passed in each range as #solved and the running times (avg, max, stdev).

Overall, BB4FHD- $\gamma_R$  passes more instances (2,667 out of 3,648, 73.1%) than FraSMT (2,538 out of 3,648, 69.5%) in total and the

Table 2: The average width reduction

	BB4FHD-γ <sub>R</sub>		FraSMT		ApproxFHD		ImproveHD		FracImprove	
wmin	Total	avg.	Total	avg.	Total	avg.	Total	avg.	Total	avg.
2	260	0.48	257	0.48	231	0.48	186	0.48	240	0.48
3	162	0.62	158	0.62	134	0.62	141	0.62	153	0.62
4	103	0.67	88	0.67	74	0.54	73	0.66	95	0.63
5	245	0.60	233	0.54	31	0.98	42	0.93	220	0.52
6	321	0.75	179	0.60	28	0.59	155	0.50	276	0.53
> 6	501	1.55	30	0.73	193	1.05	286	1.31	426	1.08

Table 3: The width reduction of BB4FHD- $\gamma_R$  (1 sec)

Width	ApproxFHD		Impro	veHD	FracImprove		
Reduction	Total	avg.	Total	avg.	Total	avg.	
≥ 1	1898	13.55	53	2.11	17	3.42	
[0.5, 1)	102	0.61	487	0.59	69	0.59	
(0, 0.5)	128	0.22	336	0.22	423	0.19	
total(> 0)	2128	12.13	876	0.64	509	0.35	
= 0	1287	0	1855	0	540	0	
< 0	0	0	379	-1.06	74	-0.08	
timeout	221	*	526	*	2513	*	

average time by BB4FHD- $\gamma_R$  is 2.7x faster than FraSMT. BB4FHD- $\gamma_R$  outperforms FraSMT significantly in solving small and mediumsized instances ( $|\mathcal{E}| \leq 50$ ). But due to its high time complexity, BB4FHD- $\gamma_R$  performs worse on large-sized instances compared to FraSMT. The *SMT* encoding method can solve some large-sized instances with application-derived characteristics. We will study it future to accelerate *DP* algorithms based on such characteristics.

We also report the results for CQs (in total 1,113 instances). These instances are relatively simple in *Hyperbench*. Among 1,113 instances, the largest fhtw does not exceed 3, and most belong to the acyclic hypergraph. Both FraSMT and BB4FHD- $\gamma_R$  solve all instances. BB4FHD- $\gamma_R$  is much more efficient than FraSMT. BB4FHD- $\gamma_R$  solves all instances in 0.5 seconds, whereas FraSMT takes over 40 seconds on some, and the running time varies.

**HyperBench Testing: Width Reduction.** We compare BB4FHD- $\gamma_R$  with all baseline algorithms in a timeout of 2 hours, and report the fractional width reduction based on known min width  $w_{min}$  in Table 2. Here, "Total" is the total number of instances corresponding to the  $w_{min}$  in *Hyperbench*, and "avg." is the width reduction on average, where the larger is better. BB4FHD- $\gamma_R$  can reduce width in all cases on the largest number of instances, and the average width reduction is also the largest in almost all cases. BB4FHD- $\gamma_R$  has advantages when dealing with instances with large width ( $w_{min} > 5$ ), while FraSMT will become less reliable when the problem is complex.

ApproxFHD can quickly output results on all instances with an average time 0.42s. This is because of its very loose approximation bounds, and it simply chooses not to decompose on more than 90% of instances. ImproveHD and FracImprove need to compute an HD with a given width first, cannot be efficient on average time 405.79s and 5061.06s, and will not output results on all instances (94.87% and 37.75% of instances have output), respectively. Here, HD is computed with the best known width known in *Hyperbench*. In practice, there is no such width to assist HD construction.

**HyperBench Testing: Approximate.** We compare the approximate performance of BB4FHD- $\gamma_R$  with the three approximate algorithms, ApproxFHD, ImproveHD, and FracImprove. We consider query optimization scenarios, to set the timeout of all algorithms to

#### Table 4: A case study with 3 hypergraphs



#### Figure 6: Anytime width update

1 second and compare the width output for each instance. BB4FHD- $\gamma_R$  achieves best approximate results on nearly all instances. Specifically, BB4FHD- $\gamma_R$  can achieve better (possibly the same) approximate results on 3,219 instances, of which 1,164 results are strictly better than those of the other comparison algorithms. And the two numbers are 1,267 and 0, 2,234 and 352, 618 and 44 in ApproxFHD, ImproveHD, and FracImprove. BB4FHD- $\gamma_R$  provides results for all instances, while ApproxFHD, ImproveHD, and FracImprove time-out on 221, 526, 2,513 instances, respectively. This demonstrates the great potential of our approach in practical scenarios.

In Table 3, from a different view, we show how good BB4FHD- $\gamma_R$  can be. Here, we take the width by ApproxFHD, ImproveHD, and FracImprove as basis, respectively. We report the width reduction of BB4FHD- $\gamma_R$  on average ("avg."). We group the width reduction in " $\geq 1$ ", "[0.5, 1)", "(0, 0.5)", "= 0", and "< 0" groups, and we report the number of instances and the average width reduction in each group. Here, the group of "= 0" is the group that all have the same width computed, and the average reduction ("avg.") is 0. BB4FHD- $\gamma_R$  outperforms one if the corresponding "avg." value is greater than 0. BB4FHD- $\gamma_R$  is always and far better than ApproxFHD. FracImprove will timeout on most instances. Compared with ImproveHD, BB4FHD- $\gamma_R$  can reduce the width on about 900 instances, excluding the 526 timeout instances.

**The Anytime Algorithm.** We study our anytime algorithm, BB4FHD, by case studies. We select three representative hypergraphs, namely, (Case-1) rand\_q0239, (Case-2) Pi-40-10-07948-40-76, and (Case-3) Pi-40-10-07948-40-13 from *Hyperbench* with the consieration of the width and the distribution of the fhtw updated timeouts. The details of the three hypergraphs are shown in Table 4. For Case-1, the hypergraph is a randomly selected conjunctive query, and its fhtw is very fractional. For Case-2 and Case-3, the 2 hypergraphs are taken from *CSP* applications, and their fhtw is integer-like.

We show the current optimal fhtw changes with the running time on the three hypergraphs in Fig. 6. For Case-1, as shown in Fig 6a, the current optimal fhtw is updated in 7.90, 7.38, 7.35, 7.30, 7.23 7.14, and 7.12. The fhtw computed for this hypergraph is very fractional and the current optimal fhtw has been updated many times with minor differences in computing. Our BB4FHD can prune many in such fractional behavior. This is because such fractional behavior makes BB4FHD more likely to use the current fhtw to distinguish the different solutions and therefore achieve the high



Figure 7: The subgraph queries Table 5: Query evaluation time (ms) on EmptyHeaded

	Empt	yHeaded nativ	'e	EmptyHeaded + new FHD				
	FHD search	Execution	Total	FHD search	Execution	Total		
S1	1,087.8	4,033.7	5,121.5	1.2	3,960.9	3,962.1		
S2	776.9	8.8	785.7	1.2	8.3	9.5		
S3	15,661.2	2,513.5	18,174.7	4.3	2,636.3	2,640.6		
S4	>2h	NA	NA	2.6	4,169.7	4,172.3		
S5	7,836.3	3,880.1	11,716.3	4.5	3,229.1	3,233.6		
S6	8,778.5	11,538.4	20,316.9	2.4	11,357.7	11,360.1		
S7	13,670.6	15,368.3	29,038.9	8.0	15,366.4	15,374.4		
Q1	523.1	1,492.7	2,015.7	0.7	1,423.0	1,423.8		
Q2	582.0	363.4	945.4	0.6	324.3	324.9		
Q3	576.7	324.3	901.0	0.5	273.5	274.0		
Q4	1,206.7	1,448.9	2,655.5	0.8	1,392.2	1,393.0		
Q5	1,049.7	362.9	1,412.6	1.9	384.9	386.8		

performance by pruning. Such very fractional cases mainly occur in very complex or randomly generated instances, and give BB4FHD the opportunity to solve larger-scale instances. For Case-2, as shown in Fig. 6b, it has only been updated 3 times, 7, 6.5, and 6. For Case-3, as shown in Fig. 6c, it has only been updated 3 times, 8, 7, and 6.5. The fhtw computed in the two hypergraphs is integer-like whose decimal precision is at most 0.5. This means the minimum *FEC* induced from the fhtw computation is rather simple. And this kind of integer-like fhtw is very detrimental to BB4FHD, due to the fact that the fhtw of the candidates are almost the same. We cannot prune some solutions by the width in BB4FHD. On the other hand, as shown in Fig. 6b, the anytime result is already the optimal at very beginning, even though it takes a long time to terminate. This indicates that the optimal integer-like fhtw can be easily obtained.

**Ablation Study.** In order to study the impacts of (a) Branch-&-Bound and (b) width computation optimization methods on top of the basic *DP* algorithm, namely BB4FHD- $\gamma_R$ , we test three other cases. One is *DP* only, denoted as DPonly, one is to disable width computation optimization methods in BB4FHD- $\gamma_R$ , denoted as noWC, and one is to disable Branch-&-Bound in BB4FHD- $\gamma_R$ , denoted as noBB. We report the pass rates of the 4 algorithms on *Hyperbench* as shown in Fig. 5b. Both Branch-&-Bound and width computation optimization methods significantly affect the performance, while Branch-&-Bound has a greater impact. Specifically, under the 7,200s time limit set, noBB solves 2,042 instances with an average time of 422.69s, while BB4FHD- $\gamma_R$  uses 0.07s; noWC solves 2,440 instances with an average time of 59.85s, while BB4FHD- $\gamma_R$  uses 0.57s; DPonly solves 1,807 instances with an average time of 174.49s while BB4FHD- $\gamma_R$  uses 0.01s.

**Query Evaluation on Real Database Systems**. We conduct query evaluation to test graph pattern queries and *SQL* queries on Empty-Headed, PostgreSQL, and DuckDB. EmptyHeaded is a query processing engine that supports both FHD and WCOJ [31]. Its built-in query optimizer enumerates FHDs via a brute force search, then selects one min-width FHD together with other optimizations to generate a query plan. Instead, we generate 10 min-width FHDs with our FHD algorithm for EmptyHeaded to select. We compare the optimization time for searching FHDs and the execution time



Figure 8: Query evaluation on PostgreSQL

between the native EmptyHeaded and the EmptyHeaded with new FHD algorithm. On the other hand, PostgreSQL/DuckDB do not support FHD and WCOJ. To simulate this, for an FHD plan, we first process every bag in the FHD plan as an *SQL* materialized view, and then join all such views by binary joins. It is worth mentioning that this results in significant additional I/O overhead in writing and reading materialized views.

The queries tested are as follows. **①** The 7 graph pattern queries (Fig. 7) are two 6-vertex subgraph queries ( $S_1$ ,  $S_2$ ), three 8-vertex subgraph queries ( $S_3$ ,  $S_4$ ,  $S_5$ ) and two 10-vertex subgraph queries ( $S_6$ ,  $S_7$ ) from DBLP dataset. Each query counts the number of subgraphs centered around every node in the data graph. **②** The 5 cyclic *SQL* queries are taken from query5 ( $Q_1$ ) in TPC-H, and query24a ( $Q_2$ ), query24b ( $Q_3$ ), query78 ( $Q_4$ ) in TPC-DS, and query3 ( $Q_5$ ) in LSQB. We generate data with SF=1 for LSQB and 1GB for TPC.

The EmptyHeaded results are shown in Table 5. For FHD searching time, our algorithm significantly outperforms the built-in algorithm. As all FHD plans are with the min width, the execution time are similar. The PostgreSQL results are shown in Fig. 8, due to space limit. The DuckDB shows the similar performance. For graph pattern queries ( $S_1 \sim S_7$ ), PostgreSQL does not perform well for such complex cyclic queries. The FHD plan used achieves up to two orders of magnitude performance improvement. For *SQL* queries, the benefit of FHD is less obvious. There are two main reasons: fisrt, the simulation in PostgreSQL/DuckDB needs additional I/O overhead; second, FHD does not take data distribution into consideration, where the result for a bag in FHD used may generate very large intermediate relations ( $Q_4, Q_5$ ). We will explore how to integrate FHD and WCOJ into a database system.

# 9 CONCLUSION

In this paper, we give a *DP* algorithm for computing FHD which is the first approach that is not based on CHECK(,). We give a branch-&bound algorithm, BB4FHD, together with upper/lower bounds to accelerate the *DP* algorithm. Our BB4FHD algorithm is an anytime algorithm that can give a feasible solution at any time when it stops and can give a better solution if more time is allowed. With BB4FHD, we can compute the optimal fhtw for 2,667 out of the total number of 3,648 instances, which is better than FraSMT, which computes the optimal fhtw for 2,538. BB4FHD can compute the optimal fhtw for 84% instances within time of 1 second. We confirm that BB4FHD can compute fhtw efficiently and it leads to efficient query processing in database systems.

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